

от классической оптики к новым рентгеновским  
экспериментам и методам

*Анатолий Снизирев*  
**ESRF**



Зеркала, линзы -

???

Дифракционные решетки,  
Френелевские зонные пластинки  
Relay, Fresnel, Wood

(18)-19 век

Лазеры - Голография  
3D дифракция и оптика

20 век

Когельник, Денисюк ....

1895 W. C. Roentgen discovery  
1898 W. C. Roentgen no refraction – no X-ray lens

1912 Friedrich&Knipping **crystals !**  
Braggs

1917 Laue - 3D diffraction

Dynamical diffraction, Pendellosung fringes, Interferometers

*Lang, Kato, Bonse, Hart, Indenbom, Afanasiev, Iveronova*

## X-ray crystal optics

1948 - Kirkpatrick&Baez : mirrors

1996 - A. Snigirev, V. Kohn, refractive optics – X-ray lens  
I. Snigireva, B. Lengeler  
*Nature*

## Pendellösung Fringes for X-ray Spherical-Wave Diffraction in a Perfect Crystal

BY V. V. ARISTOV AND V. I. POLOVINKINA

Solid State Physics Institute, USSR Academy of Sciences, Moskovskaya Oblast, Chernogolovka 142432, USSR

AND A. M. AFANAS'EV AND V. G. KOHN

I.V. Kurchatov Institute of Atomic Energy, Moscow 123182, USSR

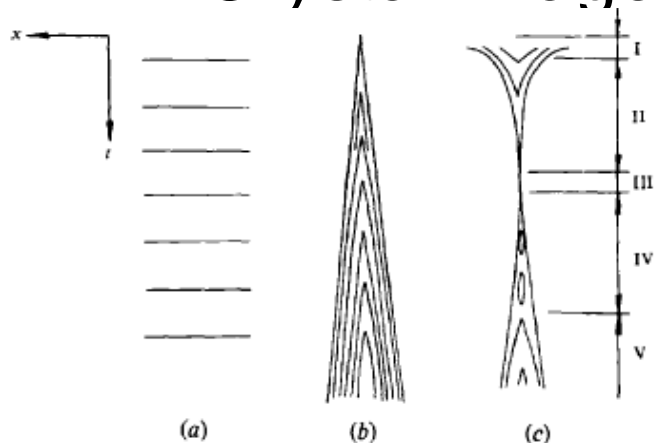
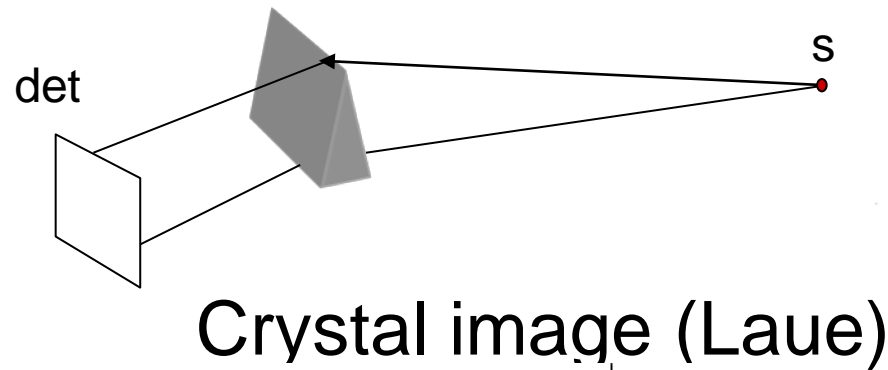
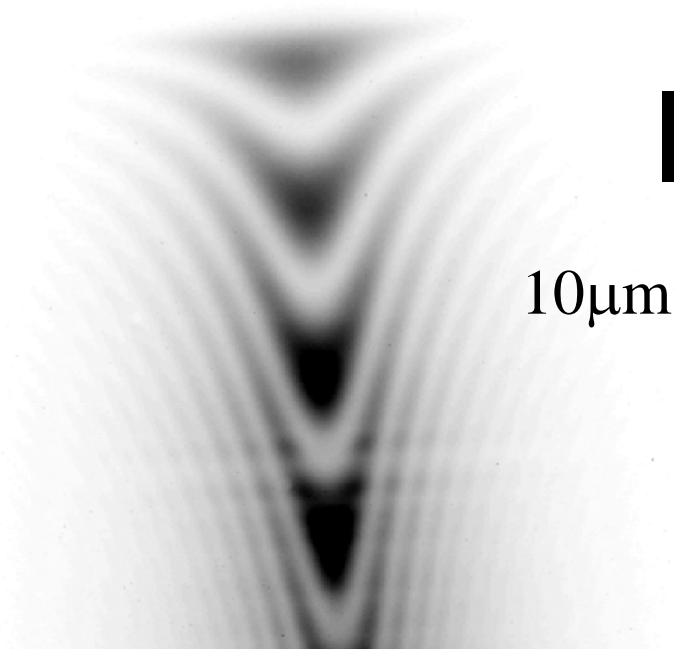


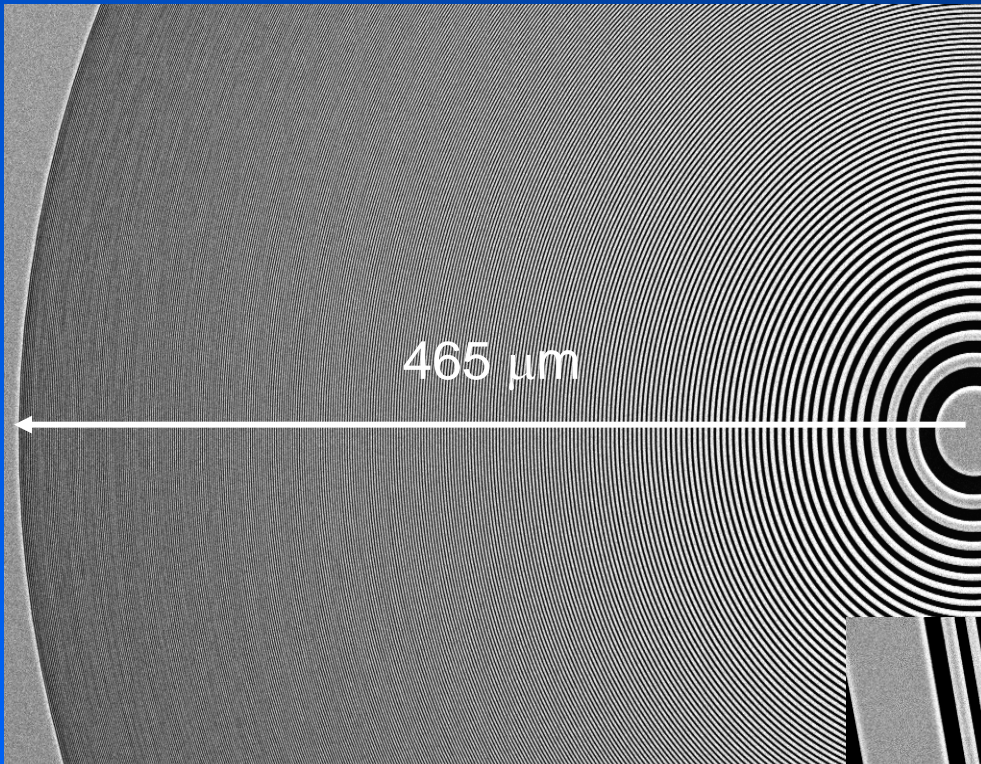
Fig. 1. Pendellösung fringes in a perfect crystal. (a) Incident plane X-ray wave. (b) Incident spherical wave; interference pattern of Kato's type. (c) Incident spherical wave; interference pattern obtained in the present paper.



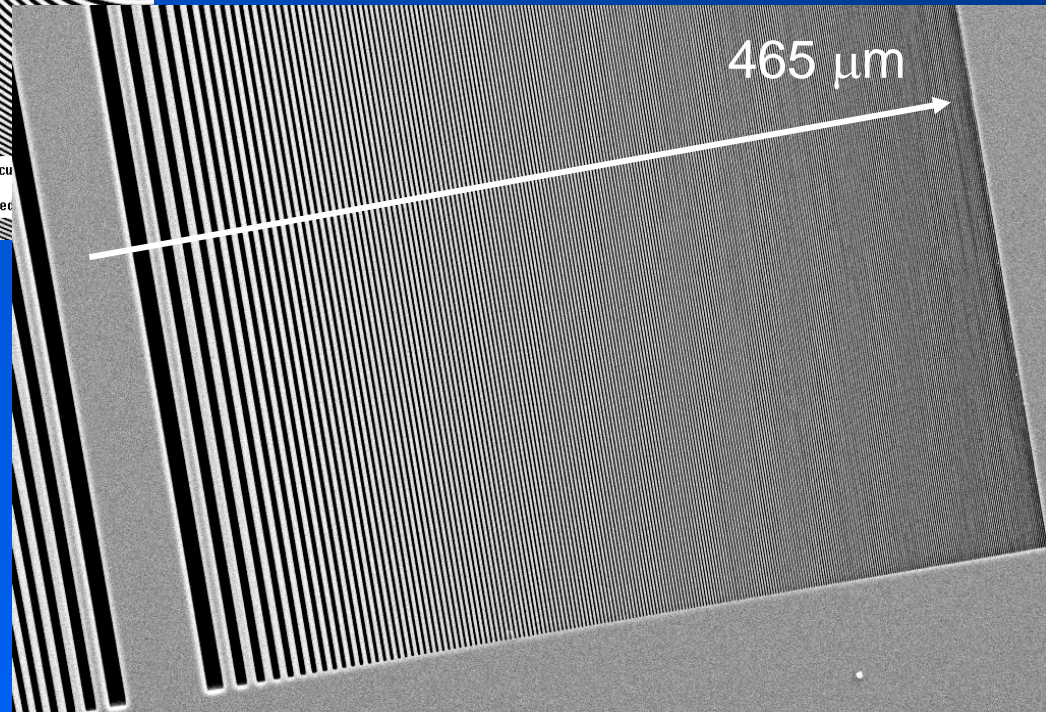


# Bragg –Fresnel Optics

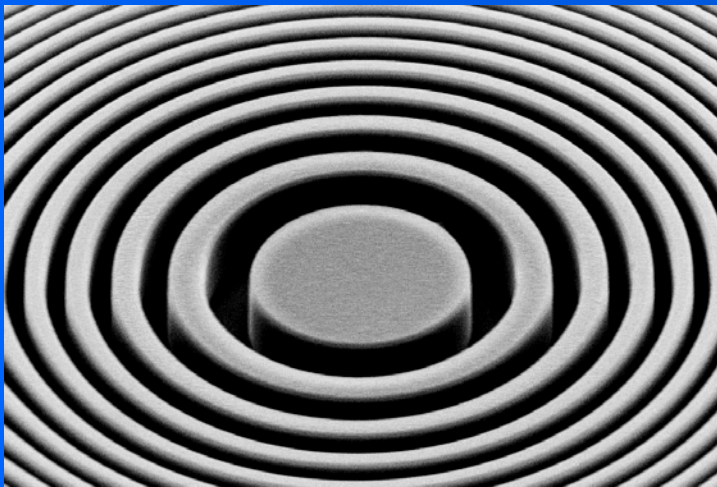
*SEM images*



LEO **LEO 1530** Mag = 744 X EHT = 20.00 kV Signal A = SE2 Date :14 Sep 2005 Gun Vacuum  
Serial No. = LEO 1530-21-90  $20\mu\text{m}^*$  WD = 9 mm Output To = Display/File Time :9:54:20 Noise Rec



LEO **LEO 1530** Mag = 794 X EHT = 20.00 kV Signal A = SE2 Date :14 Sep 2005 Gun Vacuum = 2.15e-009 Torr  
Serial No. = LEO 1530-21-90  $10\mu\text{m}^*$  WD = 9 mm Output To = Display/File Time :10:08:52 Noise Reduction = Line Int. Done



LEO **LEO 1530** Mag = 3.68 K X EHT = 20.00 kV Signal A = SE2 Date :19 Nov 2004 Gun Vacuum = 1.32e-009 mBar  
Serial No. = LEO 1530-21-90  $10\mu\text{m}$  WD = 7 mm Output To = Display/File Time :15:59:25 Noise Reduction = Line Int. Done



## Formation of Optical Images by X-Rays

PAUL KIRKPATRICK AND A. V. BAEZ  
 Stanford University, Stanford, California

(Received March 12, 1948)

**JOSA, Sept 1948**

### X-RAY LENSES

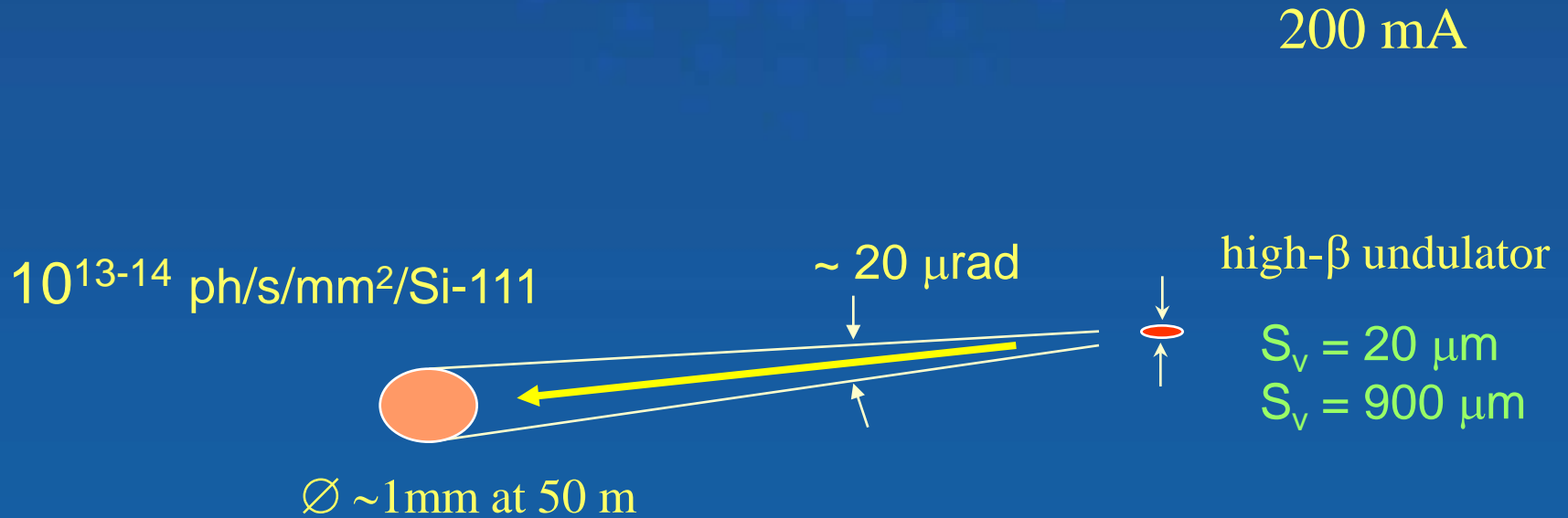
Roentgen's<sup>1</sup> first experiments convinced him that x-rays could not be concentrated by lenses; thirty years later his successors understood why. X-ray refractive indices are less than unity by an amount  $\delta$  which for common solids and x-rays of general practice has a value of the order of  $10^{-5}$ . It may readily be shown that the focal length  $f$  of a single refracting surface of radius  $R$  is approximately  $R/\delta$ . For several surfaces in series, arranged cooperatively, we have  $1/f = \delta(1/R_1 + 1/R_2 + \text{etc.})$ . To make a successful lens we require a large  $\delta$  and slight absorption. Unfortunately ma-

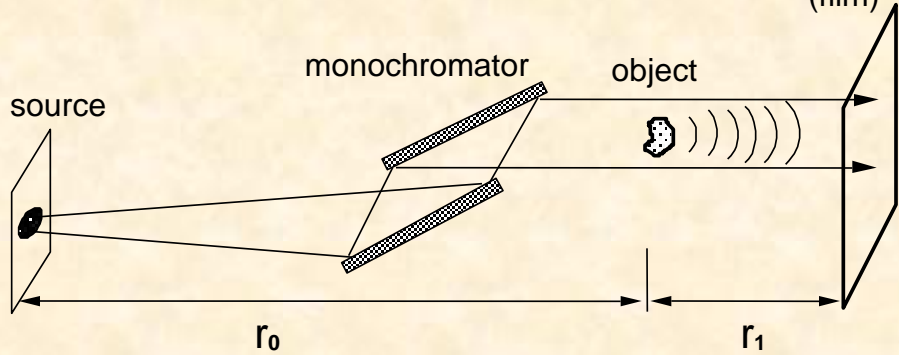
<sup>1</sup>W. C. Roentgen, *Sitzungsberichte der Würzburger Physikalischen-Medicinischen Gesellschaft* (1895).

terials of large  $\delta$  are also strong absorbers, the absorption coefficient increasing much more rapidly than  $\delta$  with increasing atomic number. An element of low atomic number, such as beryllium, is indicated.

In choosing a usable x-ray wave-length we note that  $\delta$  is proportional to  $\lambda^2$  and the absorption coefficient to  $\lambda^3$ . This makes long waves undesirable. For concreteness of argument let the wave-length be that of the  $K_\alpha$  lines of molybdenum (0.71Å), which in beryllium gives  $\delta = 1.13 \times 10^{-6}$ . For one refracting surface we shall have  $f \approx 10^6 R$ . If a radius of one centimeter be assumed it is found that about one hundred lens surfaces in series would be required to bring the focal length down to one hundred meters. This would produce a cumbersome and very weak lens system of poor transparency. These discouraging considerations incline us toward other methods.

- 1898 - W. C. Roentgen: no X-ray lens
- 1948 - Kirkpatrick&Baez : first idea about compound refractive lens  
but: "...x-ray refractive lens is impractical"
- 1980 - R. Gähler et al Neutron refractive lens of quartz (*ILL*)  
*J. Phys. E: Sci. Instr.* **13**, 546, 1980.
- 1991 - S. Suehiro et al. high-Z refractive lens  
*Nature*
- 1991 - A. Michette no X-ray lens  
*Nature*
- 1996 - A. Snigirev, V. Kohn, first experiment with compound refractive lens  
I. Snigireva, B. Lengeler  
*Nature*
- 1996 - 2012 more than 1000 articles



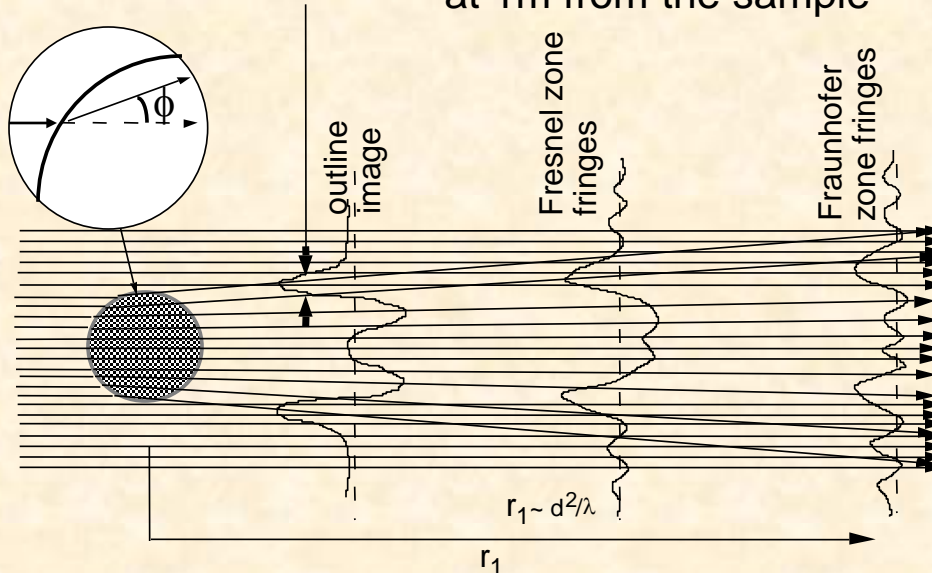


source size:  $s \sim 100 \mu\text{m}$   
 source-to-sample distance:  $r_0 \sim 50\text{m} \Rightarrow$  spatial coherence  
 $\lambda r_0/s = 50 \mu\text{m} (\lambda=1\text{\AA})$   
 angular source size:  $\sim 2 \mu\text{rad}$

## ESRF source from 1995 to 2005

source  $20 \mu\text{m}$   
 coherence  $250 \mu\text{m}$   
 ang. source size  $0.4 \mu\text{rad}$

$\phi \sim \Delta n \sim 10^{-5} - 10^{-6} \Rightarrow$  edge contrast  $\sim 1-10 \mu\text{m}$  at 1m from the sample



*Si-111*  $\Delta\theta \sim 20 \mu\text{rad}$

( $E = 12.4 \text{ keV}$ )

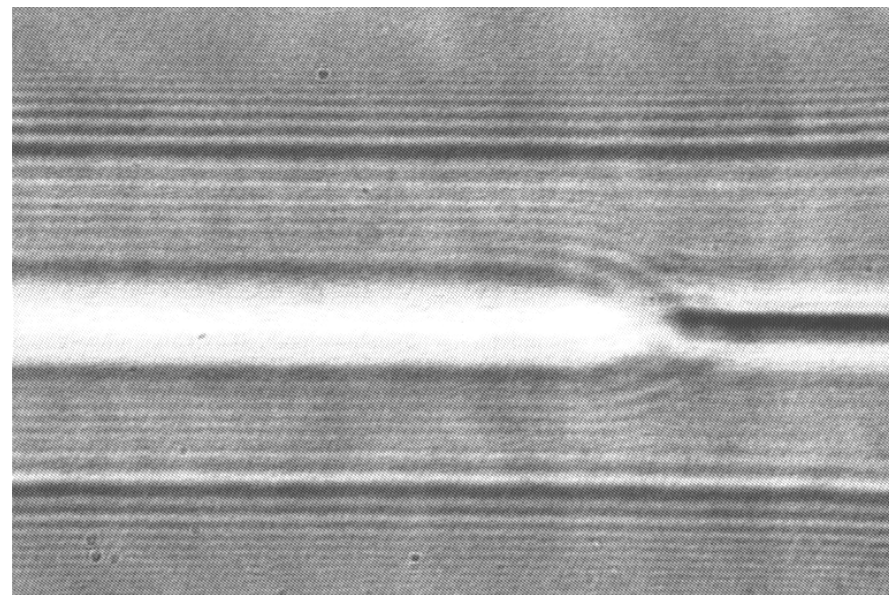
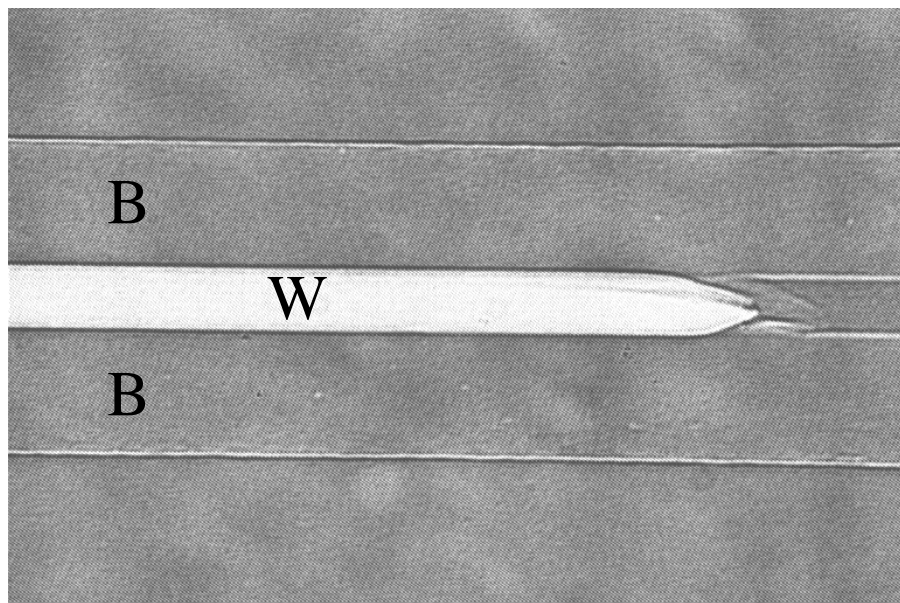
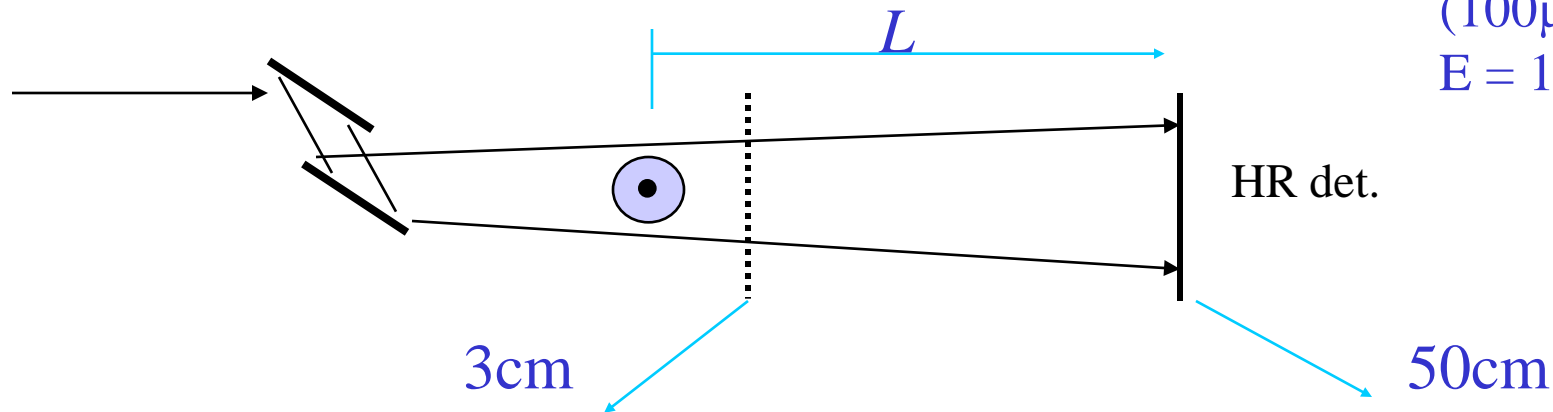
*Si-555*  $\Delta\theta \sim 0.5 \mu\text{rad}$

( $E = 30 \text{ keV}$ )



# Coherent Imaging of Non-absorbing Samples

Boron fiber  
(100 $\mu\text{m}$  diameter)  
 $E = 16 \text{ keV}$

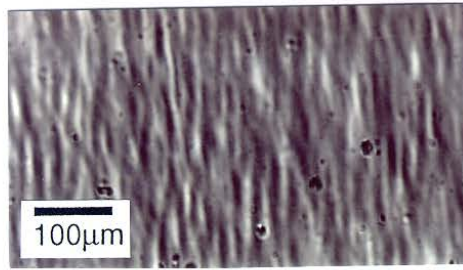


100  $\mu\text{m}$

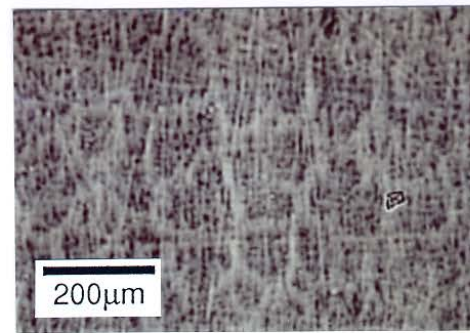




Polished Be : 0.1 $\mu$ m  
2 000 kFF



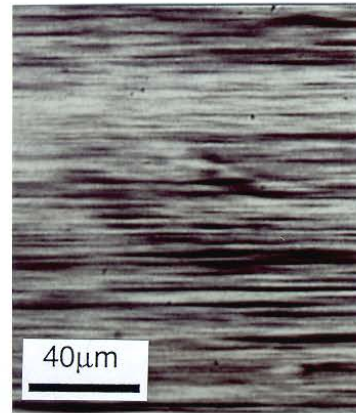
Be windows



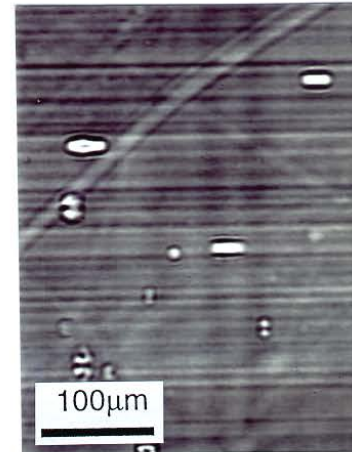
Green paper

Polished filters (Si)  
FE- diamond  
(no graphite)

Multilayers



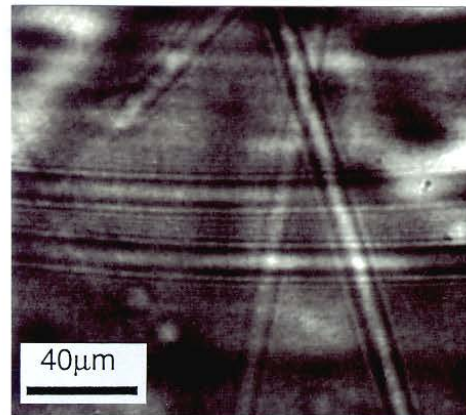
Multilayers



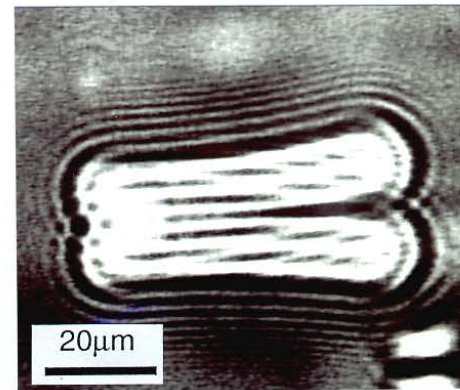
Mirrors

Super Polished mirrors  
<1 $\text{\AA}$ , <1 $\mu$ rad  
horiz. deflection

Micro-scratches  
Chemical etching



Crystals



Dust particles

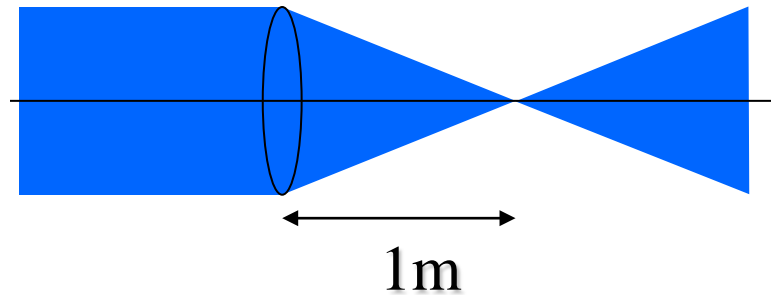
Cleaning !?

# A. Strategy for refractive x-ray lenses

> **have been considered as not feasible for a long time**

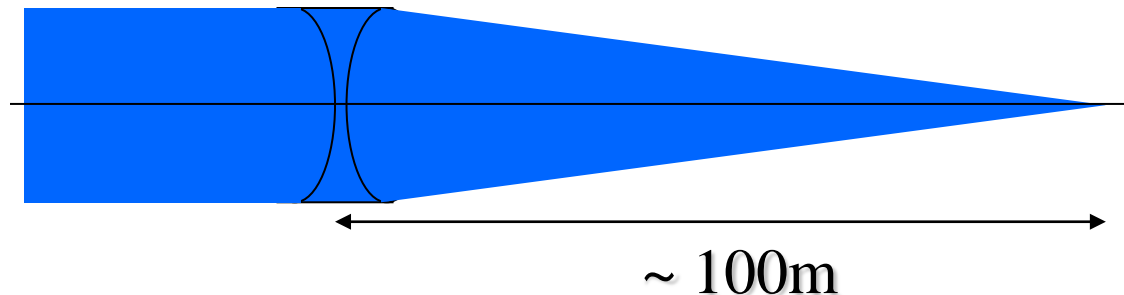
> **visible light:** index of refraction  $n = 1 + \delta$  with  $\delta \sim 0.5$  for glass

- \* refraction strong
- \* absorption weak
- \* focal length short
- \* focusing lens convex



> **x-rays:**  $n = 1 - \delta + i\beta$  with  $\delta, \beta \sim 10^{-6}$  and positive

- \* refraction weak
- \* absorption strong
- \* focal length long
- \* focusing lens concave



„There are no refractive lenses for x-rays!“ W.C.Roentgen

**BUT: refraction is not zero and absorption is not infinite!**

## Design of refractive x-ray lenses

**lensmaker formula:**  $\frac{1}{f} = (1 - n) \frac{2}{R}$       or       $f = \frac{R}{2\delta}$

$$\delta = 2.70(\lambda^2 \rho Z / A) 10^{-6}$$

$\lambda$  in Angstrom

$\rho$  in g/cm<sup>3</sup>

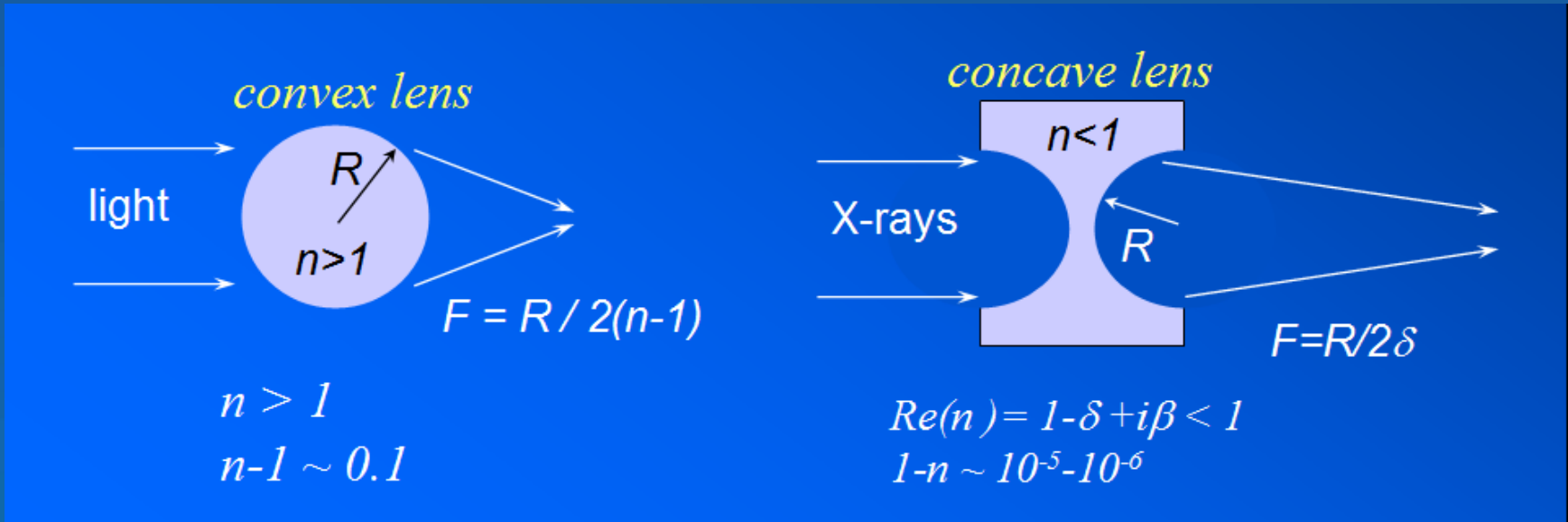
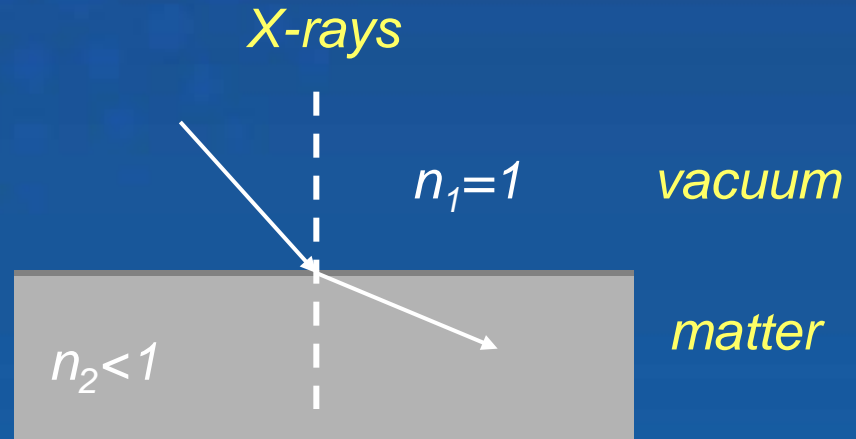
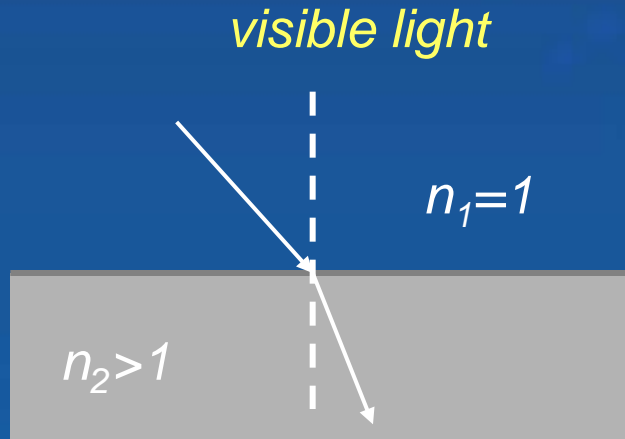
Z atomic number

A atomic mass in g

To obtain a **small focal length**:

- i) small radius of curvature R:  
    typical: R = 50 to 1500  $\mu$ m
- ii) high density of lens material
- iii) profile must be parabolic:    no spherical aberration

Snell's law:  $n_1 \cos(\theta_1) = n_2 \cos(\theta_2)$



$$F = \frac{R}{2 \delta}$$

$$Re(n) = 1 - \delta + i\beta < 1$$

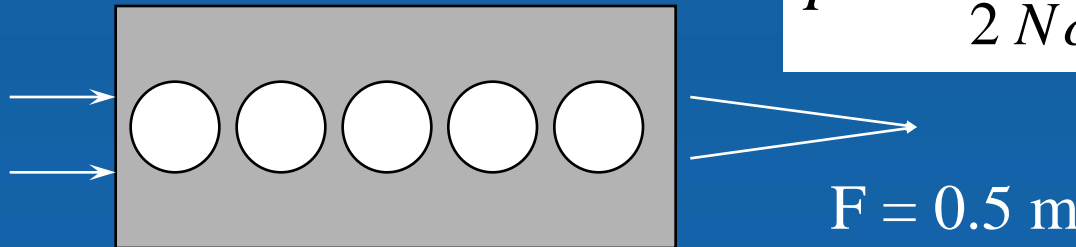
$$1 - n \sim 10^{-5} - 10^{-6}$$



**Be**  
 $E = 10 \text{ keV}$   
 $\delta = 3.4 \cdot 10^{-6}$   
 $R = 100 \mu\text{m}$

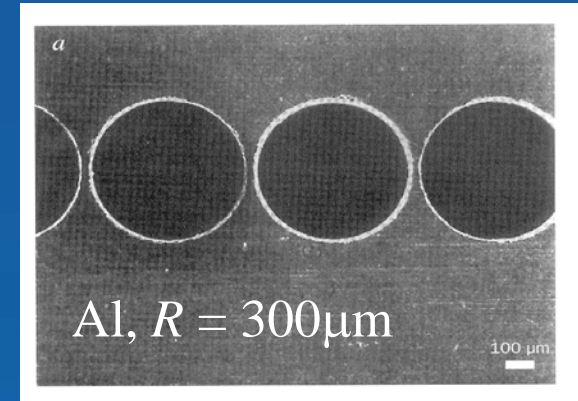
$\times N$  ↓

$$F = \frac{R}{2 N \delta}$$



$N = 30$

A. Snigirev, V. Kohn,  
 I. Snigireva, B. Lengeler  
*Nature*, Vol. 384, 49, 1996





# New Lens Focuses X-Rays

By Malcolm W. Browne  
*New York Times Service*

**N**EW YORK — A team of physicists in France and Russia has invented a new kind of lens that promises to make X-ray focusing easier, cheaper and accessible to many more users than in the past.

The invention may help in the creation of finer photolithography masks for cramming more circuits on a silicon chip, as well as in exploring the arrangement of atoms in crystals and other materials in much finer detail.

X-rays have much shorter wavelengths than visible light, and can therefore "resolve," or form images, of much smaller objects than those seen in visible light. But forming magnified images of objects using X-rays requires X-ray focusing, a longstanding problem because X-rays pass through most substances without being appreciably deflected.

The new device was built by drilling a

row of very thin holes in a block of aluminum. It can focus an X-ray beam to a spot a few millionths of an inch in diameter, and because it is so much simpler to make than comparable devices developed at Lawrence Livermore National Laboratory and elsewhere, it is expected to make X-ray analysis and chip lithography much more accessible to users.

The lens, which was invented by Dr. Anatoly Snigirev, his wife, Dr. Irina Snigireva, and their colleagues at the European Synchrotron Radiation Facility in Grenoble, France, and at the Kurchatov Institute of Atomic Energy in Moscow, was described in the journal *Nature*.

**T**HE focusing ability of any optical system based on lenses depends on the difference in the refractive indexes, or abilities to bend beams, between the lenses themselves and the matter surrounding the lenses: glass and air, in the case of

visible light. The X-ray focusing system devised by the Grenoble group exploits the differences in refractive indexes between the empty holes and the aluminum surrounding them to bend the beam. Aluminum, although opaque to light, is nearly transparent to X-rays.

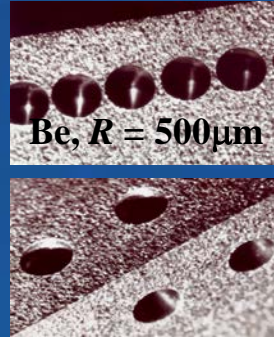
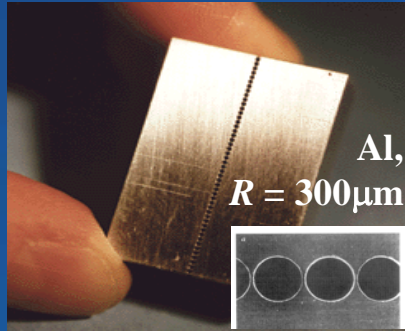
No single hole in the aluminum block has much bending effect on an X-ray beam, but when many holes are arrayed in front of the beam, their cumulative effect is to bring the beam to a sharply focused spot only a few yards from its origin.

A single row of cylindrical holes produces a flattened beam, but if one or more additional rows of holes are placed in the beam path, either perpendicular to the plane of the first row or set at angles of 120 degrees from it, the beam can be squeezed to a tiny circular spot.

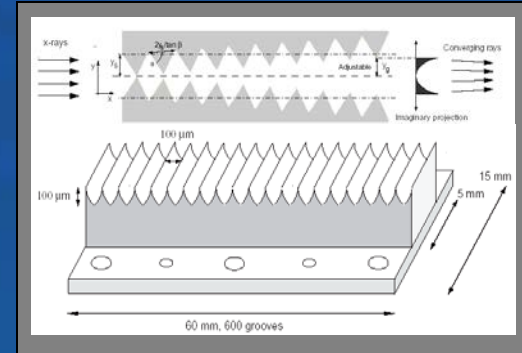
X-ray lenses are not new. Several X-ray telescopes and microscopes embody X-ray counterparts of lenses or focusing mirrors, but they are far more expensive to build than the Grenoble device.



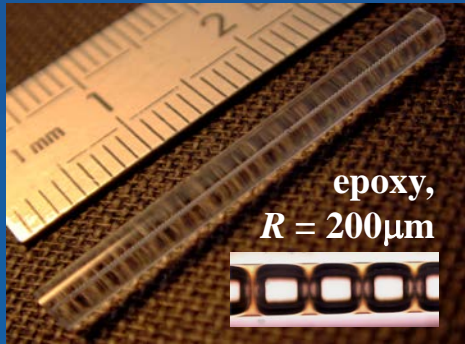
## drilling



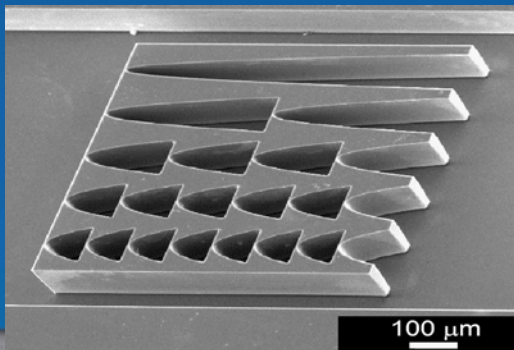
## anisotropic chemical etching



## printing/molding



## microfabrication (lithography, RIE)

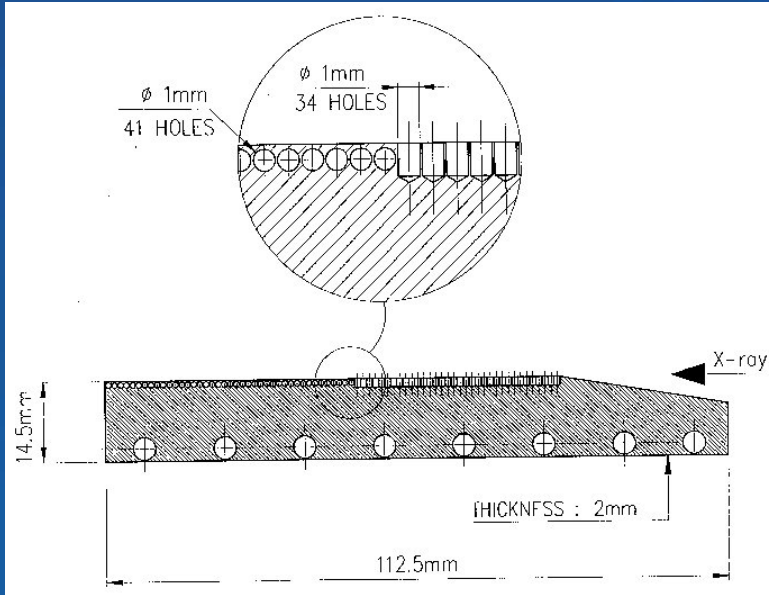


## extrusion



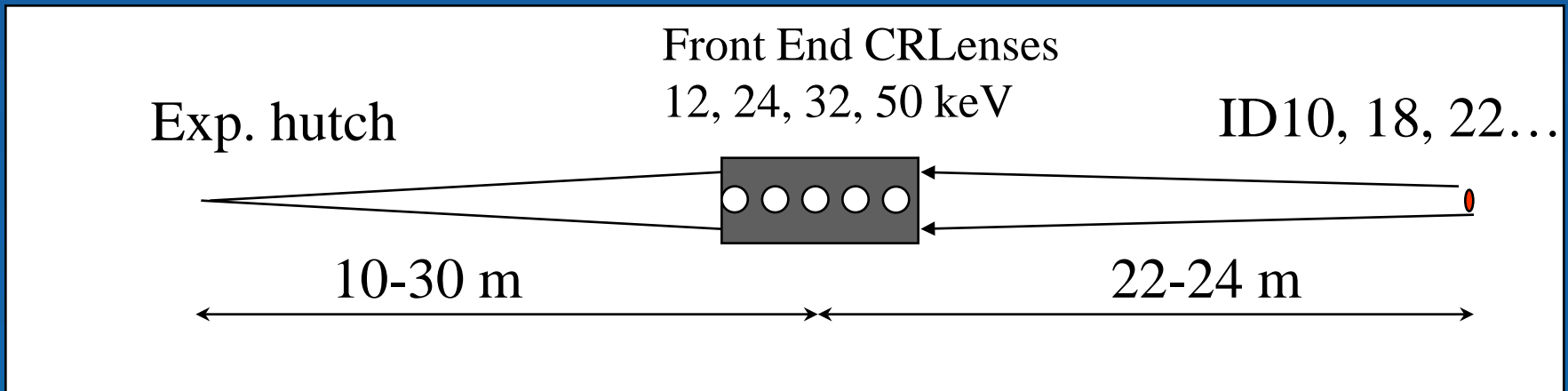
# Front-end Compound Refractive Lenses

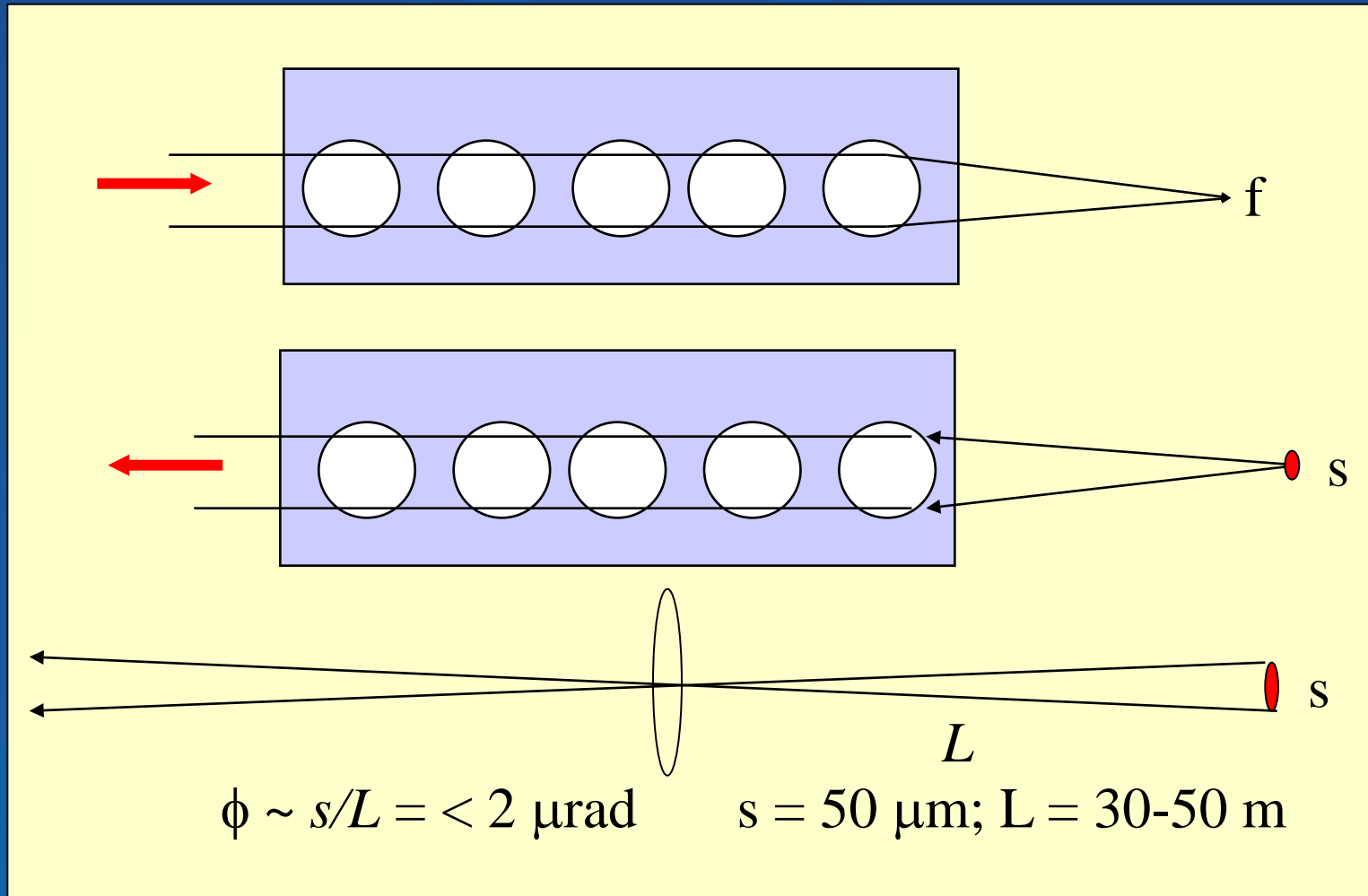
P. Elleaume, *J. Synchrotron Radiation*, 5, 1998.



1997

FE refractive lenses at 5 ESRF beamlines





from 10-20  $\mu\text{rad}$  to 1-2  $\mu\text{rad}$

ESRF:  
Spring 8:  
APS:

Chumakov et al  
Baron et al  
A. Alp et al

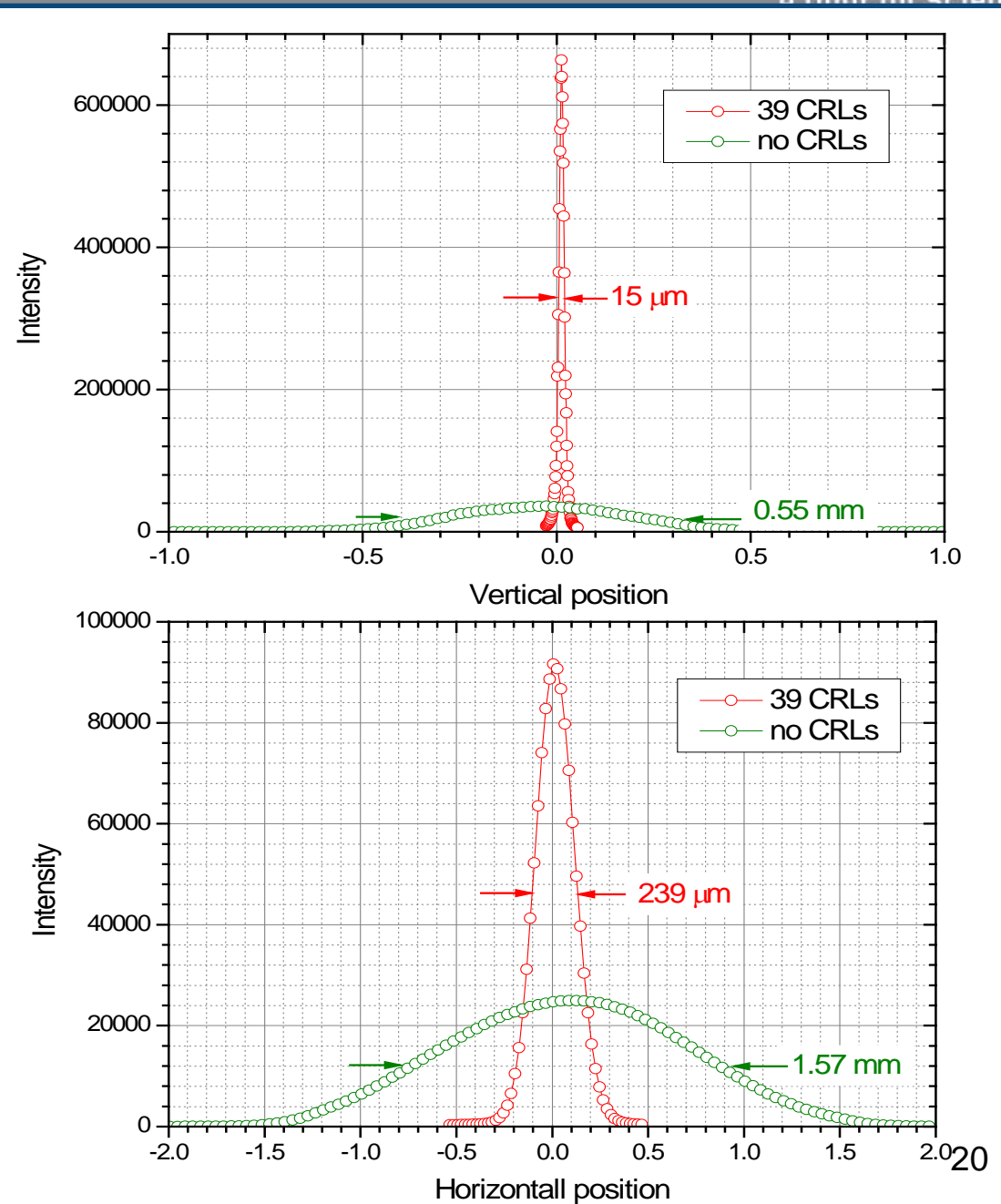
# PREFOCUSING with rotationally parabolic Be lenses ( $R = 1500\mu\text{m}$ )

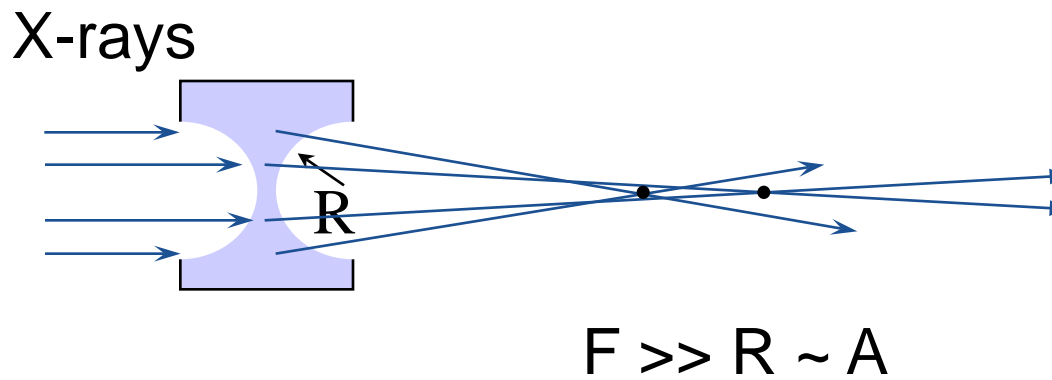
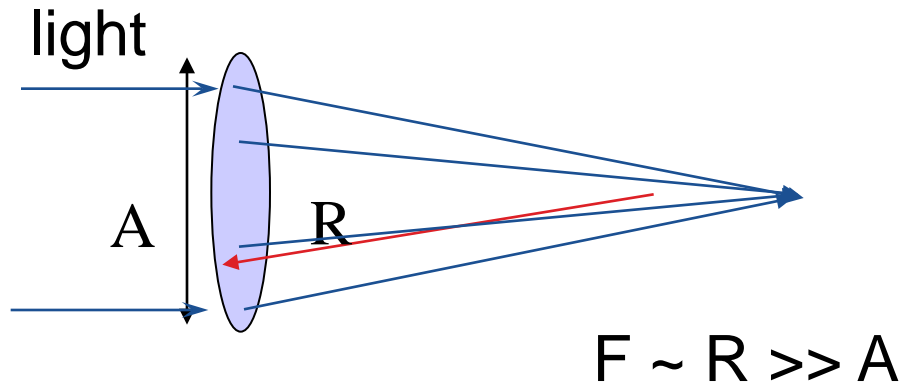
Image of the ID18  
source at ESRF

14.4125eV  
39 Be lenses  
 $R = 1500\mu\text{m}$

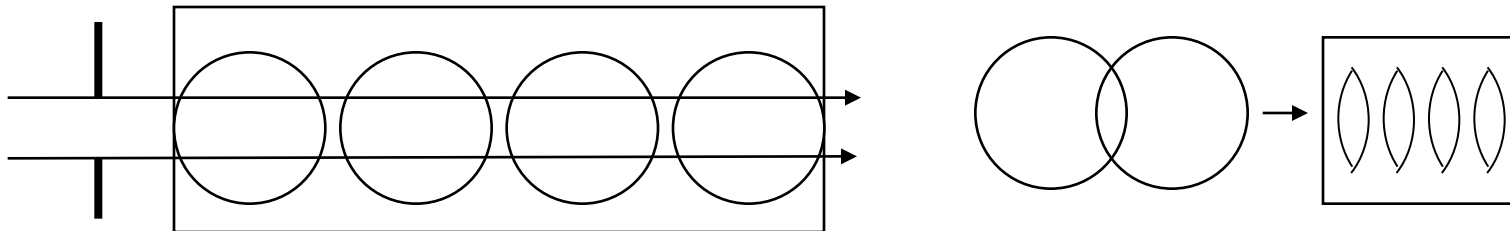
$f = 11.718\text{m}$   
geometric aperture:  
2.5mm

(A. Chumakov ESRF)





$A < 2R/3$

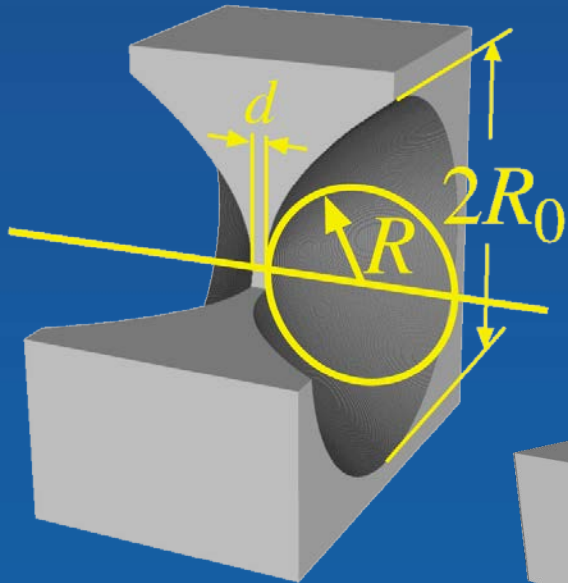




Collab. B.Lengeler,  
RWTH, Aachen, Germany

single lens

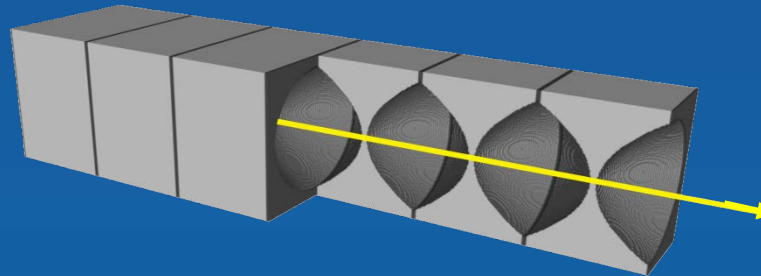
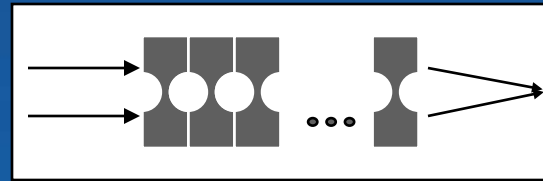
$$F = \frac{R}{2N\delta}$$



$R = 0.2\text{mm}$   
 $2R_0 = 0.9\text{mm}$   
 $d \approx 5\mu\text{m}$

stack of lenses:

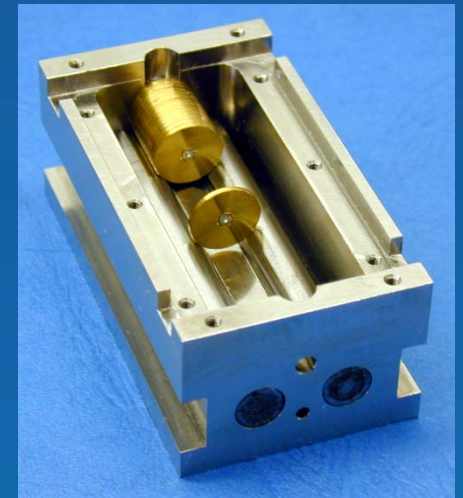
compound refractive lens (CRL)



$R = 0.5 - 1.5\text{mm}$   
 $2R_0 = 2-3\text{ mm}$

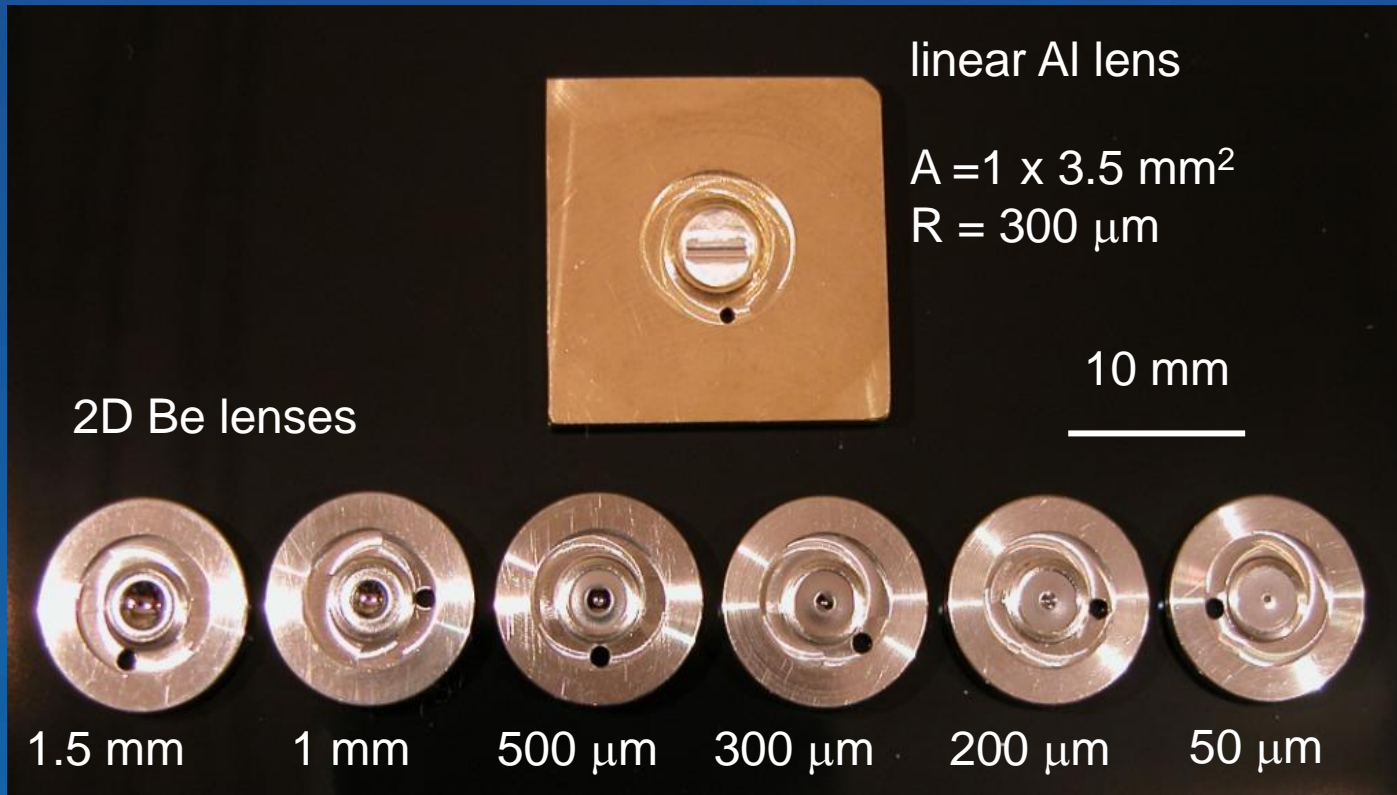
variable number of lenses:  $N = 10 \dots 300$

Al, Be, Ni

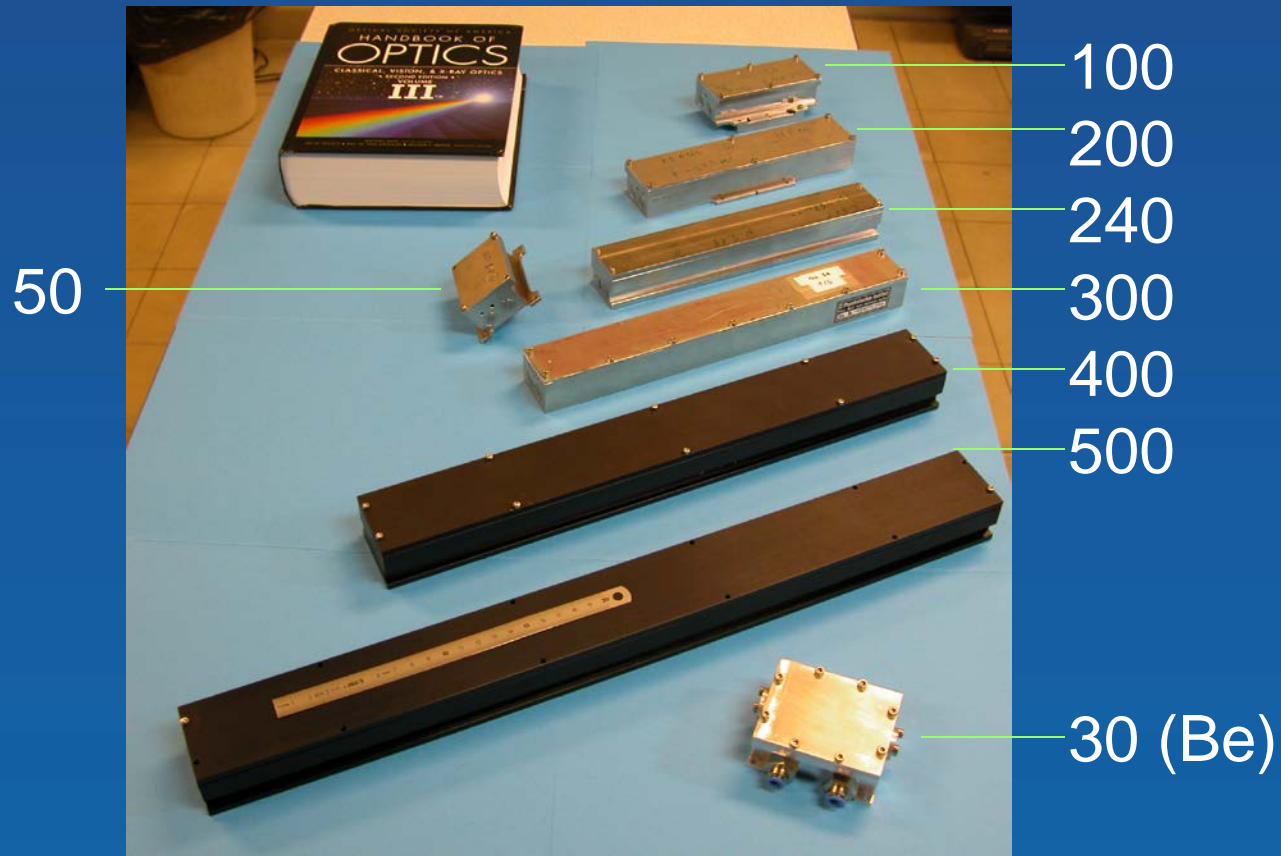


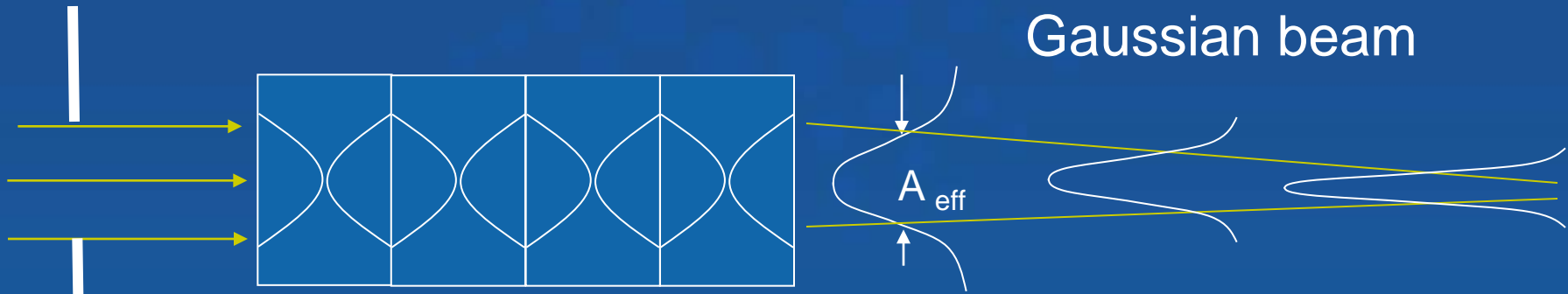


# Be / AL parabolic lenses (Aachen)

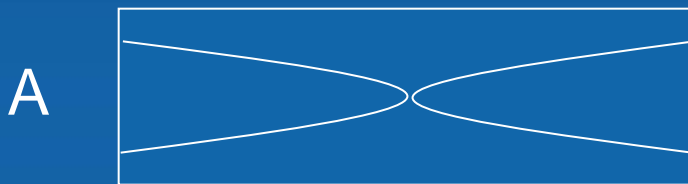
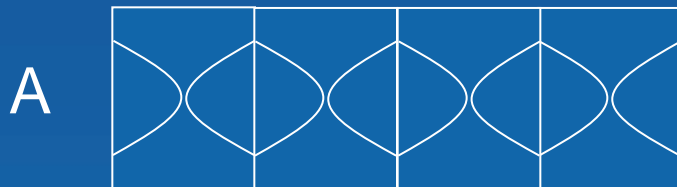


R	t	A
mm		
0.05	1	0.45
0.2	1	0.9
0.3	1	1.1
0.5	1	1.4
1	1	2
1.5	1.5	3





Effective aperture  $A_{\text{eff}}$



$$F = -\frac{R}{2N\delta}$$

# Choice of material for X-ray parabolic lenses

## Effective aperture $A_{eff}$

V. Kohn, I. Snigireva and A. Snigirev,  
Opt. Comm. 216 (2003), 247

$$A_{eff} = \left( \lambda f \frac{\delta}{\beta} \right)^{1/2} = \left( 4\pi f \frac{\delta}{\mu} \right)^{1/2}$$

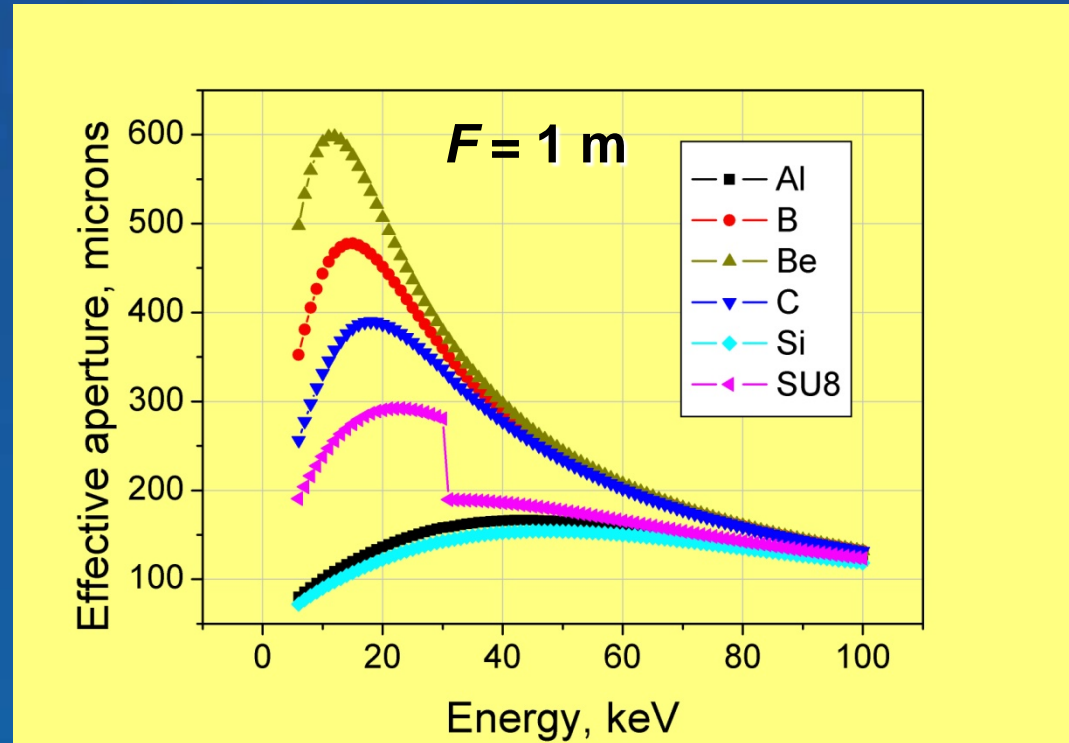
$\lambda$  - wavelength

$f$  - focal length

$\delta$  - real part of decrement of refraction index

$\beta$  - imaginary part of decrement of refraction index

$\mu$  - linear attenuation coefficient

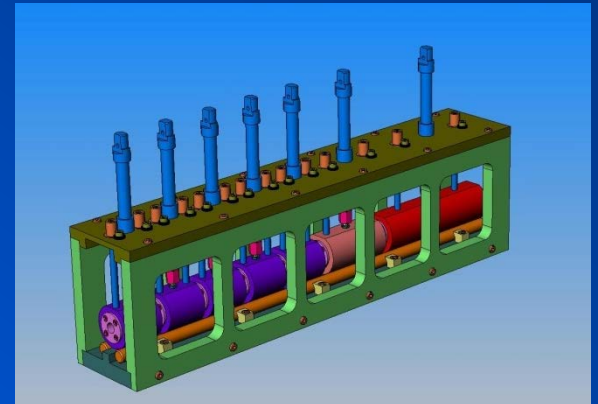
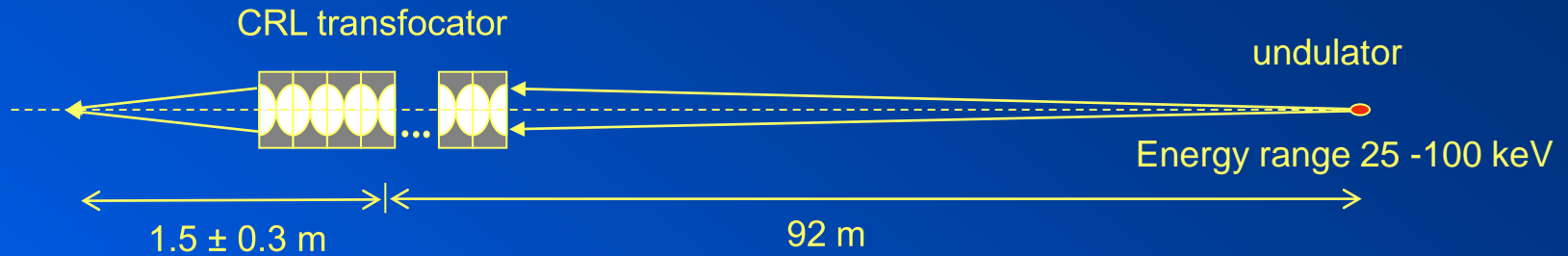


Effective aperture for the focal length  $F = 1 \text{ m}$

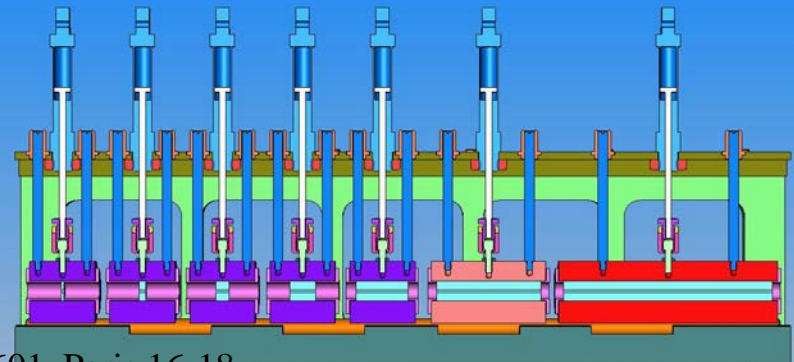
# Трансфокатор

# CRL translocator

Energy range 10 -100 keV



CRLs : 2; 4; 8; 16; 32; 64; 128



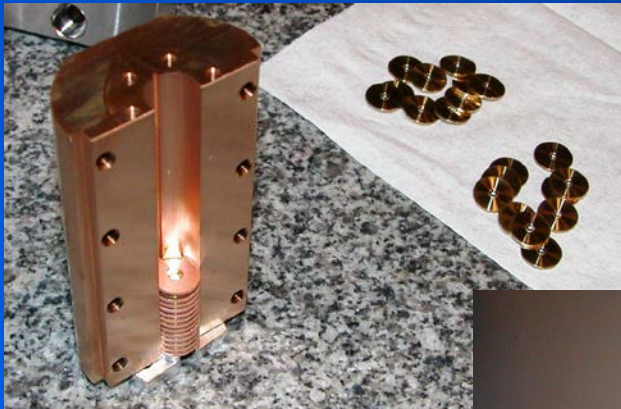


# Cinel X-ray Refractive Lens Transfocator

(in-vacuum/white beam) ID11

lens and cartridges assembly 5.12.08  
chamber assembly 8.12.08

installation at ID11 January 2009  
test / commissioning Jan-Feb 2009



cartridge and lenses



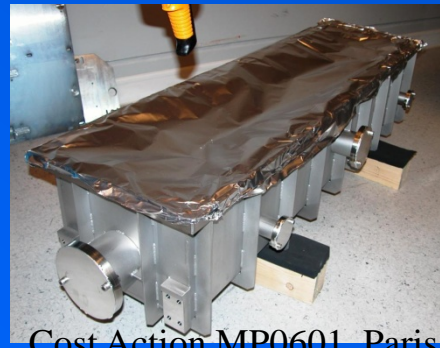
actuators



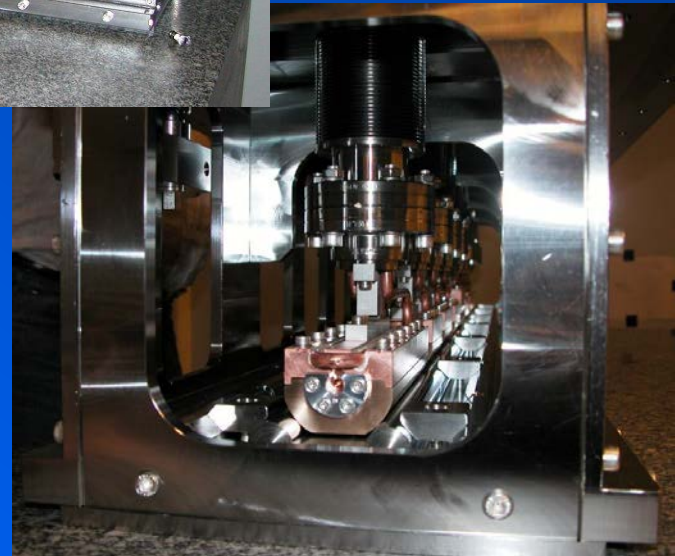
aboard view

cartridges with Be lenses

$32 + 64 = 96$  Al lenses  
 $1 + 2 + 4 + 8 + 16 + 32 = 63$  Be lenses

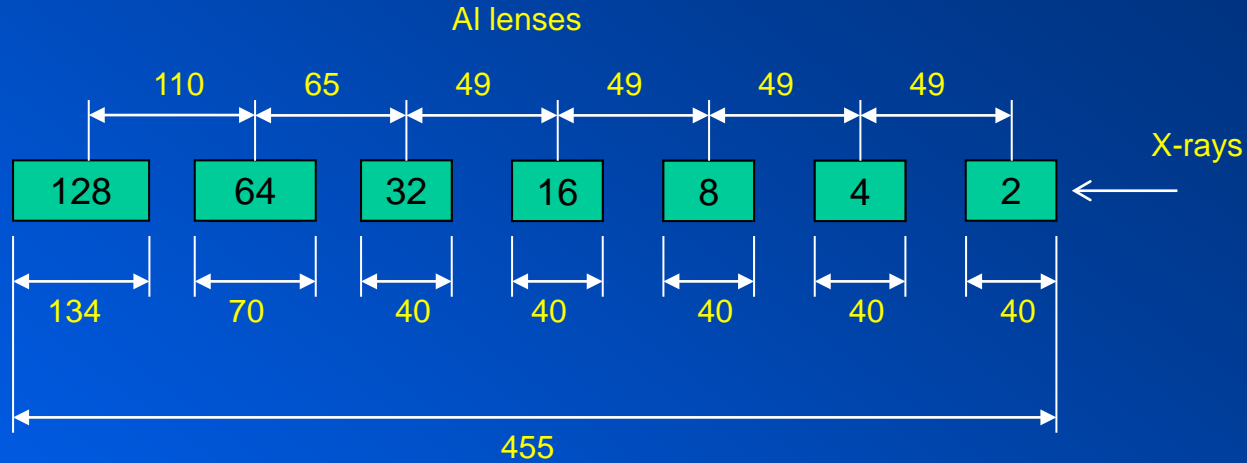


Cost Action MP0601, Paris 16-18  
vacuum chamber  
November 2011

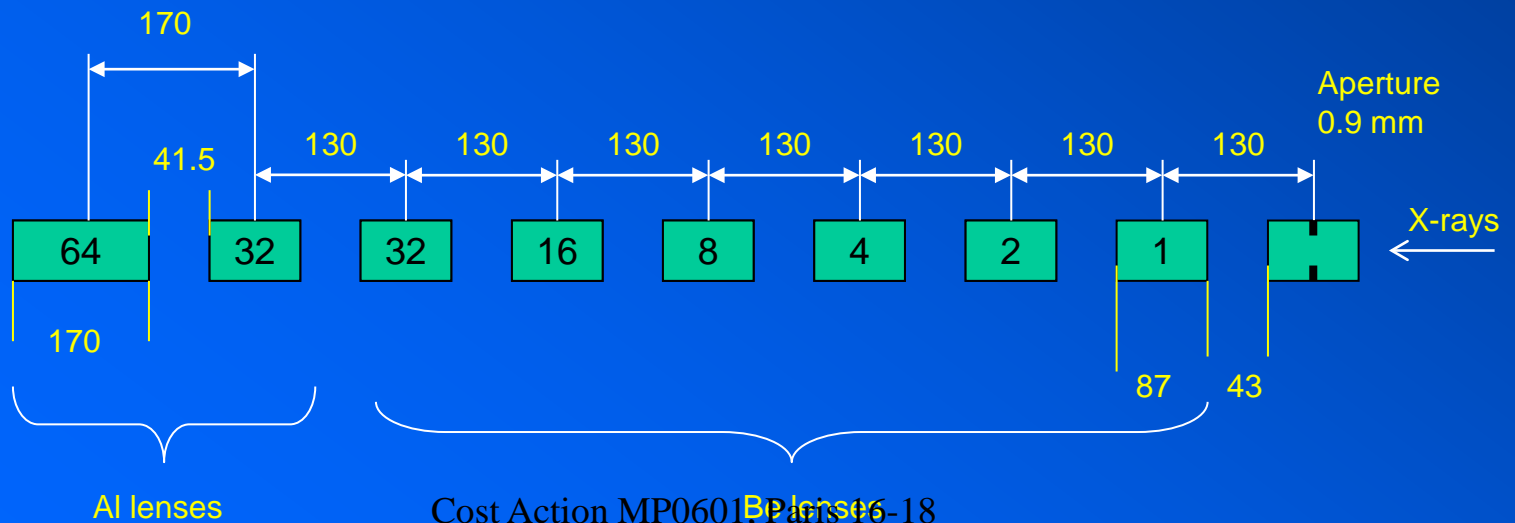


# lens assembly layout

## IAT transfocator



## IVT transfocator

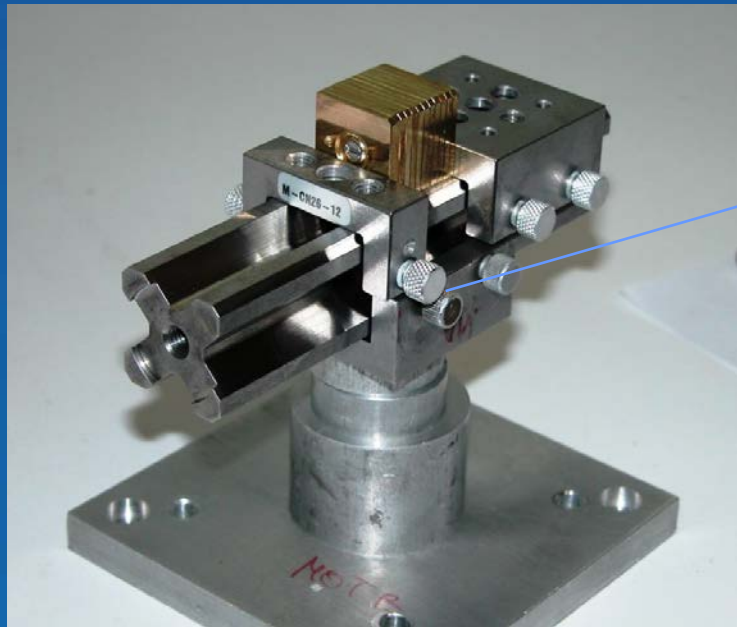
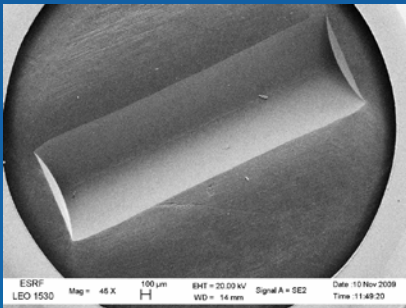
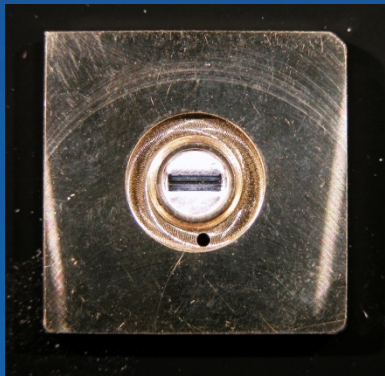






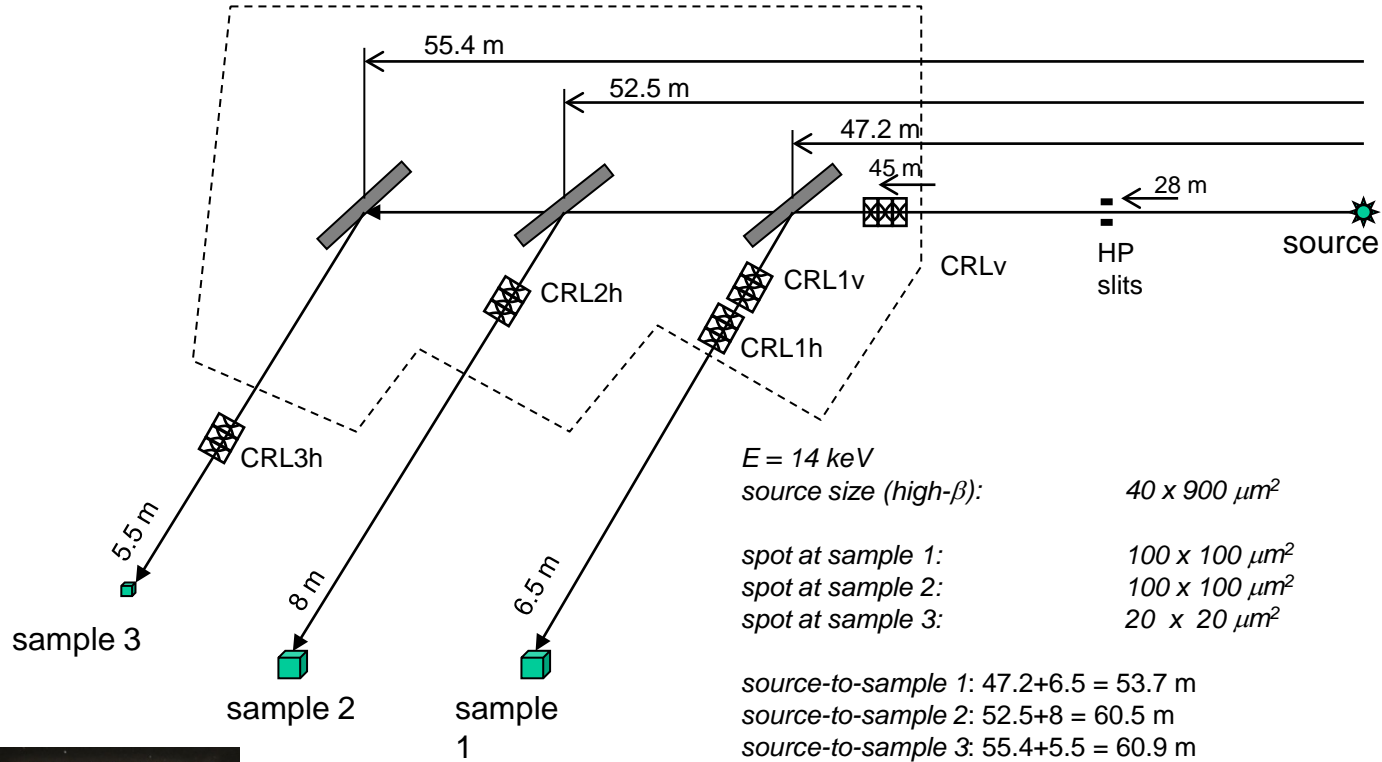
Cost Action MP0601, Paris 16-18  
November 2011

$E = 12 \text{ keV}$ ,  $N = 10 \text{ lenses}$ ,  $R = 300 \text{ } \mu\text{m}$



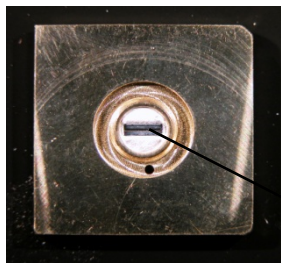


# MASSIF layout based on linear CRLs (astigmatic focusing)



CRLv:  
 2v)  $L1=45\text{m}$   $L2=15.5\text{m}$   $\delta=50\mu\text{m}$  (def 15.5m-sample vs 15.9m-focus)  
 3v)  $L1=45\text{m}$   $L2=15.9$   $N=12$   $R=500\mu\text{m}$   $A_g=1.4\text{mm}$   $A_{ef}=1.5\text{mm}$   
 $\delta=14\mu\text{m}$

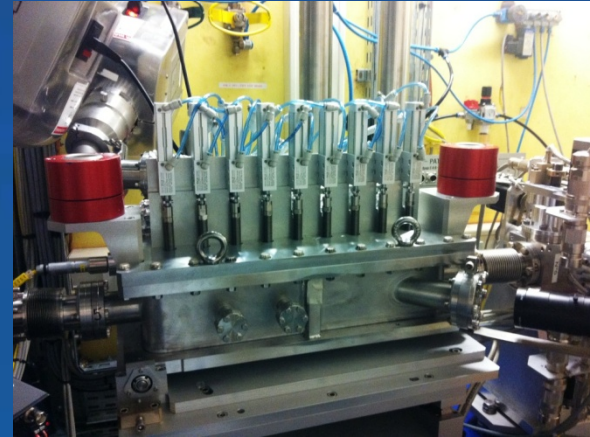
Total number of lenses  $N = 125 = 102(300) + 23(500)$



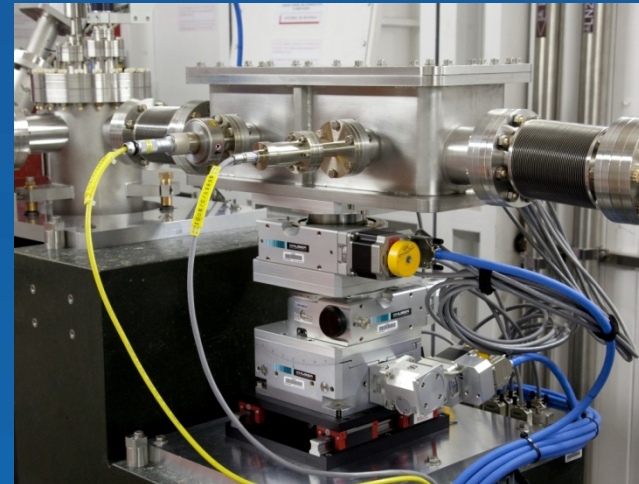
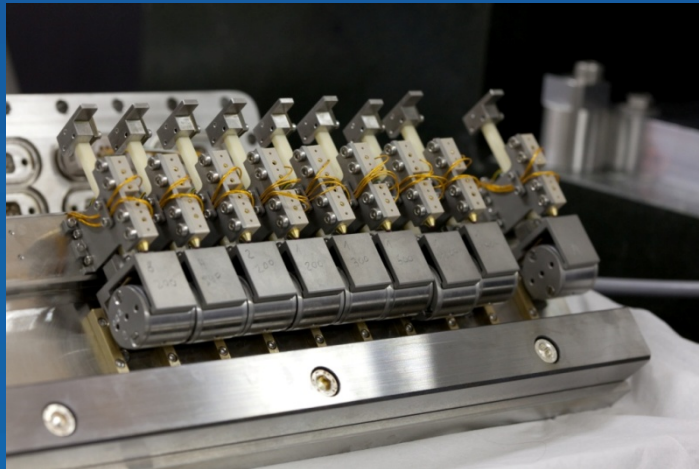
2.5 mm  
1 - 2 mm

Linear parabolic lens

ID32



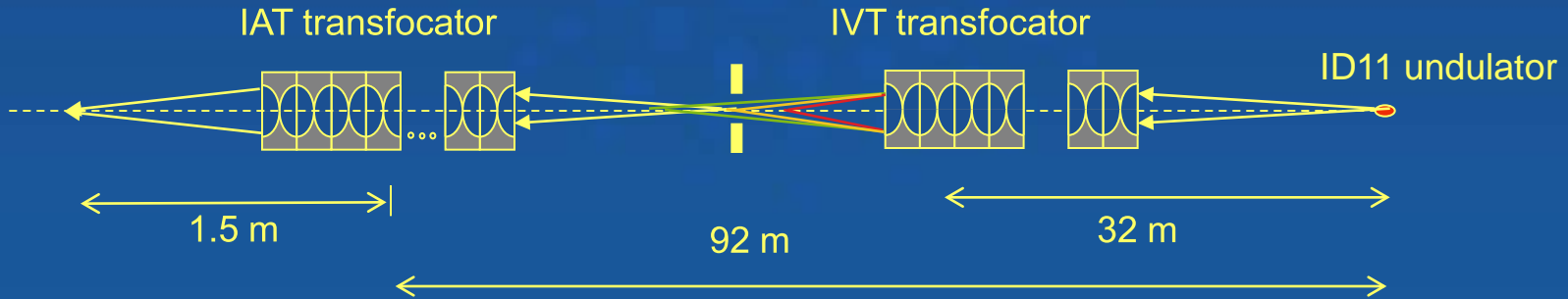
ID6



## Low energy resolution monochromator (longitudinal)

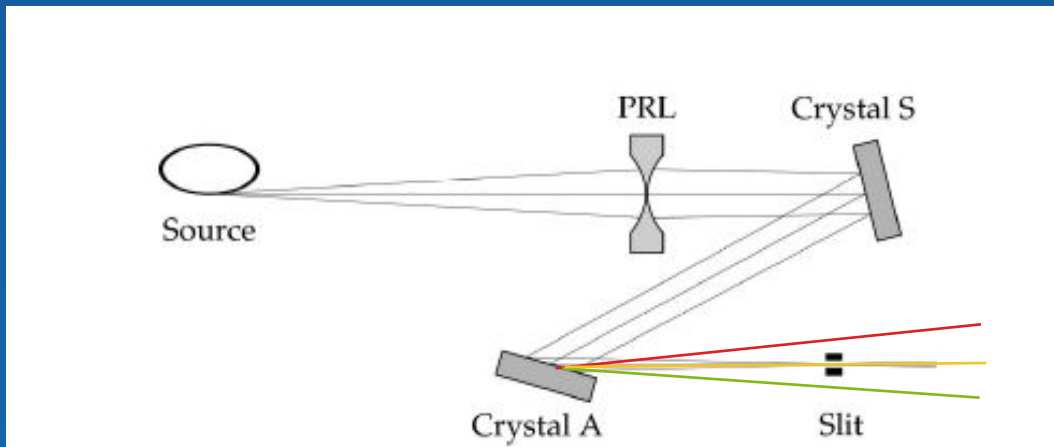
Energy range 25 -100 keV

$\Delta E \sim 1 \%$



G. Vaughan et al., J. Synchrotron Rad., to be published.

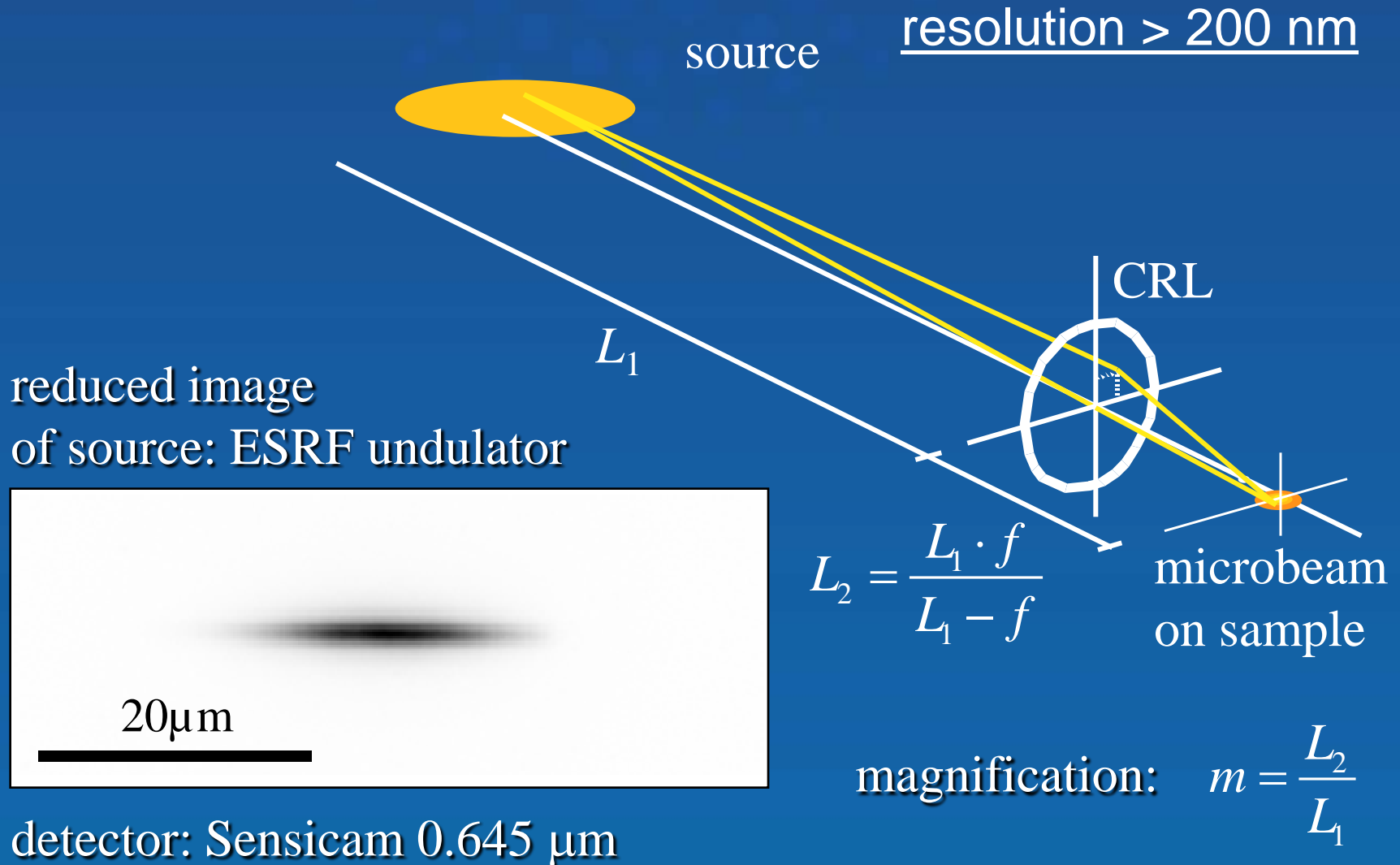
## High energy resolution monochromator (transversal)



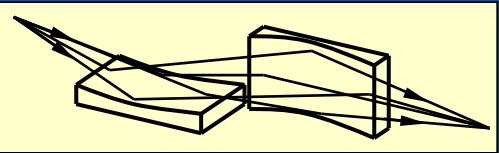
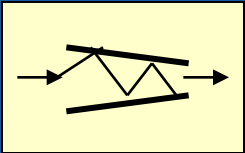
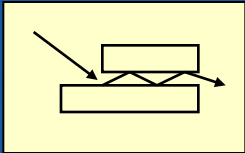
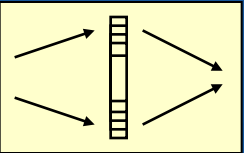
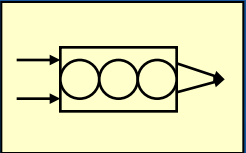
$\Delta E \sim 0.1 \text{ meV} !!!$

V. Kohn, A. Chumakov, R. Ruffer, J. Synchrotron Rad., 16, 635-641, 2009.

Using CRL: Microbeam is reduced image of source





	<i>reflective</i>			<i>diffractive</i>	<i>refractive</i>	
	Kirkpatrick Baez systems		Capillaries	Waveguides	Fresnel Zone plates	Refractive lenses
	mirrors Kirkpatrick Baez, 1948	multilayers Underwood Barbee, 1986	Kreger 1948	Feng et al 1993	Baez 1952	Snigirev et al, 1996
						
Energy	< 30 keV	< 80keV	< 20keV	< 20keV	< 30 keV (80)	<1 MeV
Bandwidth $\Delta E/E$	w. b.	$10^{-2}$	w.b.	$10^{-3}$	$10^{-3} - 10^{-4}$	$10^{-3}$
resolution	<b>25 nm</b> @ 15keV Mimura 2006 8 nm !	<b>41x45nm<sup>2</sup></b> @24keV Hignette 2006	<b>50 nm</b> Bilderback 1994	<b>40x25 nm<sup>2</sup></b> Salditt 2004	<b>30 nm</b> @20 keV Kang, 2006 <u>17 nm</u> , 2007 ??	<b>50 nm @20keV</b> Schroer, 2004 <b>150nm @50keV</b> Snigirev,2006

- Beam diagnostics
- Beam conditioning optics
  - condensers,
  - collimators
  - monochromators
- Micro (nano)-beam
  - diffraction, scattering & spectroscopy
- Microscopy
  - full-field imaging and diffraction
- Interferometry

## Interfacial Melting of Ice in Contact with SiO<sub>2</sub>

S. Engemann,<sup>1</sup> H. Reichert,<sup>1</sup> H. Dosch,<sup>1,2</sup> J. Bilgram,<sup>3</sup> V. Honkimäki,<sup>4</sup> and A. Snigirev<sup>4</sup>

<sup>1</sup>Max-Planck-Institut für Metallforschung, Heisenbergstrasse 3, D-70569 Stuttgart, Germany

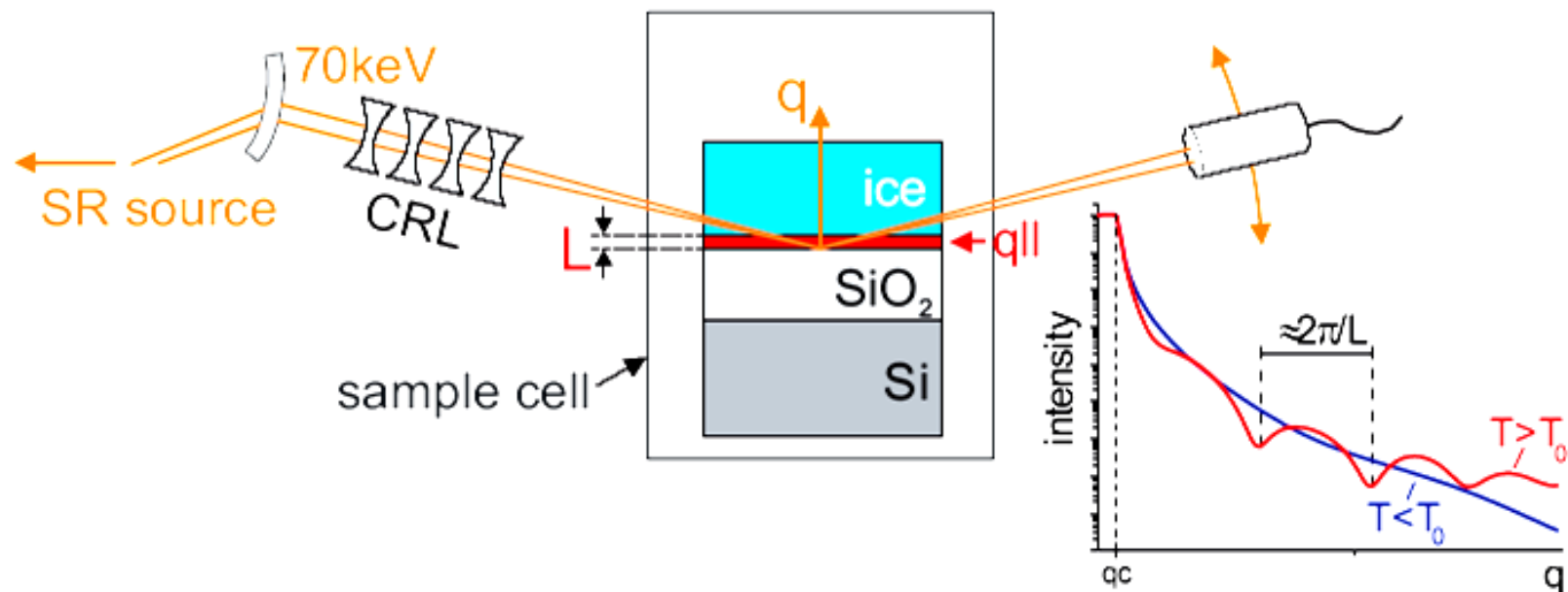
<sup>2</sup>Institut für Theoretische und Angewandte Physik, Universität Stuttgart, D-70569 Stuttgart, Germany

<sup>3</sup>Laboratorium für Festkörperphysik, ETH Zürich, CH-8093 Zürich, Switzerland

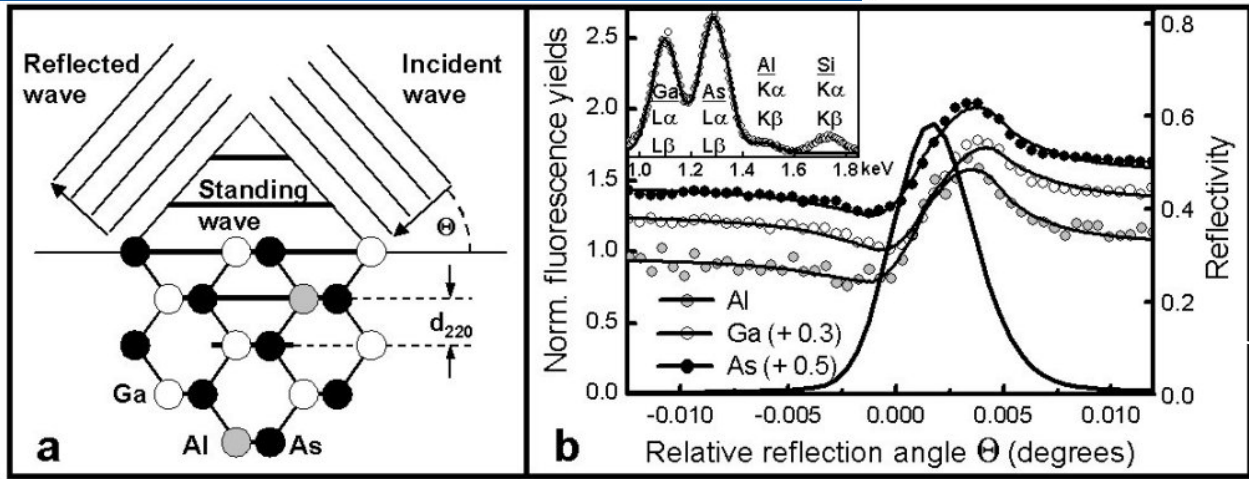
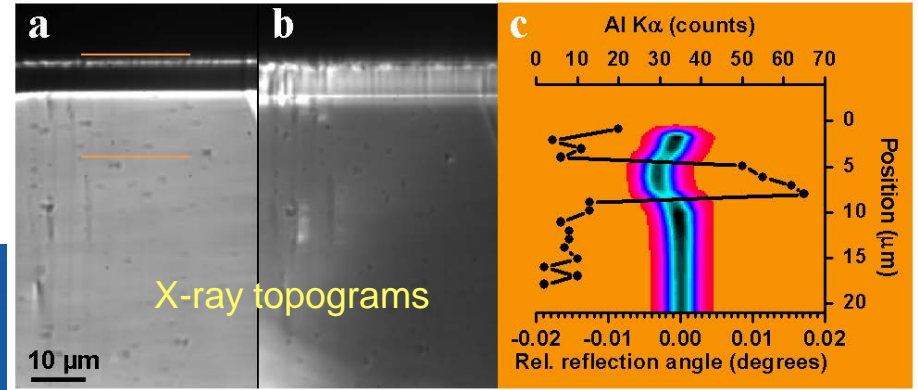
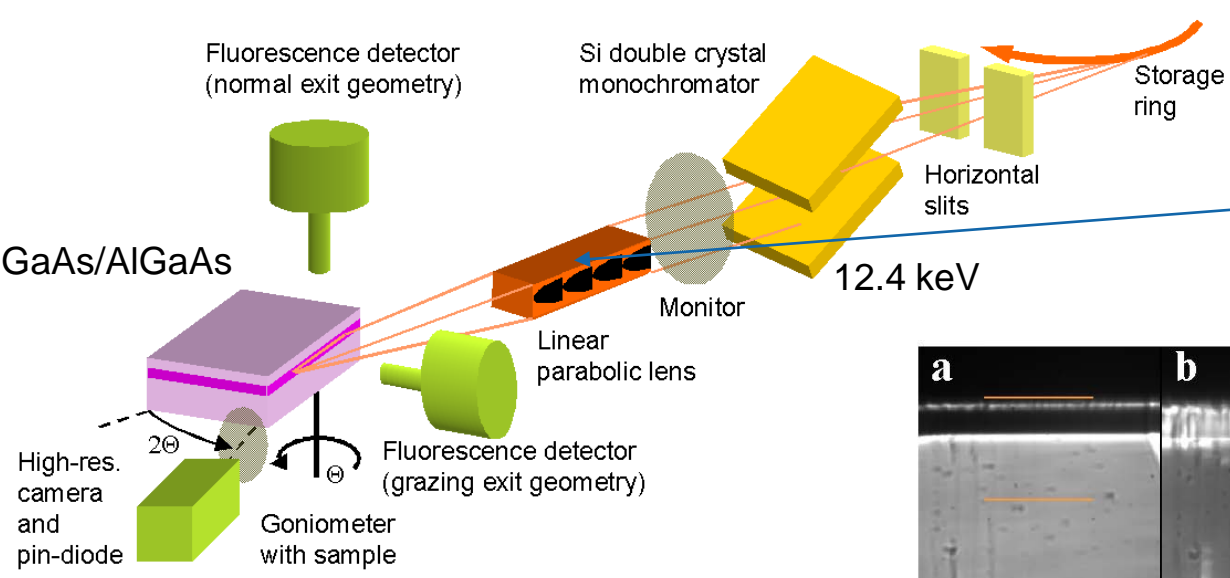
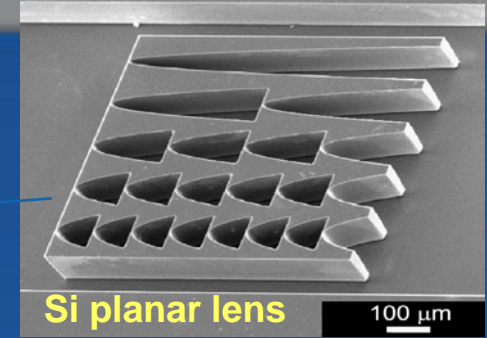
<sup>4</sup>European Synchrotron Radiation Facility, F-38043 Grenoble, France

(Received 15 January 2004; published 17 May 2004)

The physical behavior of condensed matter can be drastically altered in the presence of interfaces. Using a high-energy x-ray transmission-reflection scheme, we have studied ice-SiO<sub>2</sub> model interfaces. We observed the formation of a quasiliquid layer below the bulk melting temperature and determined its thickness and density as a function of temperature. The quasiliquid layer has stronger correlations than water and a large density close to  $\rho_{\text{HDA}} = 1.17 \text{ g/cm}^3$  of high-density amorphous ice suggesting a structural relationship with the postulated high-density liquid phase of water.



# X-ray standing wave microscopy

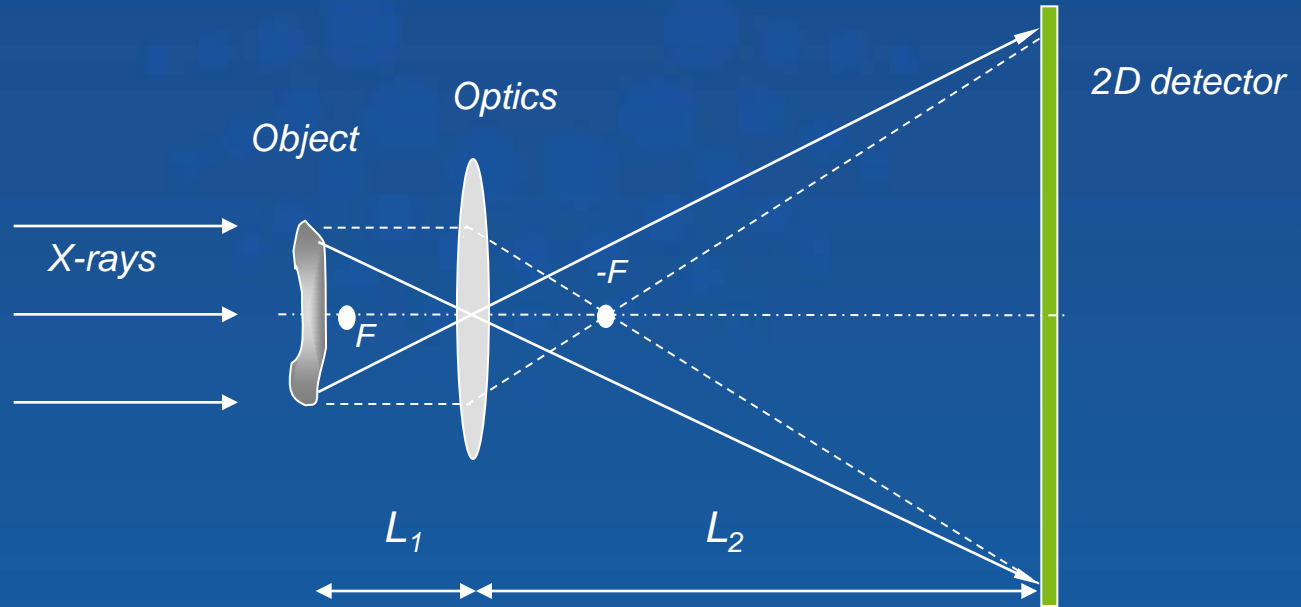


rocking curves mapping with focused x-ray beam

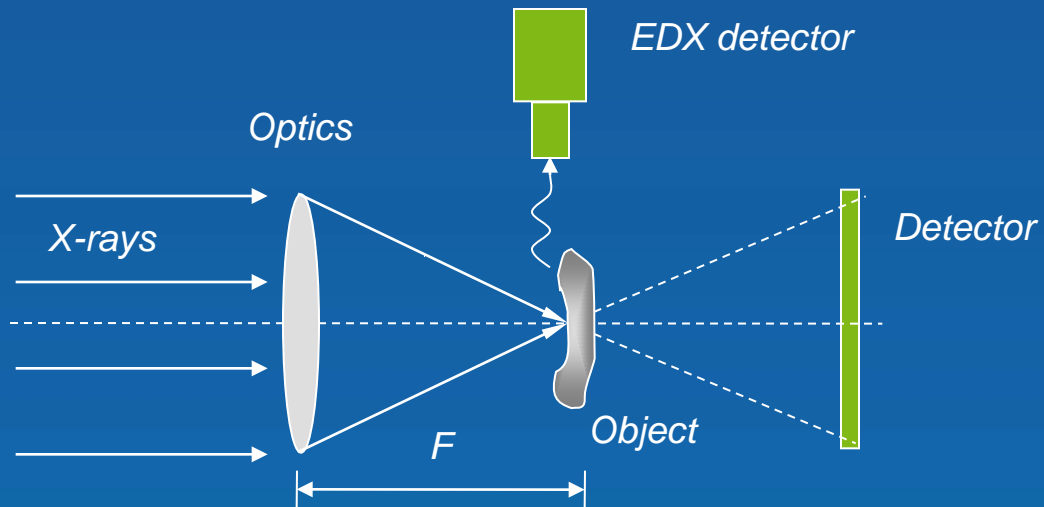
Reflectivity and fluorescence yields from the epitaxial  $Al_{0.1}Ga_{0.9}As$ -layer as a function of the glancing angle  $\theta$



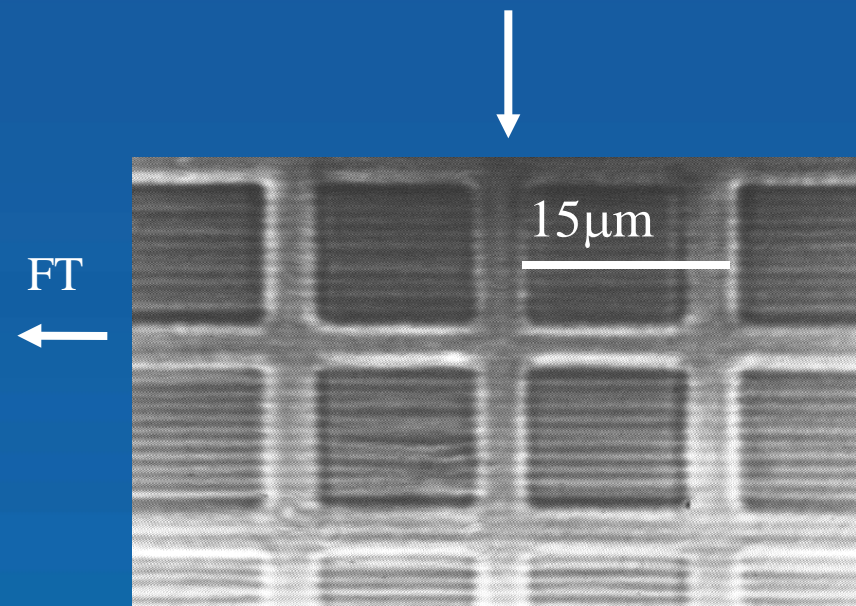
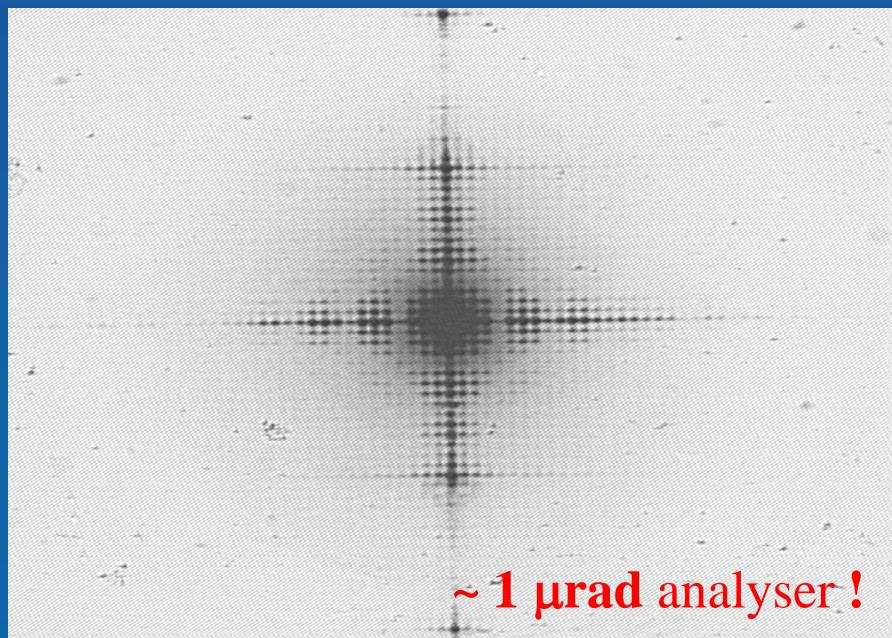
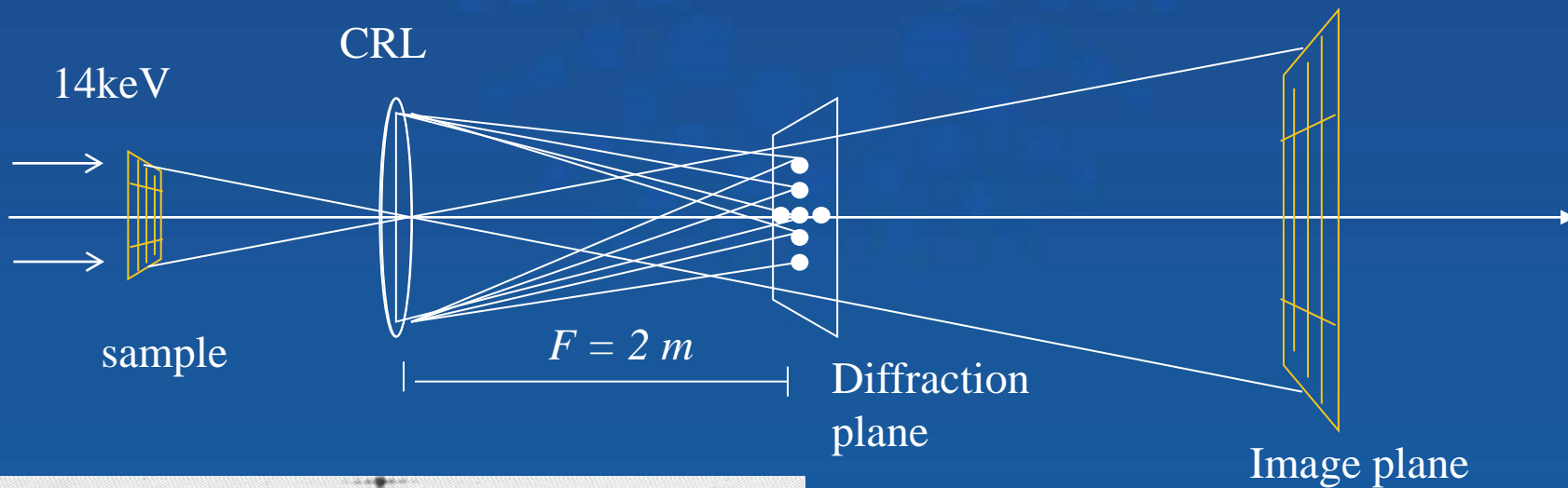
## Full-field



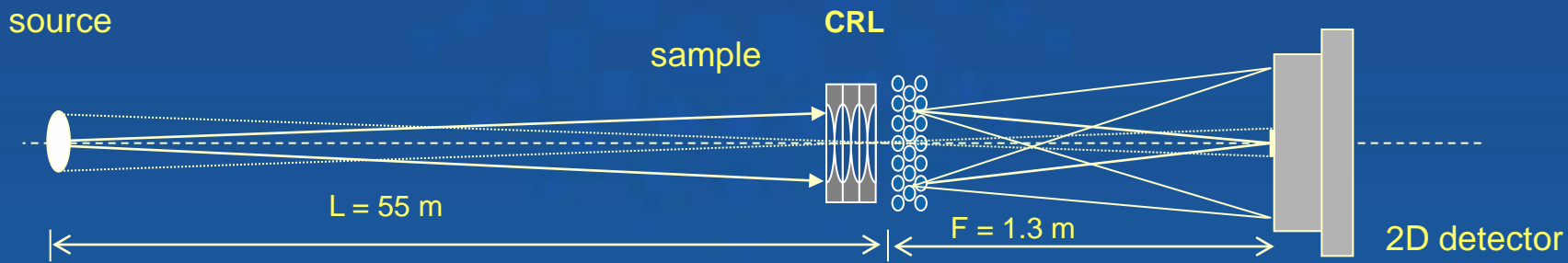
## Scanning



# Fourier Transform Diffraction/Imaging



# X-ray High Resolution Diffraction Using Refractive Lenses



$E = 28 \text{ keV}$

Al CRL,  $N = 112$ ,  $F = 1.3 \text{ m}$

Si photonic crystal

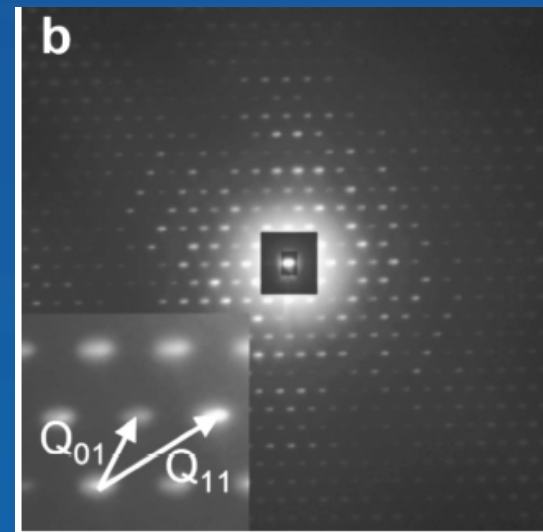
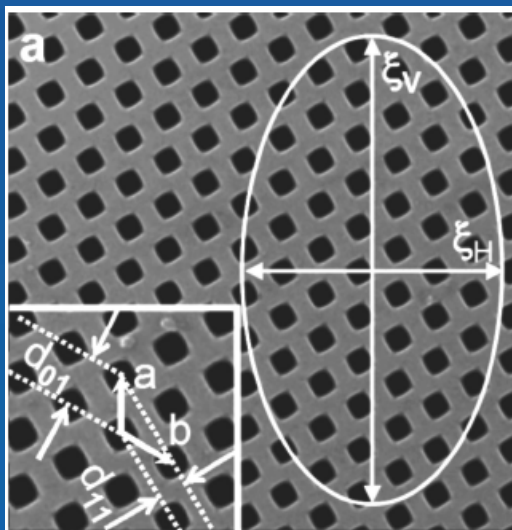
$a=b=4.2 \text{ }\mu\text{m}$   $d_{01}=3.6 \text{ }\mu\text{m}$   $d_{11}=2.1 \text{ }\mu\text{m}$

CCD resolution  $2 \text{ }\mu\text{m}$

pixel /  $\Theta = d$

Resolution is limited by angular source size:  
 $s/L \sim 1 \text{ }\mu\text{rad}$

Momentum transfer  
Resolution:  $10^{-4} \text{ nm}^{-1}$



Lattice vectors  $g_{01} = 1.75 \cdot 10^{-3} \text{ nm}^{-1}$   $g_{11} = 3 \cdot 10^{-3} \text{ nm}^{-1}$

*M. Drakopoulos, A. Snigirev, I. Snigireva, J. Schilling, Applied Physics Letters, 86, 014102, 2005.*



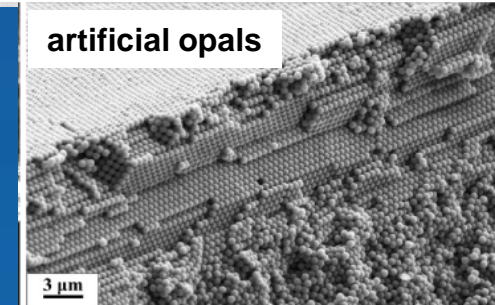
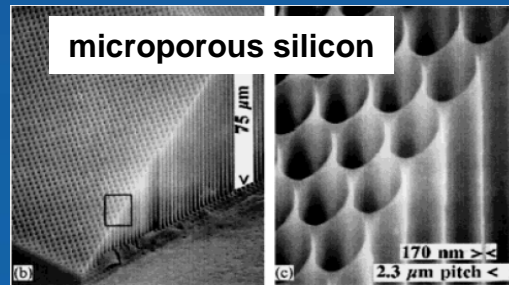
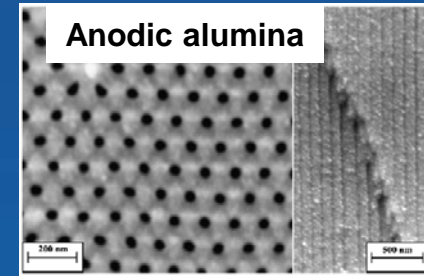
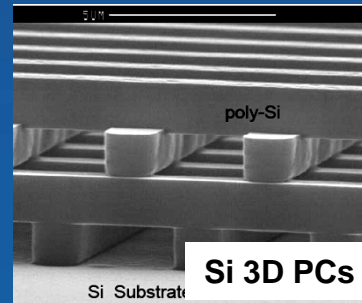
## Mesoscopic materials

Natural

Man-made

- Mineral (Zeolites, Opals, Quartz, Clays)
- Single Cell (Lipid, Protein, Cellulose, Silica)
- Exoskeleton (Sea shells, crabs, butterflies)
- Connective Tissues (Collagens, Fibers)
- Endoskeleton (Cartilage, Bone, Teeth)
- Epithelial (Skin, Hair, Feathers)

- Photonic crystals:
  - microfabrication-lithography and etching
  - self-assembled colloidal crystals
- Self-organized anodic alumina
- Microporous silicon etc.



structure period from 100 nm to 1000 nm.  
diffraction angles  $10^{-4}$  ....  $10^{-3}$  radian

require high resolution in reciprocal space !



## Electron microscopy:

tiny sample  
surface structure  
in-situ investigation hardly possible

## Light microscopy:

- confocal optical microscopy:

limited sample volumes  
too strong scattering!  
not applicable for inverted photonic crystals

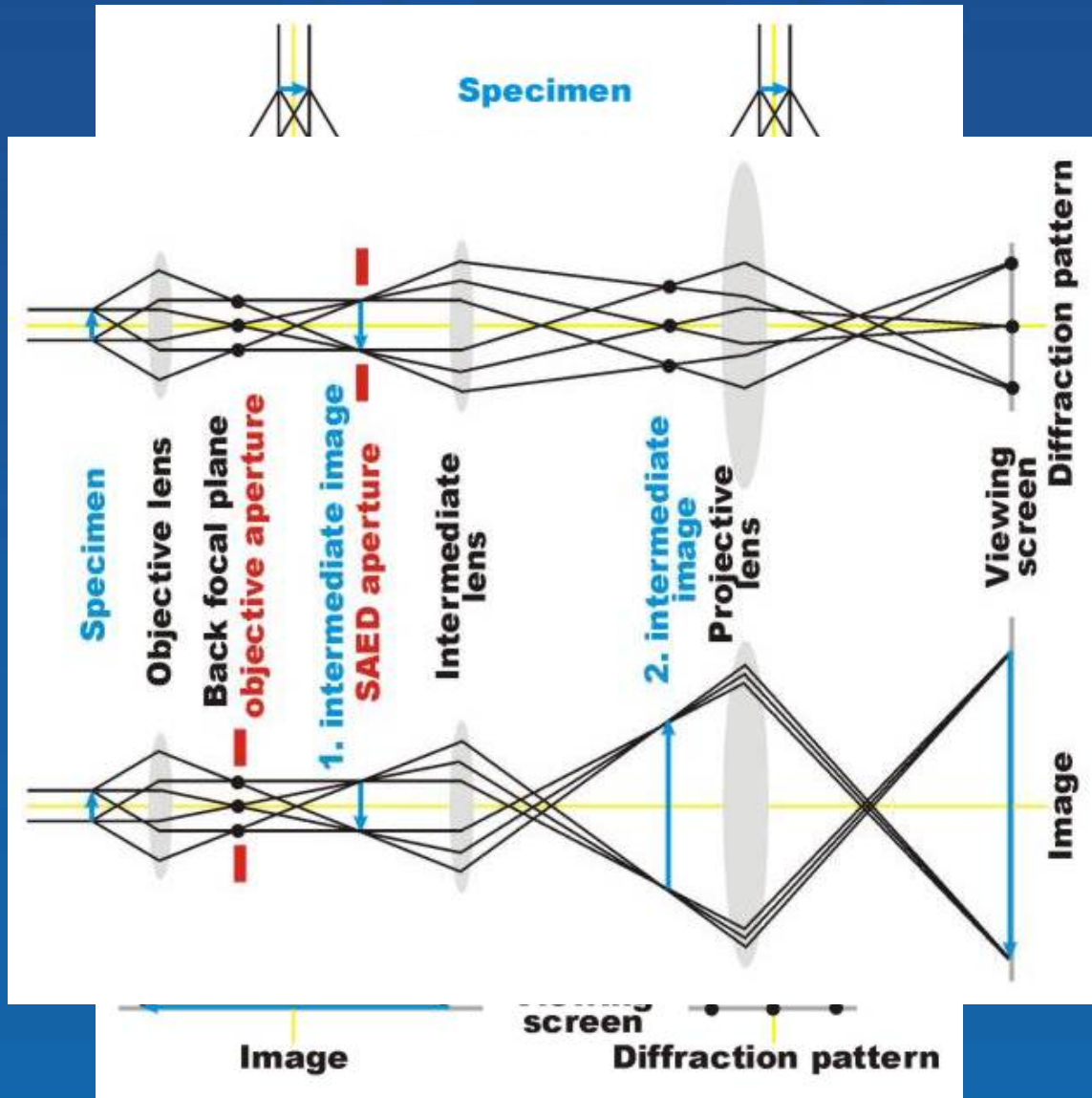
## Laser diffraction:

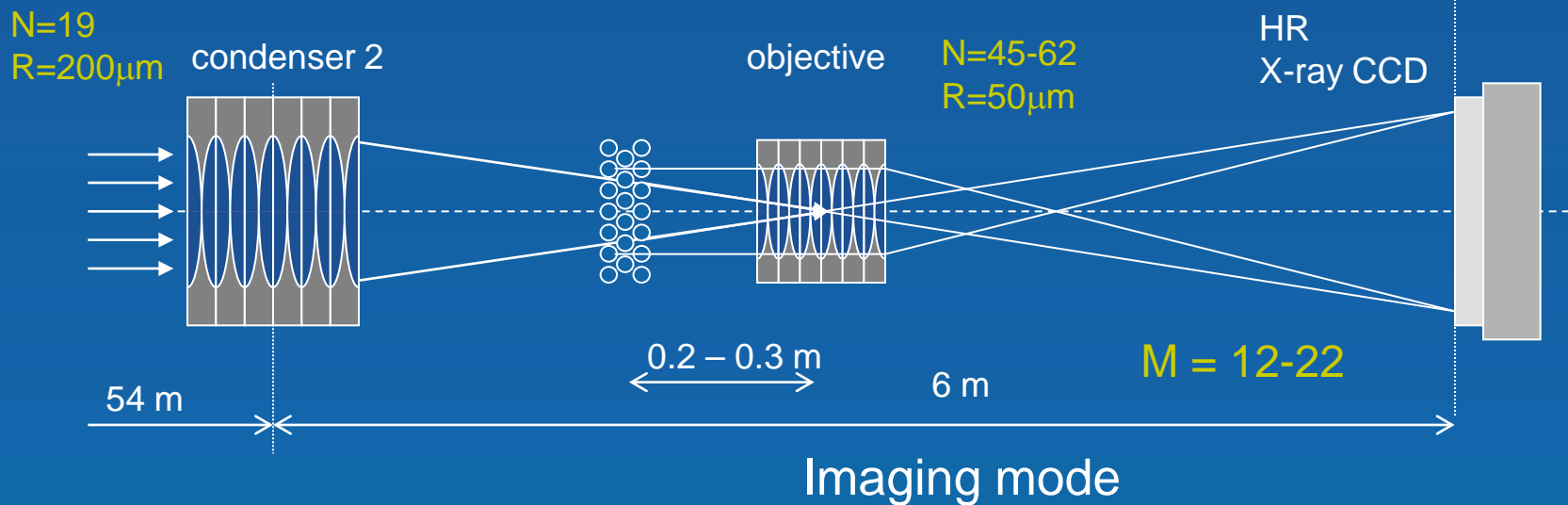
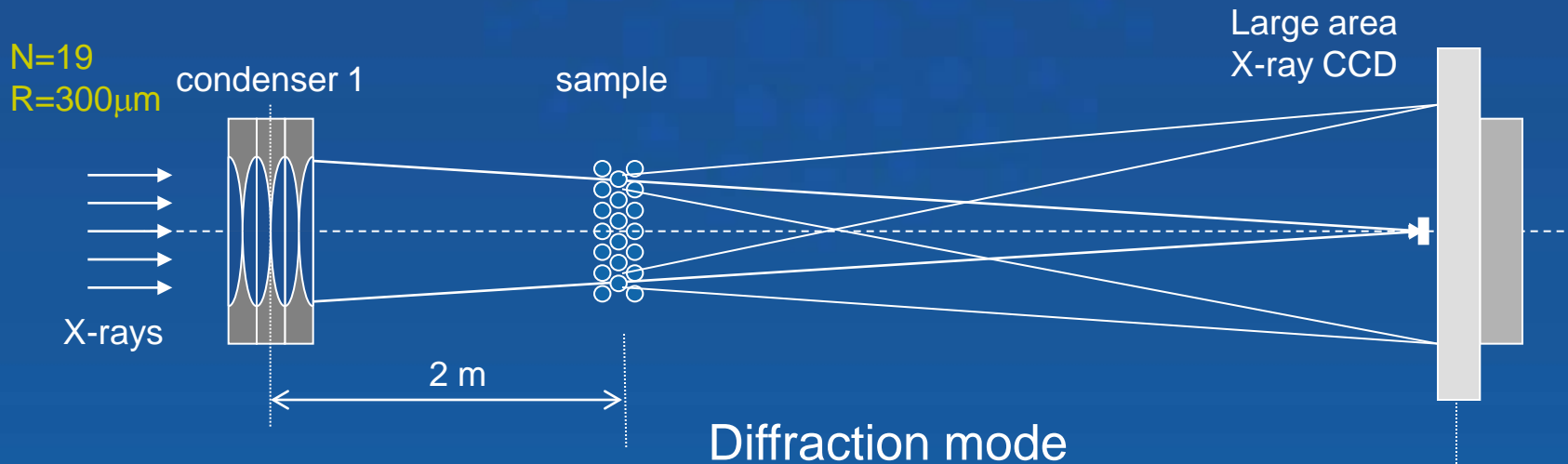
too high refractive index contrast  
can be applied for periodic structures with  
sufficiently large periodicity

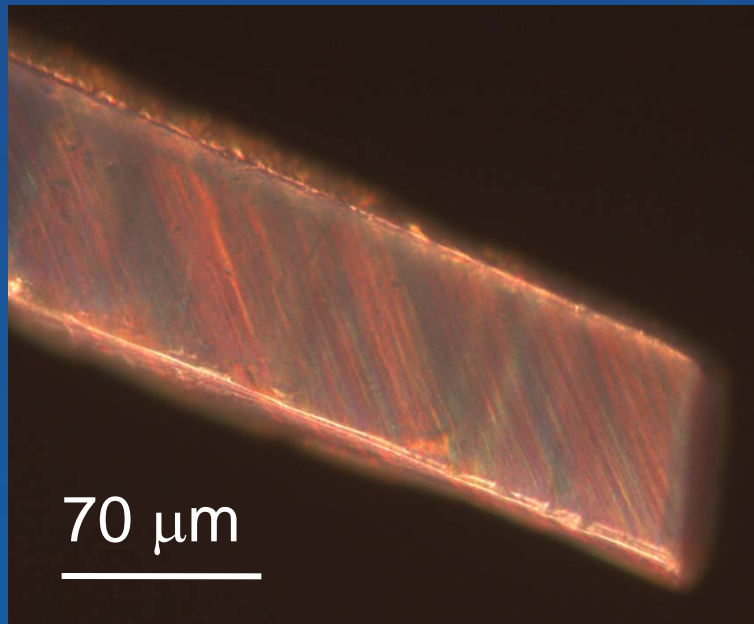
## Neutrons:

- small-angle neutron diffraction:

poor resolution  
unable to reveal important details in the  
diffraction patterns

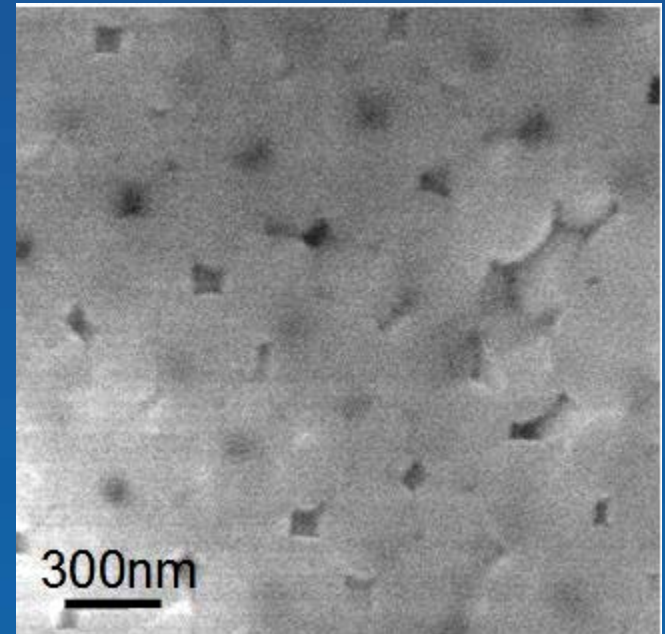
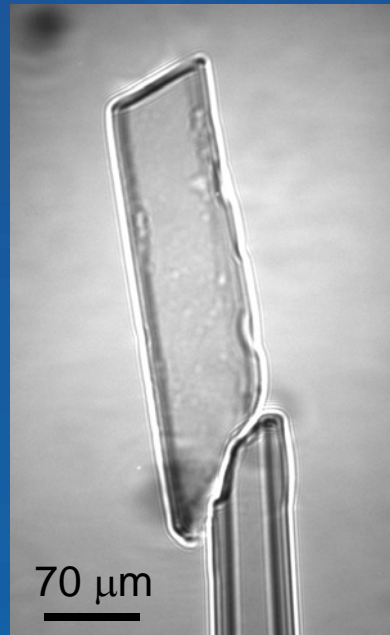






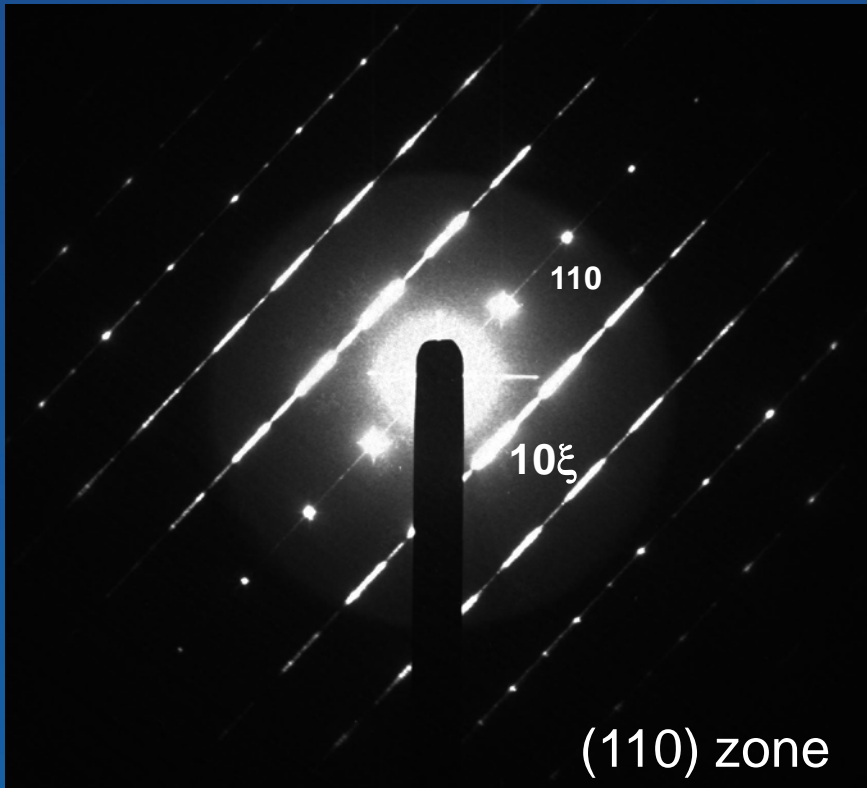
Optical microscope

X-ray image



Scanning electron microscope



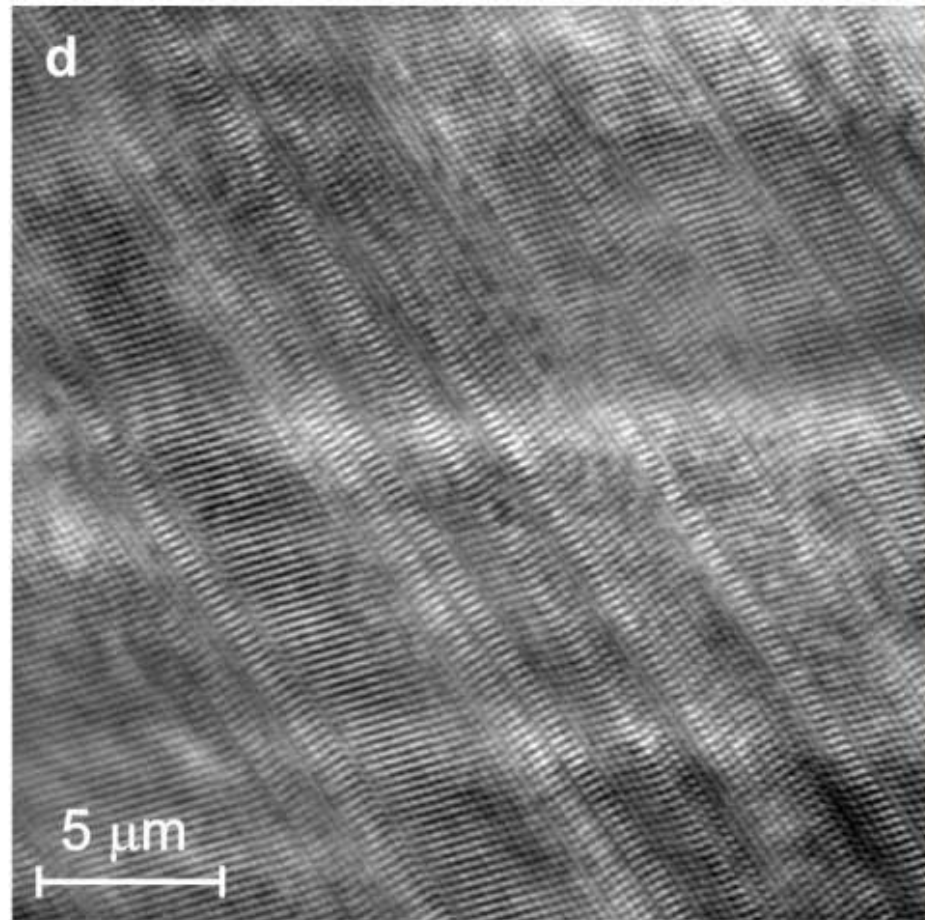
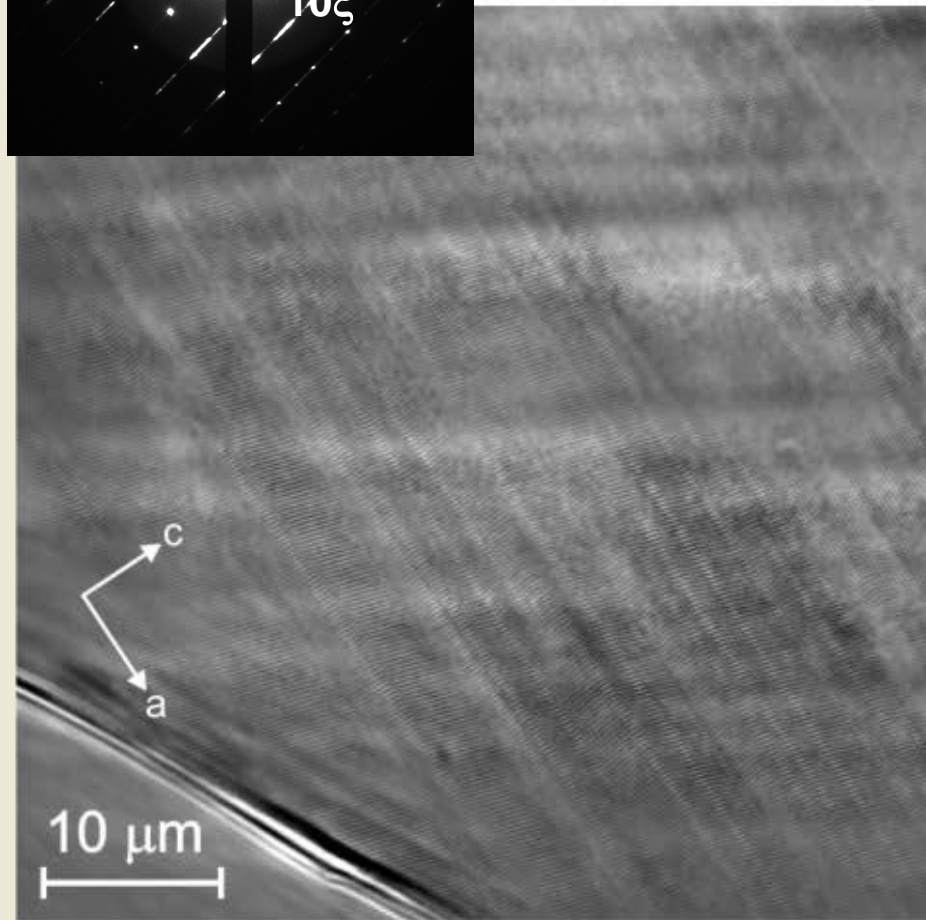


exposure 5 seconds  
 $\theta = 19.4^\circ$

exposure 1 second  
 $\theta = 37.7^\circ$

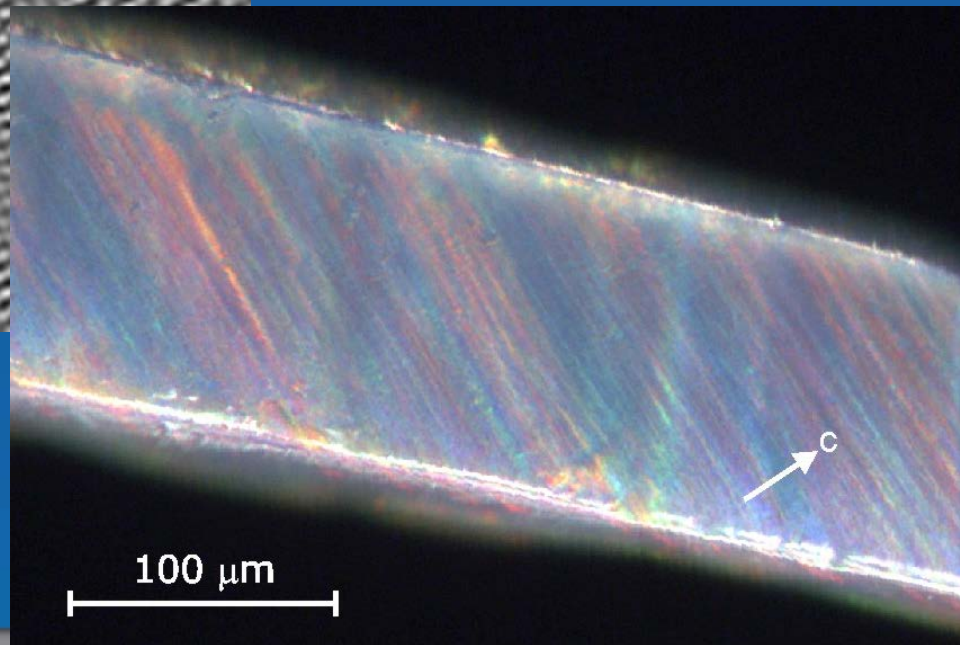
Forbidden reflections (HKL+1/2)

$E = 12 \text{ keV}$





$E = 12 \text{ keV}$   
Resolution  $\sim 160 \text{ nm}$





# ADVANCED MATERIALS

**ADVANCED MATERIALS**

www.advmat.de

Materials Views

www.MaterialsViews.com

## High-Resolution Transmission X-ray Microscopy: A New Tool for Mesoscopic Materials

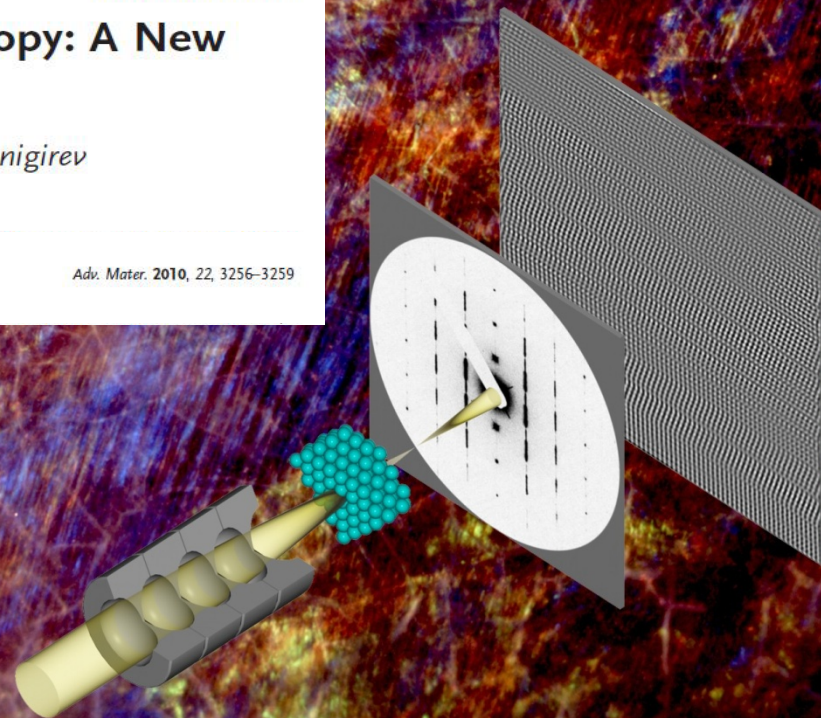
By Alexey Bosak, Irina Snigireva,\* Kirill S. Napolskii, and Anatoly Snigirev

3256

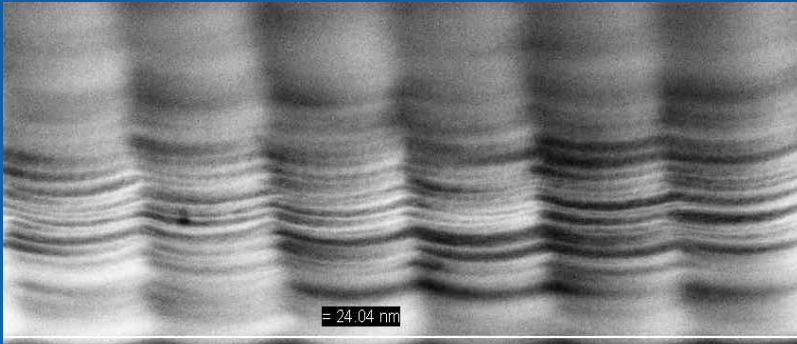
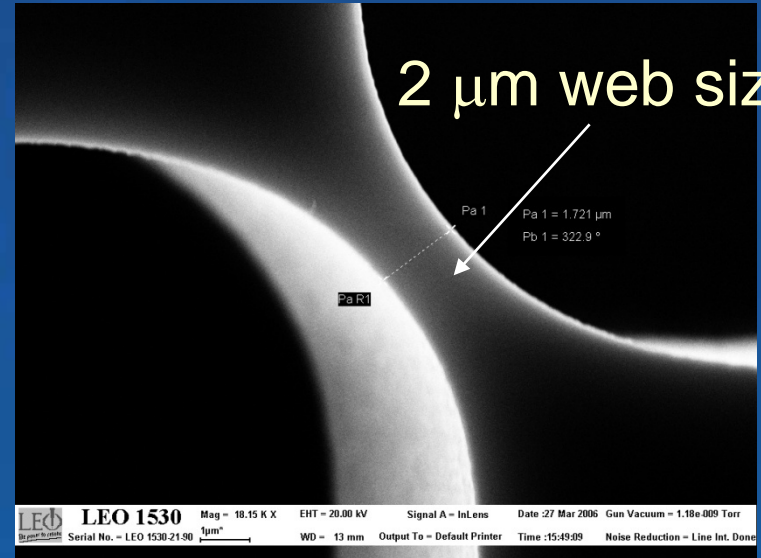
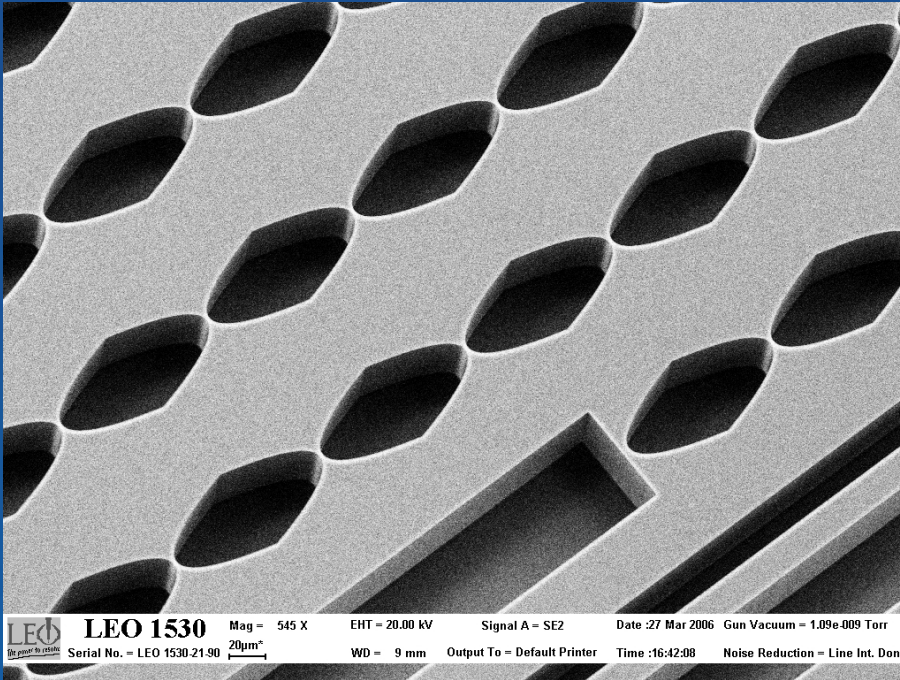
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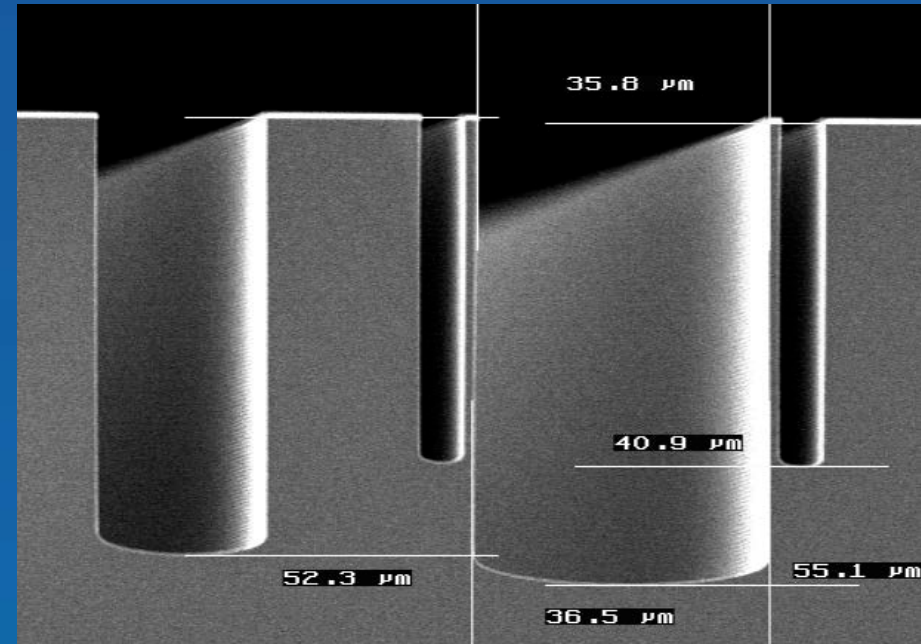
Adv. Mater. 2010, 22, 3256–3259



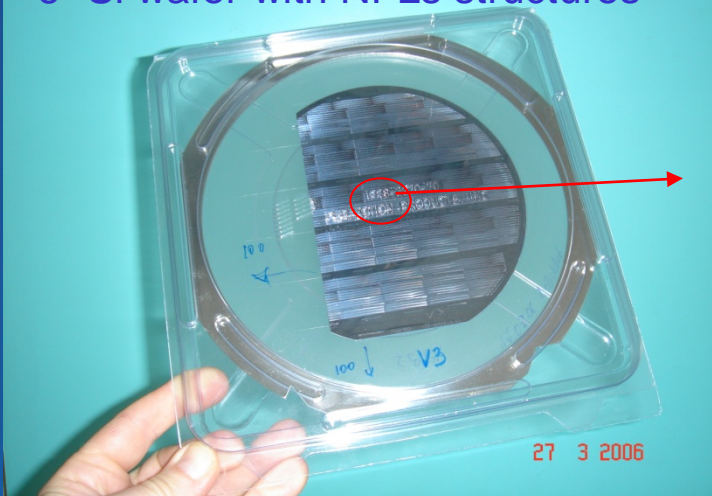




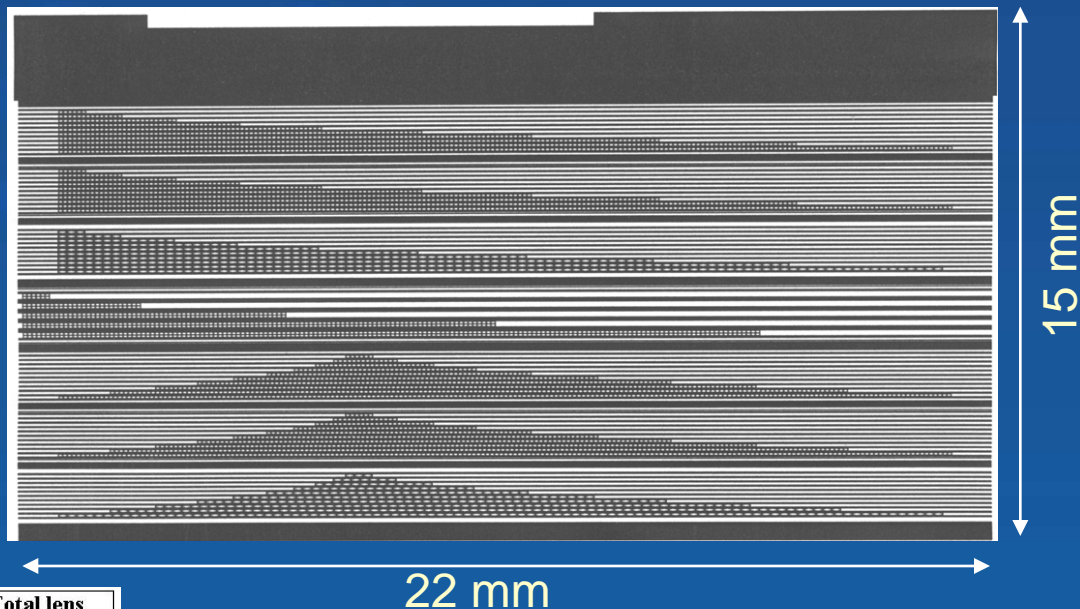
Scallop height < 20 nm



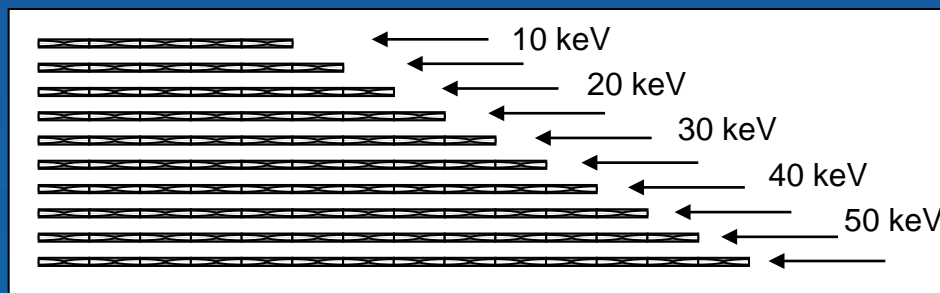
6" Si wafer with NFLs structures



$F = 10\text{cm} @ E = 10 - 50 \text{ keV}$



NN	Energy (keV)	Single lens length ( $\mu\text{m}$ )	Number of lenses	Radius of parabola apex ( $\mu\text{m}$ )	Total lens length ( $\mu\text{m}$ )
1	10	50	12	6.25	620
		100	6	3.13	614
2	15	50	28	6.25	1436
		100	14	3.13	1422
3	20	50	52	6.25	2660
		100	26	3.13	2634
4	25	50	80	6.25	4088
		100	40	3.13	4048
5	30	50	116	6.25	5924
		100	58	3.13	5866
6	35	50	160	6.25	8168
		100	80	3.13	8088
7	40	50	208	6.25	10616
		100	104	3.13	10512
8	45	50	264	6.25	13472
		100	132	3.13	13340
9	50	50	324	6.25	16532
		100	162	3.13	16370
10	55	50	392	6.25	20000
		100	196	3.13	19804



10 lenses per set

7 sets

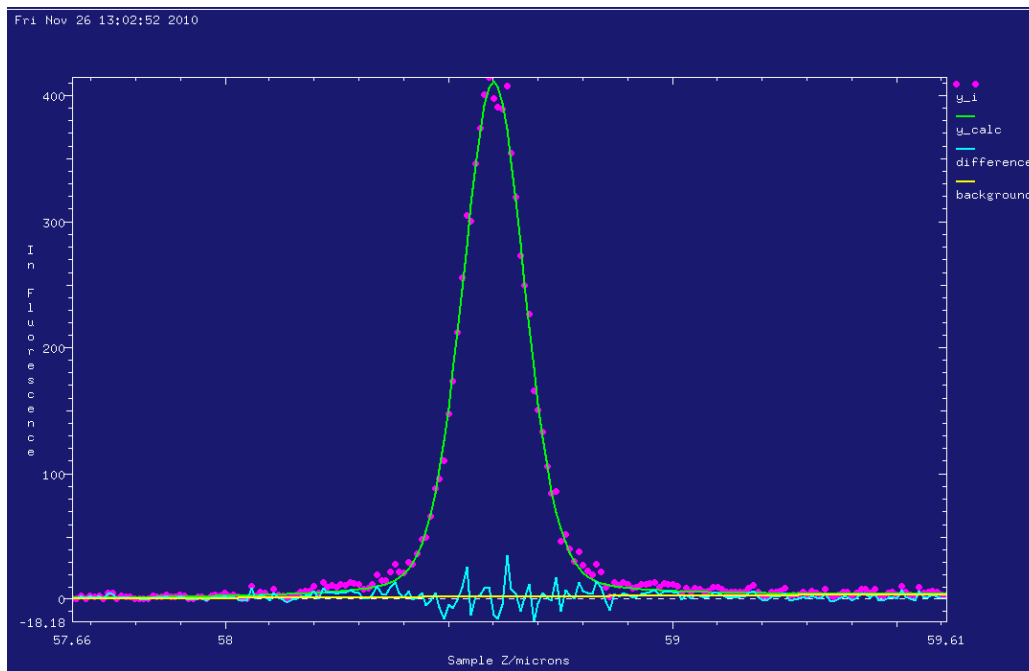
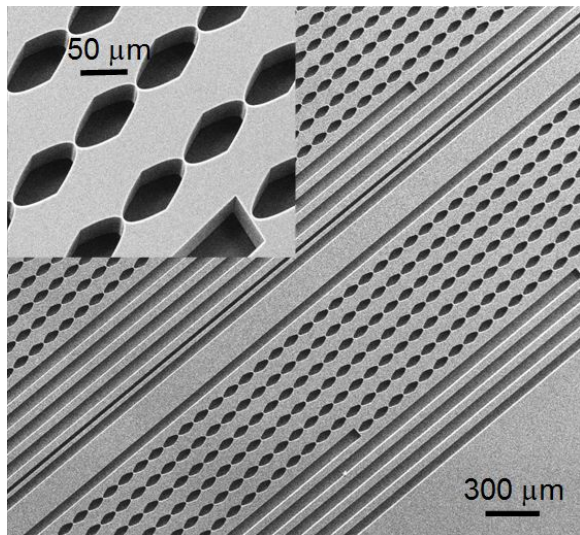
~ 70 CRLs !



# ID11 Toward the < 100 nm Frontier

ID11:

90 nm beam at 35 keV !!!



## Nano-fluorescence using Si nano-lenses gives a vertical spot 90nm at 35 keV

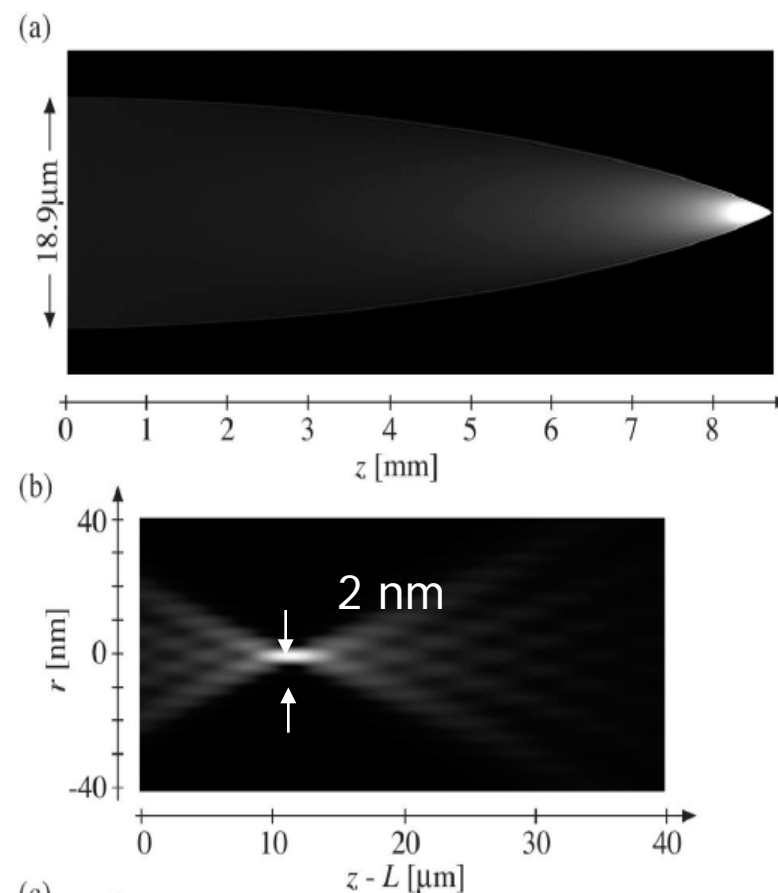
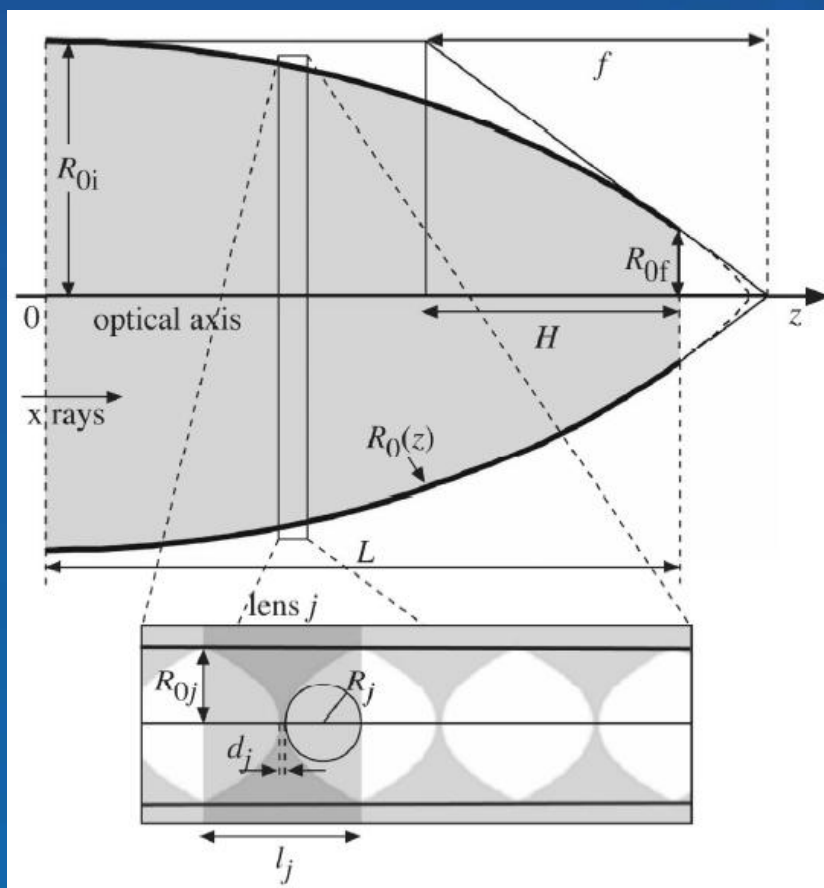
- A scan of a 130 nm thick *In* film gives ~160 nm wide peak. Deconvolution indicates an 90 nm beam height.
- In this configuration:
  - Diffraction limit ~ 45 nm
  - Geometrical limit < 20 nm (considering 20 micron source size as measured)
  - Vertical vibrations in prototype assembly ~50 nm (measured)
  - Band-pass broadening ~ 80 nm probably dominates at this point.

# Focusing Hard X Rays to Nanometer Dimensions by Adiabatically Focusing Lenses

C. G. Schroer<sup>1</sup> and B. Lengeler<sup>2</sup>

<sup>1</sup>HASYLAB at DESY, Notkestrasse 85, D-22607 Hamburg, Germany

<sup>2</sup>II. Physikalisches Institut, Aachen University, D-52056 Aachen, Germany

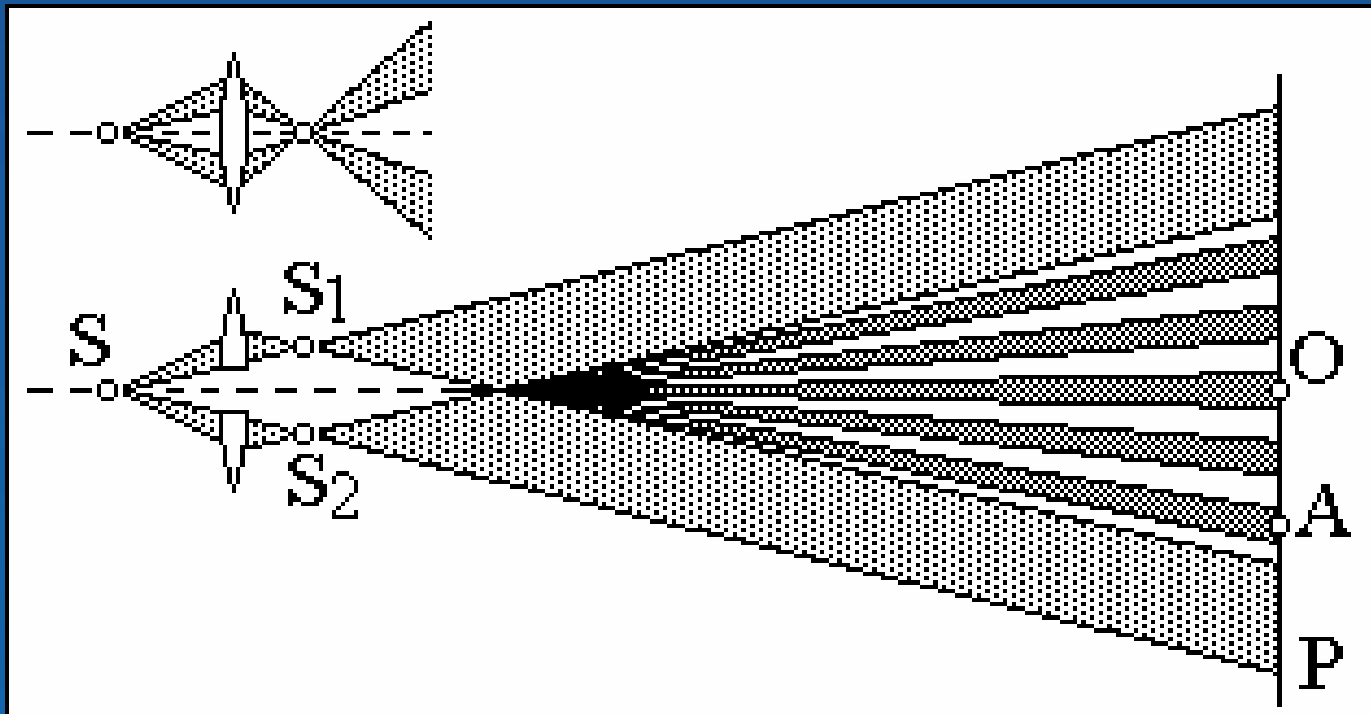




to pay a tribute to

Professeur Felix Billet (1808 -1882)

*la Faculté des sciences de Dijon depuis 1843*



# PHYSICAL REVIEW LETTERS

VOLUME 46

13 APRIL 1981

NUMBER 15

## Neutron Interference by Division of Wavefront

A. G. Klein, P. D. Kearney, G. I. Opat, and A. Cimmino

*School of Physics, University of Melbourne, Parkville, Victoria 3052, Australia*

and

R. Gähler

*Institut Laue-Langevin, F-38042 Grenoble, France*

(Received 24 February 1981)

A novel type of neutron interferometer was constructed and tested employing a split cylindrical zone plate with neutrons of 20 Å wavelength. Its performance and relative merits are discussed.

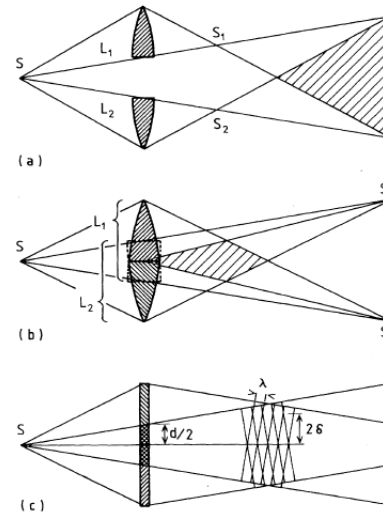


FIG. 1. Split-lens interferometer configurations: (a) positive separation of lens, (b) negative separation with overlapping region removed, (c) special case of (b) which gives constant fringe spacing and employing a zone plate instead of a lens.

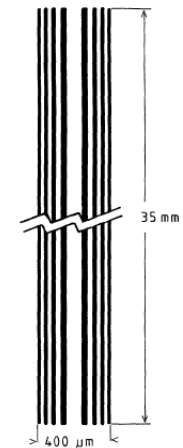
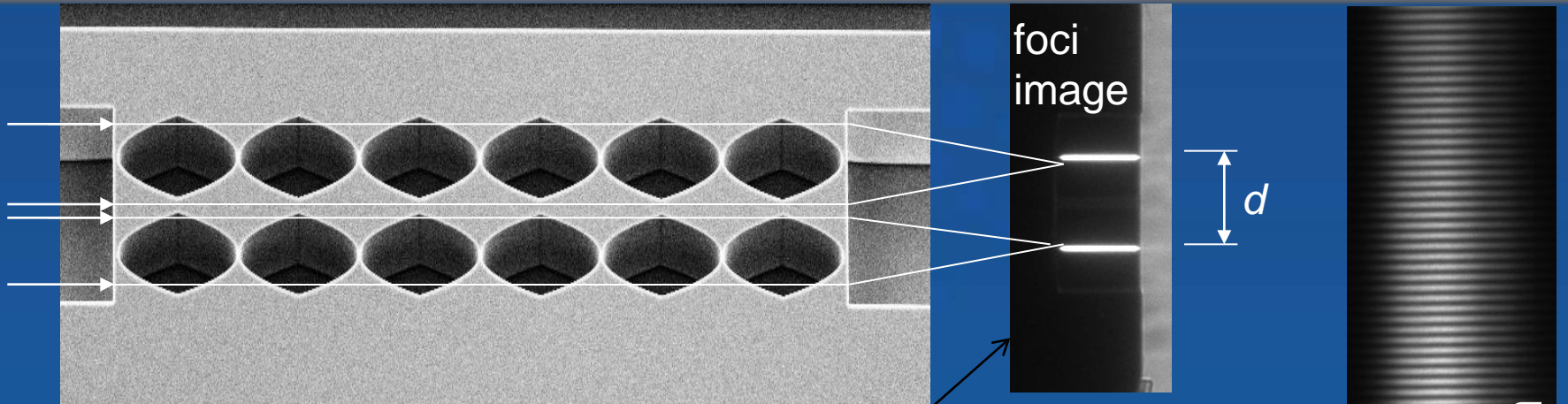
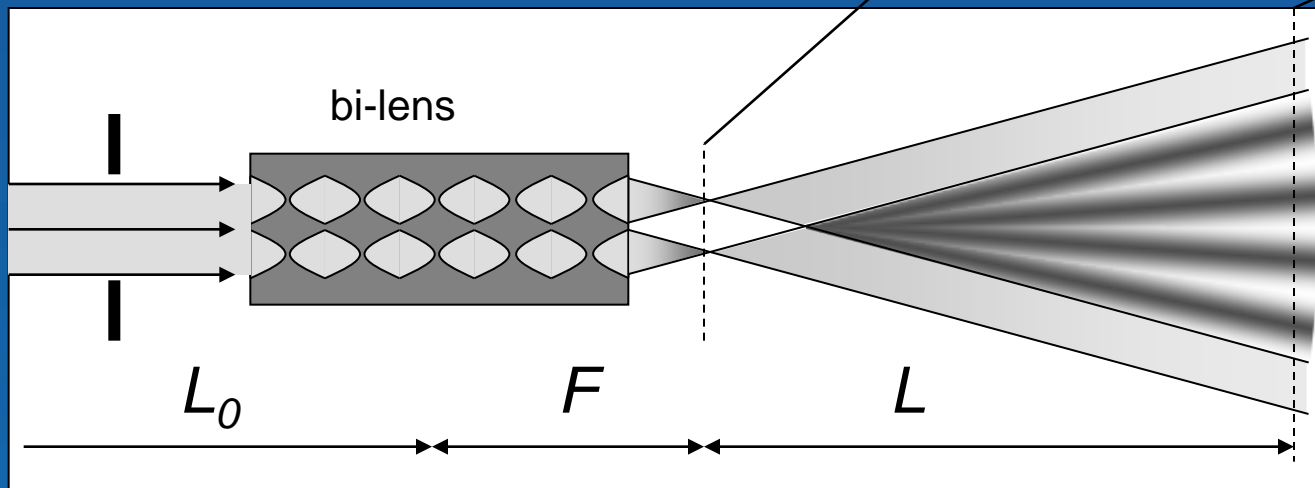


FIG. 2. Split-lens geometric pattern for a cylindrical zone plate.

tern. This gives rise to a much higher intensity in the focused beams. Furthermore, since a primary source in the form of a narrow slit is preferable to a pinhole, cylindrical zone plates were used in order to get a higher intensity.<sup>11</sup> Instead



Si bi-lens chip



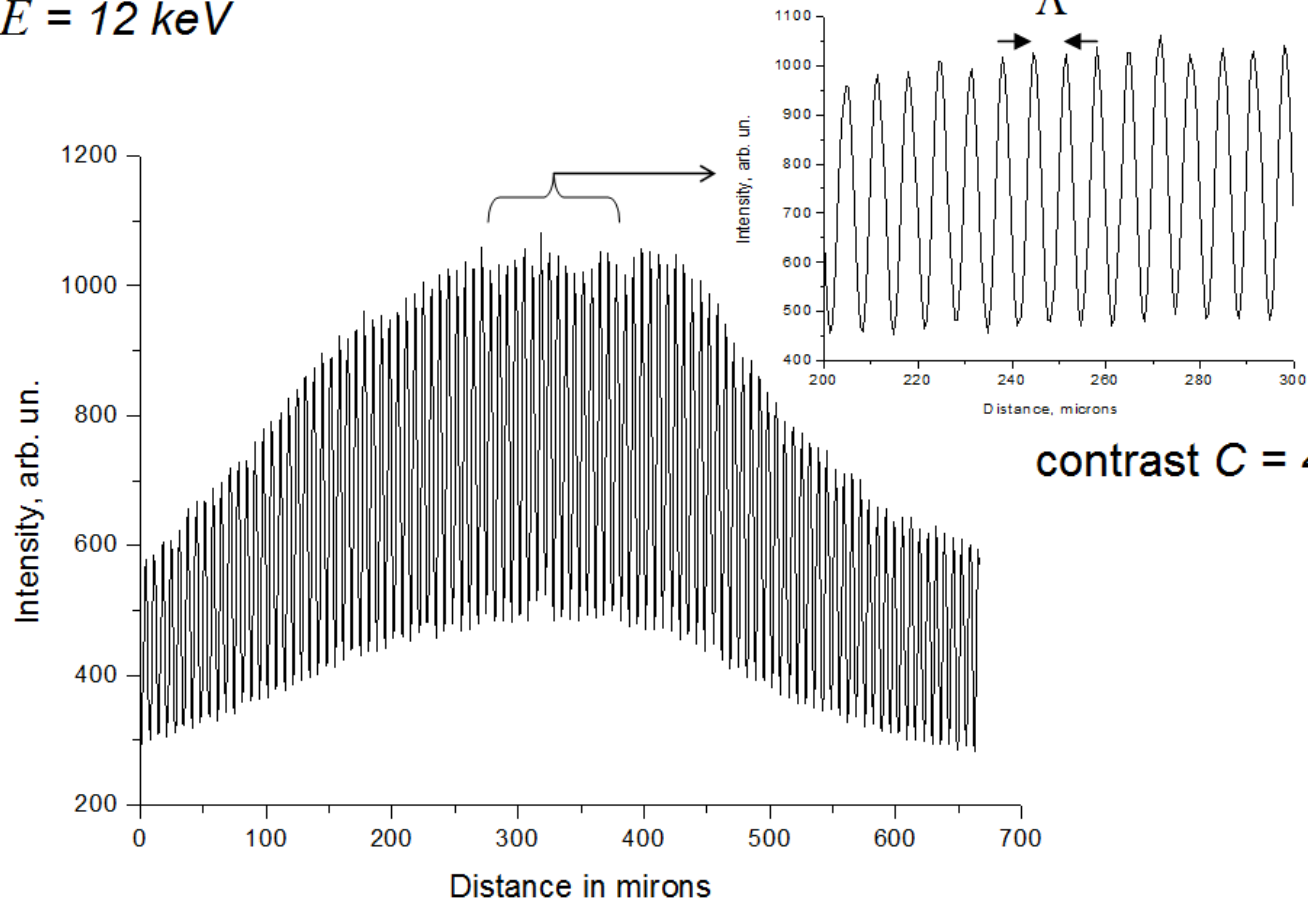
$$\Lambda = \lambda L / d$$

far-field interference

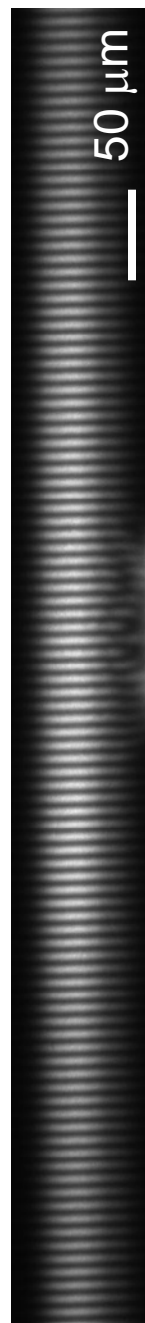
50  $\mu\text{m}$

# X-ray bi-lens interferometer: far-field interference

$E = 12 \text{ keV}$

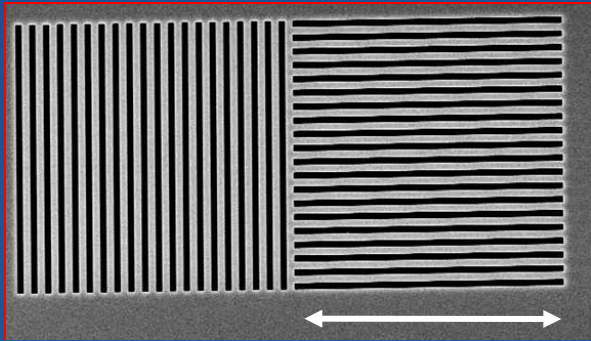


source size: 
$$S = \frac{\Lambda L_0}{L_1} \left( -\frac{\log C}{3.56} \right)^{1/2} \quad S = 28 \mu\text{m} \text{ (FWHM)}$$

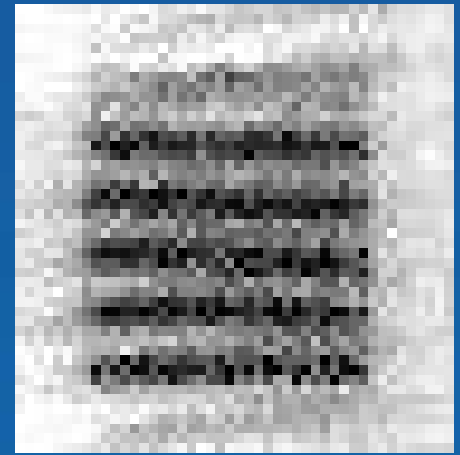
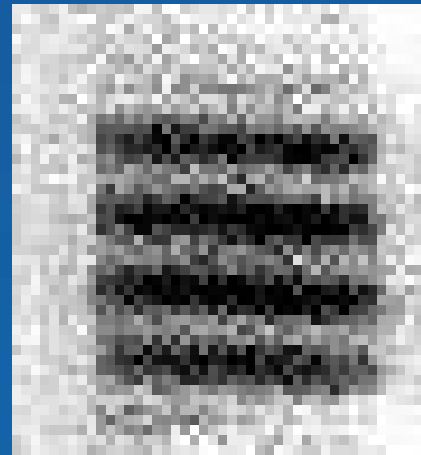
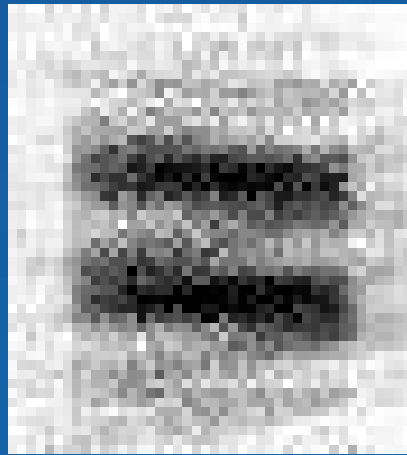
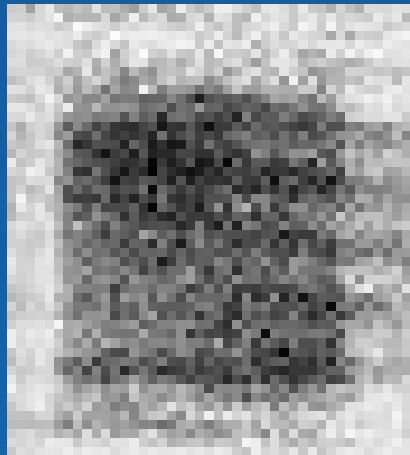
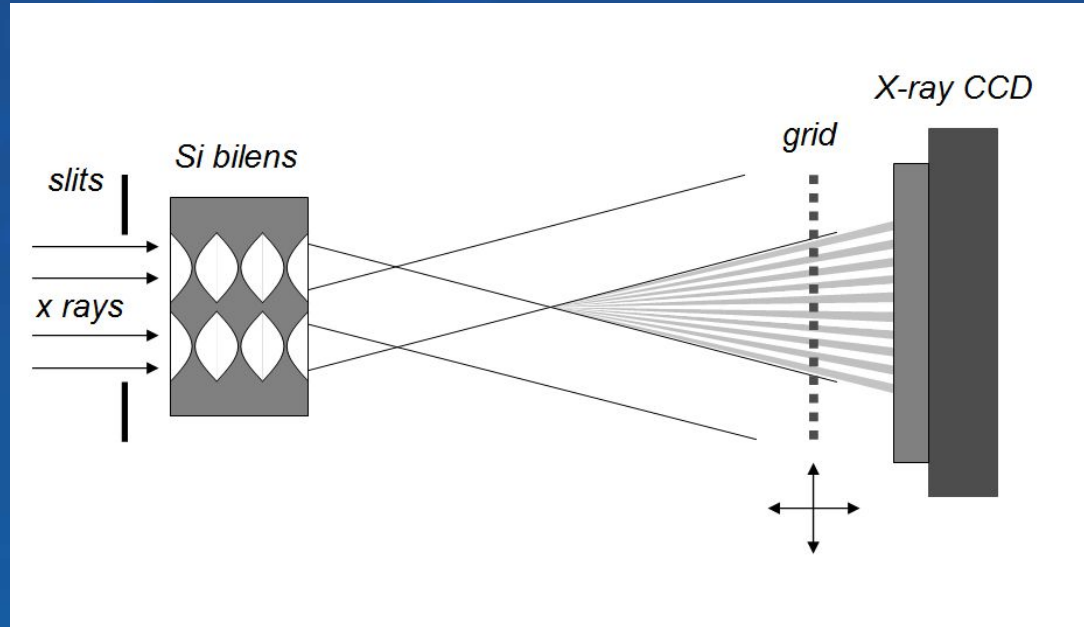




200 nm bar / 200 nm slit



20  $\mu\text{m}$



10  $\mu\text{m}$

$\Lambda_5 - \Lambda_4 = 5 \text{ nm} !$



## X-Ray Nanointerferometer Based on Si Refractive Bilenses

A. Snigirev,<sup>1</sup> I. Snigireva,<sup>1</sup> V. Kohn,<sup>2</sup> V. Yunkin,<sup>3</sup> S. Kuznetsov,<sup>3</sup> M. B. Grigoriev,<sup>3</sup> T. Roth,<sup>1</sup> G. Vaughan,<sup>1</sup> and C. Detlefs<sup>1</sup>

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<sup>2</sup>Russian Research Center "Kurchatov Institute," 123182, Moscow, Russia

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(Received 28 April 2009; published 3 August 2009)

We report a novel type of x-ray interferometer employing a bilens system consisting of two parallel compound refractive lenses, each of which creates a diffraction limited beam under coherent illumination. By closely overlapping such coherent beams, an interference field with a fringe spacing ranging from tens of nanometers to tens of micrometers is produced. In an experiment performed with 12 keV x rays, submicron fringes were observed by scanning and moiré imaging of the test grid. The far field interference pattern was used to characterize the x-ray coherence. Our technique opens up new opportunities for studying natural and man-made nanoscale materials.

### Gravitation and Astrophysics

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(Continued Inside)

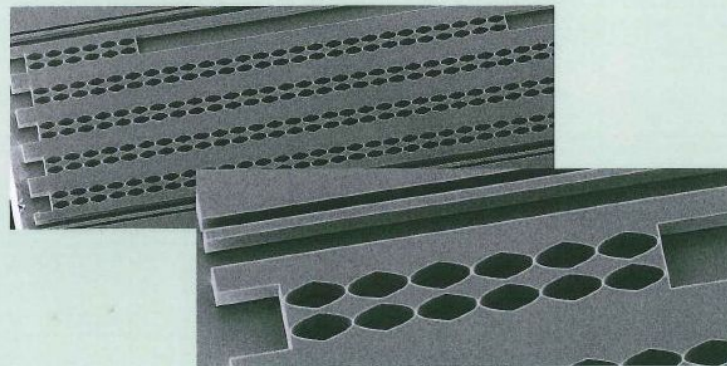
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0031-9007(20090807)103:06;1-9

064801-069902, 7 August 2009 (320 total pages)







## Solution of the Phase Problem in the Theory of Structure Determination of Crystals from X-Ray Diffraction Experiments

Emil Wolf\*

*Department of Physics and Astronomy and the Institute of Optics, University of Rochester, Rochester, New York 14627, USA*  
(Received 6 May 2009; published 10 August 2009)

We present a solution to a long-standing basic problem encountered in the theory of structure determination of crystalline media from x-ray diffraction experiments; namely, the problem of determining phases of the diffracted beams.

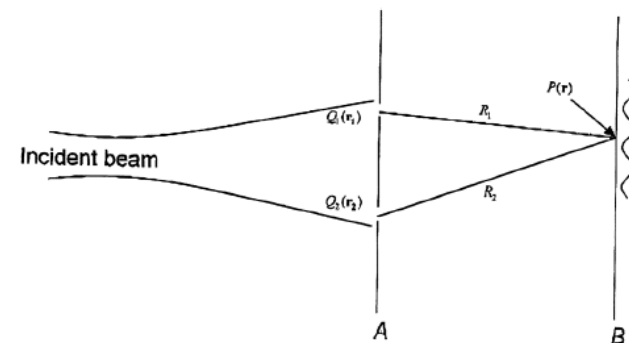


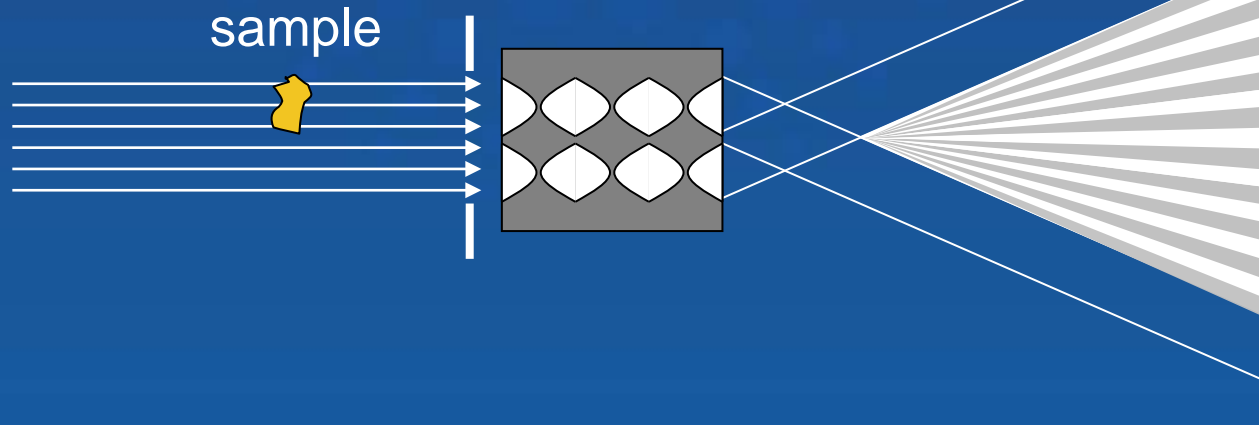
FIG. 1. Illustrating notation relating to Young's interference experiment.



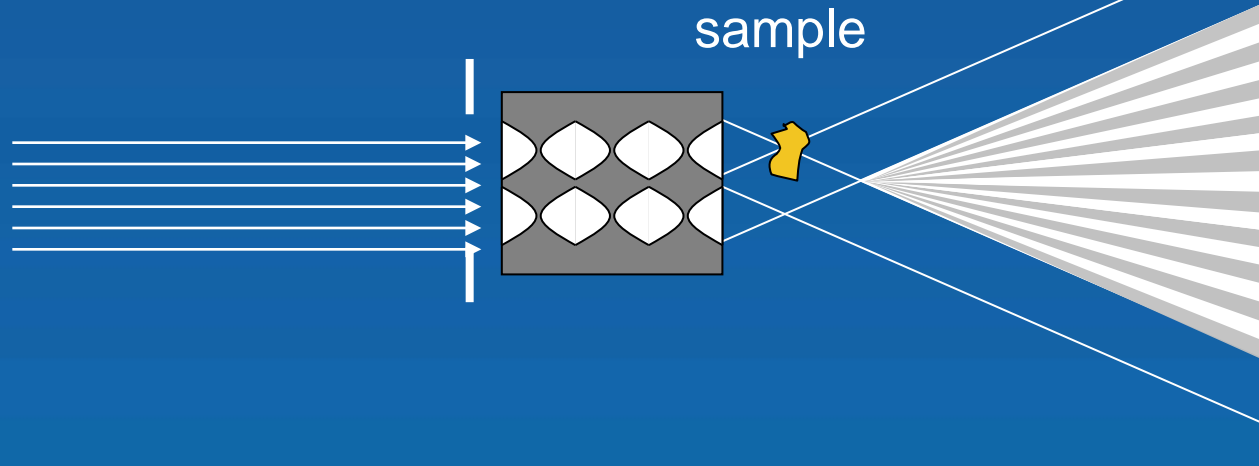
Emil WOLF

San Jose,  
USA 2009

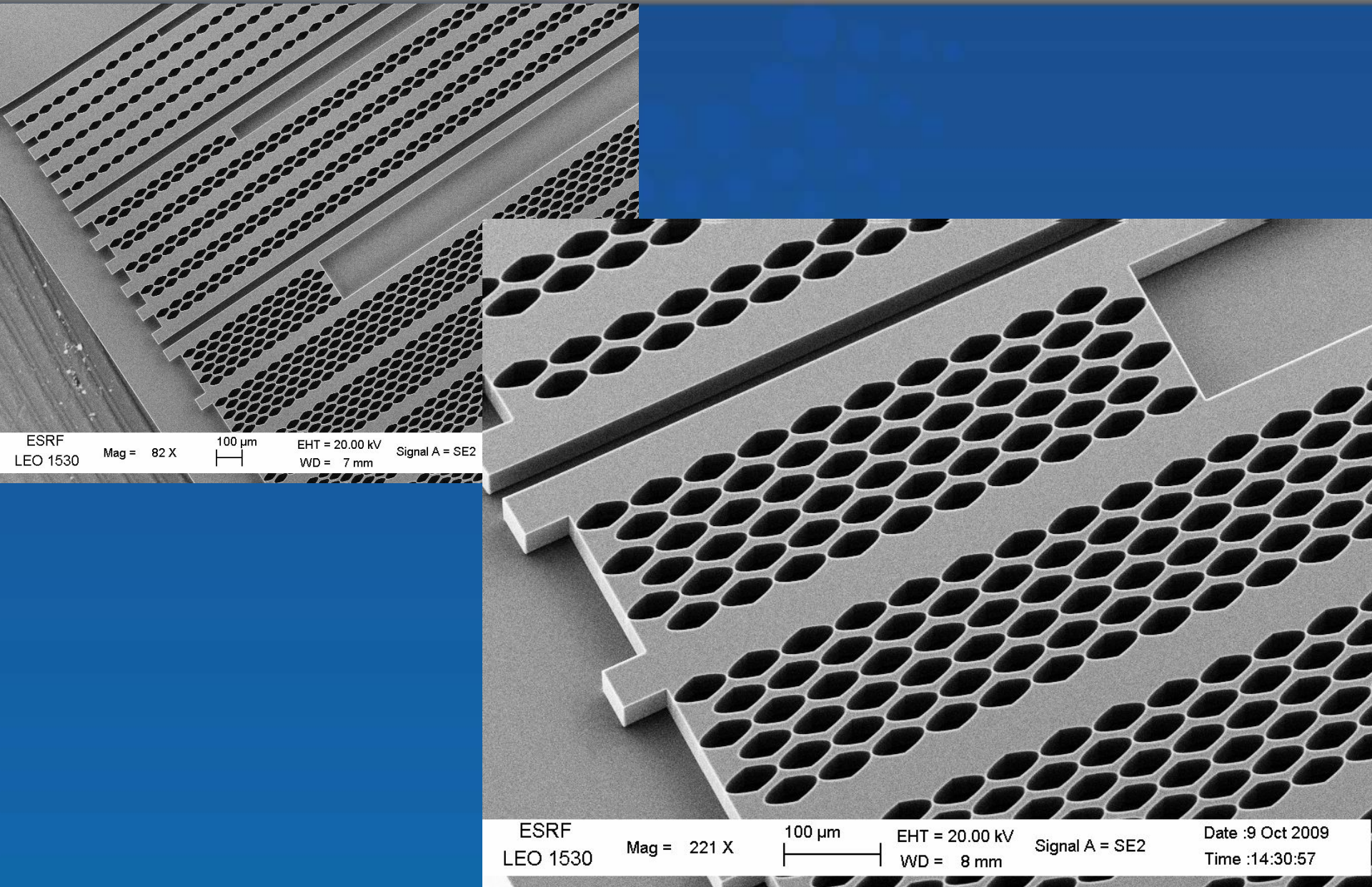
# Phase contrast imaging



# Fourier holography

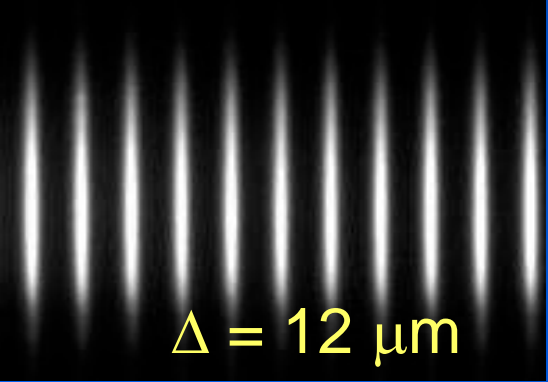
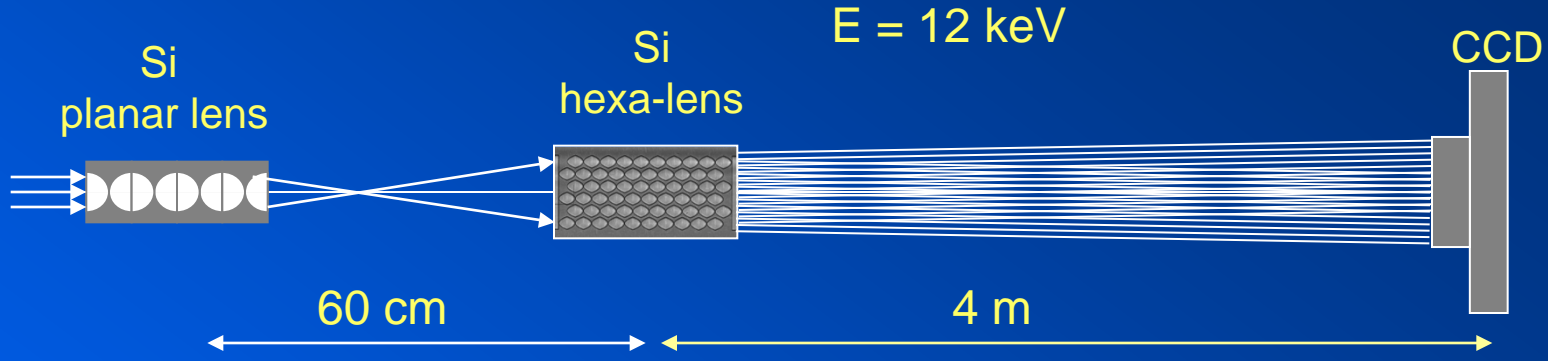




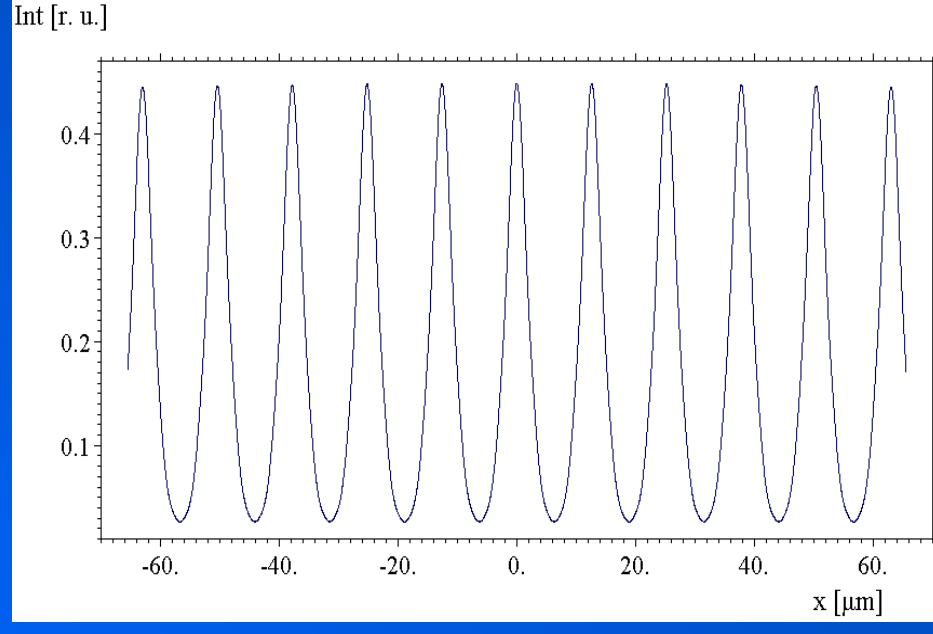
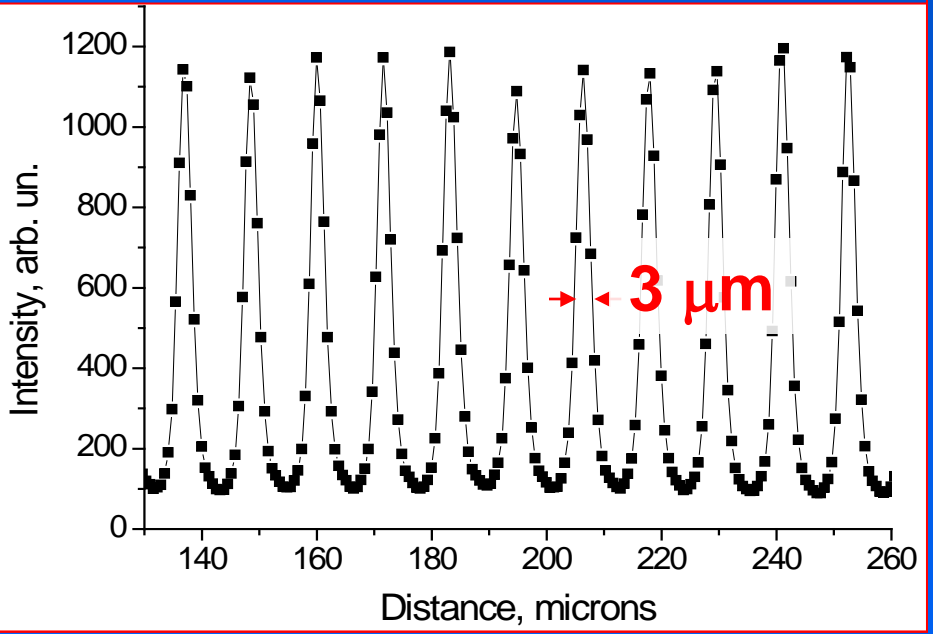
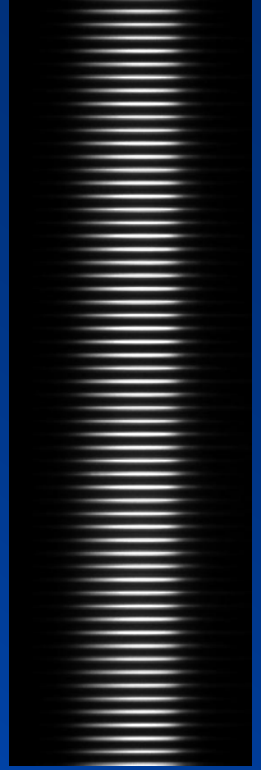


ESRF  
LEO 1530    Mag = 82 X    100  $\mu$ m    EHT = 20.00 kV    Signal A = SE2  
WD = 7 mm

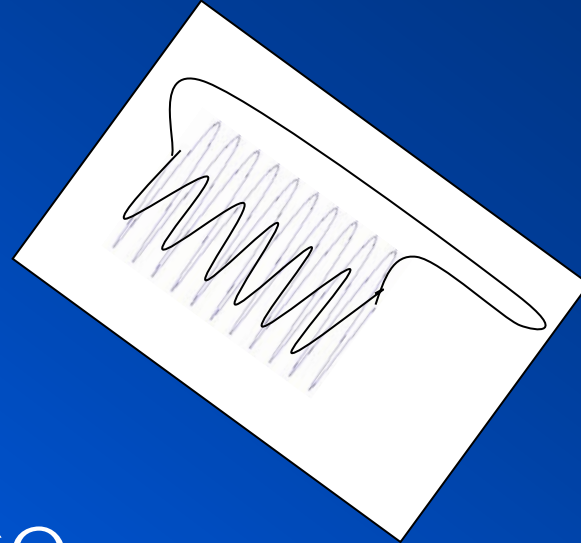
ESRF  
LEO 1530    Mag = 221 X    100  $\mu$ m    EHT = 20.00 kV    Signal A = SE2    Date :9 Oct 2009  
WD = 8 mm    Time :14:30:57



C = 90 %  
(theory 93 %)  
 $\delta \sim 100 \text{ nm}$



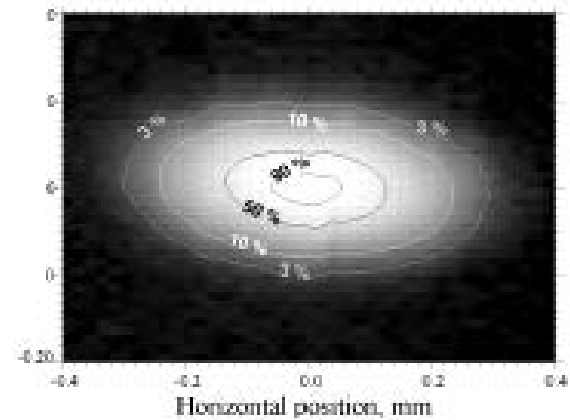
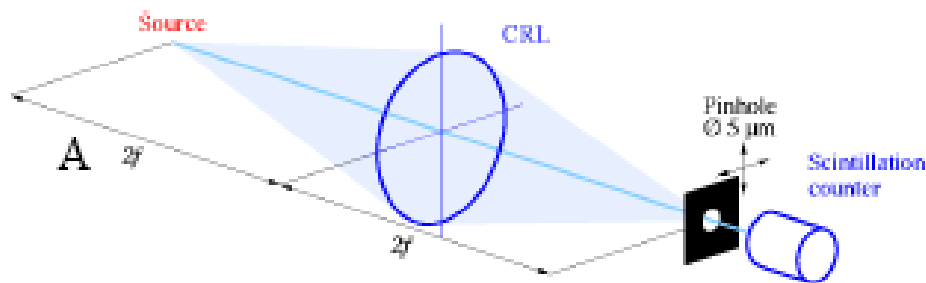
# “Nano-comb”



- in-line
- high energy
- remote distance
- tunability: 10 nm – 10  $\mu\text{m}$
- large depth of field
- large energy bandwidth ( $\sim 1\%$ )

# ELECTRON BEAM SIZE AND PROFILE MEASUREMENTS WITH REFRACTIVE X-RAY LENSES

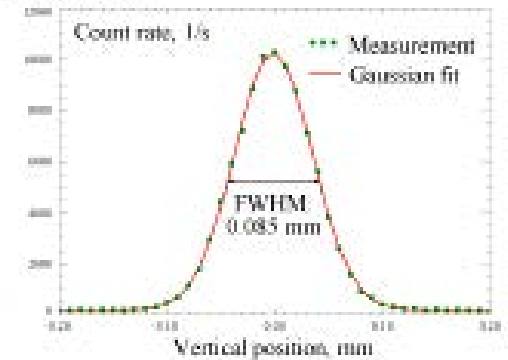
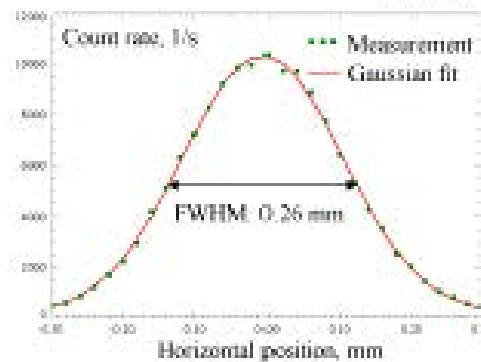
T. Weitkamp, O. Chubar, M. Drakopoulos, I. Snigireva, A. Snigirev, ESRF, Grenoble, France  
C. Schroer, F. Guenzler, B. Lengeler, RWTH, Aachen, Germany



1999

BM5

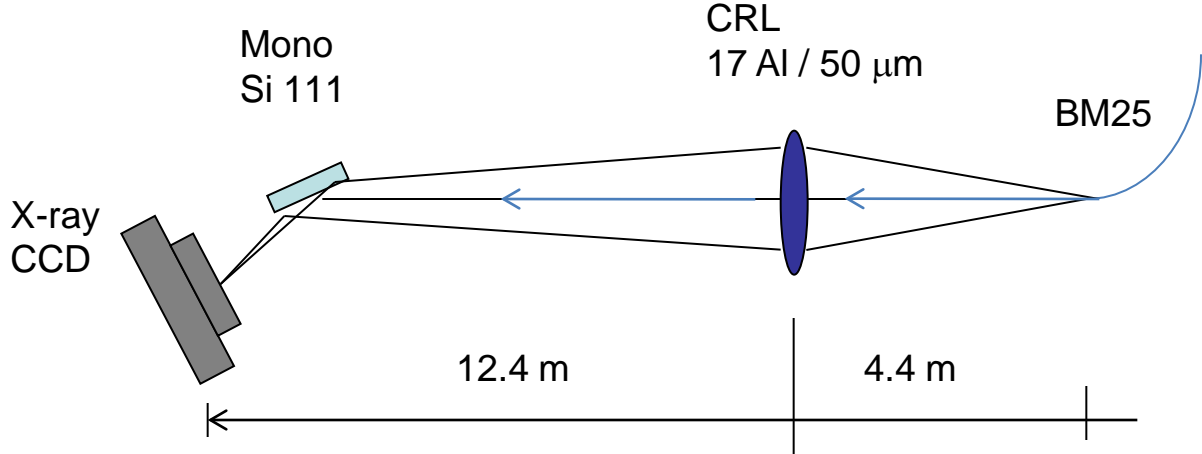
$85 \times 260 \mu\text{m}^2$





# CRL based emittance diagnostics

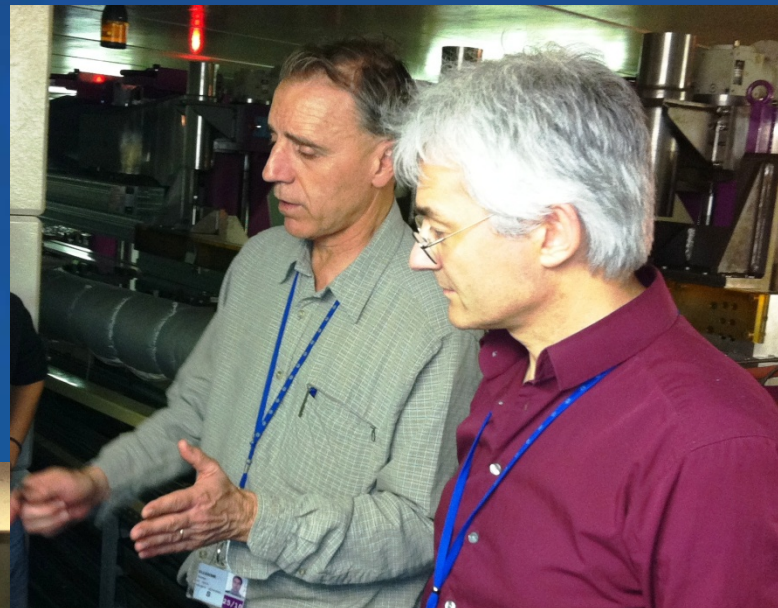
25 pm – 20 μm (FWHM)  
5 pm - 9 μm  
1 pm – 4 μm -diffraction limited source!

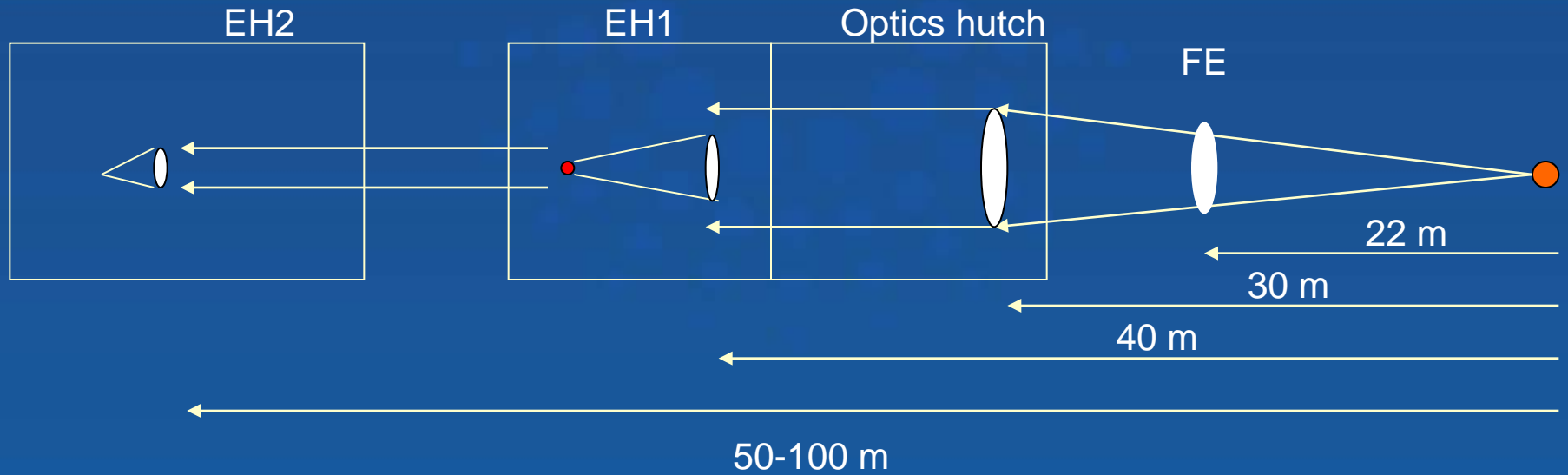


first test 25 Jan, 2011  
7 pm – 35 μm (FWHM)  
(25 pm - 65 μm)









## Refractive optics:

**Condensers/collimators**

**F ~ 10 m**

**10  $\mu\text{m}$**

**Micro-optics**

**F ~ 1 m**

**1  $\mu\text{m}$**

**Nano-optics**

**F ~ 10-100 mm**

**10 – 100 nm**

## Energy range:

**CRL 1 – 100 – (1000) keV**

- Few thousand linear and two-dimensional lenses have been delivered to 7 SR sources in 5 countries:
  - ESRF Grenoble France
  - HASYLAB Hamburg Germany
  - Diamond Oxford UK
  - ALBA Barcelona Spain
  - APS Argonne Chicago USA
  - NSLS Brookhaven USA
  - SSRL Stanford USA / XFEL
- Others who took over the development
  - SPRing8
  - ANKA Karlsruhe Germany
  - Kurchatov SR center Moscow Russia
  - .....



OPTICS

## X-ray lenses near reality

Jerome Hastings

X-RAY sources have already evolved from the original sealed vacuum tubes into sophisticated electron-storage rings, and free-electron X-ray lasers may be just beyond the horizon. These advances promise intense, highly parallel and tunable photon beams. To focus and manipulate this radiation, a variety of X-ray optical devices have been developed, ranging from concentrators, in the form of tapered capillaries<sup>1</sup>, to Fresnel zone plates<sup>2</sup>. But a dream of X-ray opticians for some time has been to make a *refractive*

beams prohibits such applications.

The existing optics fall into a few categories: reflecting optics such as grazing-incidence X-ray mirrors; tapered capillaries; and various types of Fresnel zone plates. Refractive lenses, routinely used in visible-light optics, had been thought to be impractical at X-ray wavelengths. In the X-ray regime, the difference in the index of refraction between air and ordinary materials is tiny — typically of the order of  $10^{-6}$  — which appeared to present an insurmountable problem. To maximize the effect, heavy-element materials were proposed, but they have high absorption. Light elements do not, but with their low refractive-index decrement they would have long focal length and thus provide little practical focusing of the X-ray source.



The European Synchrotron Radiation Facility in Grenoble, France. Compound refractive lenses may soon make its intense X-ray beams much more effective.

lens, the X-ray equivalent of conventional optics for visible light. On page 49 of this issue<sup>3</sup>, Anatoly Snigirev and colleagues describe the first significant step towards that goal. This should allow us to reach a submicrometre focus with much greater efficiency than before.

The desire in a multitude of scientific disciplines for intense submicrometre X-ray beams has driven the construction of new electron-storage-ring X-ray sources in Europe (the European Synchrotron Radiation Facility in Grenoble, France), the United States (the Advanced Photon Source in Argonne, Illinois) and Japan (the Spring-8 Project in Harima). The objective is to perform the various 'standard' X-ray analytical techniques of diffraction and spectroscopy on individual particles or grains.

These measurements could provide information about the atomic and electronic structure of systems of chemical, biological and technological interest, ranging from the characterization of an individual grain in a commercial catalyst to measuring the strain in the metallic interconnections of large integrated circuits. But the limited ability of available X-ray optics to focus the X-ray

wavelengths below one ångström, so a series of tens to hundreds of lenses can be used. The authors made their lens by simply drilling small round holes in an aluminium block (see Figs 1 and 3 on pages 49 and 50, respectively).

This 'crude' technology permitted a demonstration of their ideas and showed the potential for future optics. With more sophisticated fabrication techniques, one can imagine extending these lenses from cylinders to spheres to provide focusing in two dimensions. Such lenses should then be commonplace at synchrotron sources and the full potential of the X-ray beams already available will be realized. This development bodes well for the future of synchrotron-based microanalytical techniques that are impossible today but should be standard in the future.

Jerome Hastings is at the Brookhaven National Laboratory, 75 Brookhaven Avenue, Building 725, Upton, New York 11973, USA.

1. Bilderback, D. H. & Thiel, D. J. *Rev. Sci. Instrum.* **66**, 2099-2063 (1995).
2. Lai, B. et al. *Rev. Sci. Instrum.* **66**, 2287-2289 (1995).
3. Snigirev, A., Kuhn, V., Snigireva, I. & Lengeler, B. *Nature* **384**, 49-51 (1996).

Such lenses should then be commonplace at synchrotron sources, and the full potential of the X-ray beams already available will be realized. This development bodes well for the future of synchrotron-based microanalytical techniques that are impossible today but should be standard in the future. □

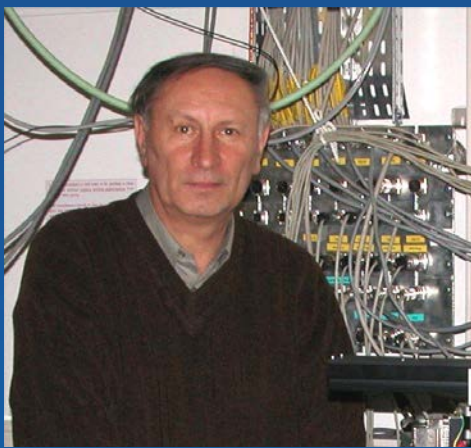
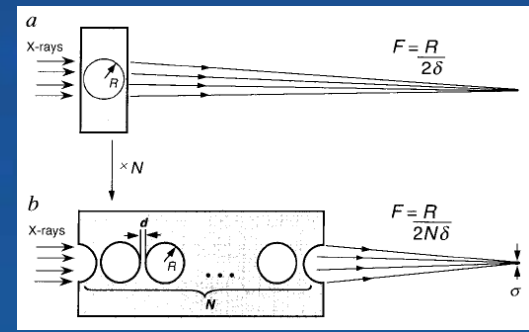
Jerry HASTINGS, *Nature* 1996



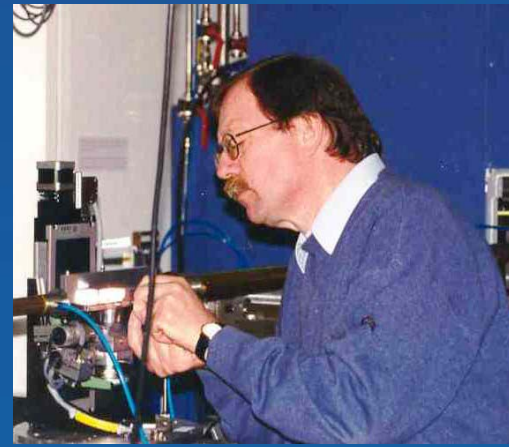
## Development of Refractive Optics for Synchrotron Radiation Applications

NATURE · VOL 384 · 7 NOVEMBER 1996  
 ~ 600 citations

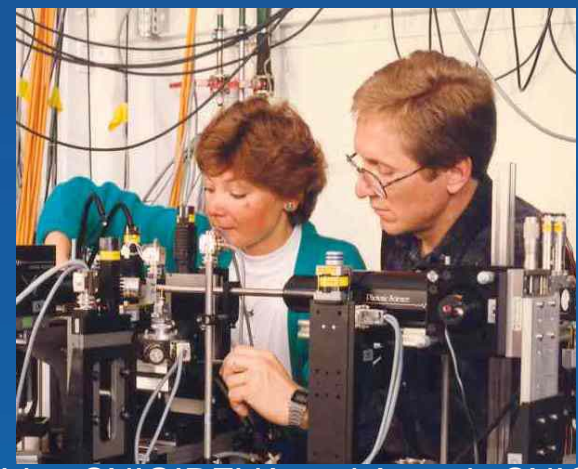
**A compound refractive lens for focusing high-energy X-rays**  
 A. Snigirev\*, V. Kohn†, I. Snigireva\* & B. Lengeler\*‡  
 \* European Synchrotron Radiation Facility, BP220, F-38043 Grenoble Cedex, France  
 † Kurchatov, I. V., Institute of Atomic Energy, 123182 Moscow, Russia



**Victor KOHN**  
 Kurchatov Institute, Moscow



**Bruno LENGELER**  
 RWTH, Aachen



**Irina SNIGIREVA and Anatoly SNIGIREV**  
 ESRF, Grenoble

**15 years development:** > 100 publications  
 standard tool at SR beamlines worldwide  
 50% of ESRF beamlines use refractive lenses  
*transfocators*  
 the most versatile and adaptable X-ray optics -  
 variable energy range and tunable focal length and spot  
**high stability and low cost !**

applications:  
 • high resolution diffraction and imaging  
 • SR beam diagnostics  
 new coherent techniques:  
 microscopy and interferometry